

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1506995-001

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

**Title:** (U) Open new 336I matter - Plowshares Movement.

**Date:** 08/01/2012

**To:** DG-OPS2\_DTOS DTOU1  
DG-OPS2\_TFOS\_TFOU3\_CE\_CO\_LS\_ME\_DE\_IP\_SI\_CG\_CV\_CI\_KX

**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]

**Approved By:** SSA [REDACTED]

**Drafted By:** [REDACTED]

**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;

KNOXVILLE  
[REDACTED]

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) To request the opening of a new 336I matter - Plowshares Movement.

**Preliminary Investigation Initiated:** 08/01/2012, set to expire 02/01/2013

**Details:**

(U) Knoxville requests DTOU concurrence to open a predicated investigation of a group based on information that on 07/28/2012, at approximately 4:40am, Y-12 facility security perimeter was breached by three members of the "Transform Now Plowshares." The three USPERs, Megan Rice, [REDACTED] cut through three fences and were able to gain entry to the Protected Area of the Y-12 National Security Complex (NSC) in Oak Ridge, Tennessee. The three spray-painted the wall of the Highly Enriched Uranium Materials Facility which contains bomb-grade uranium. The messages read, "Woe to

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## UNCLASSIFIED

Title: (U) Open new 336I matter - Plowshares Movement.  
Re: 336I-KX-2433548, 08/01/2012

the empire of blood," "The fruit of justice is peace," "Work for peace not war," and "Plowshares pleases Isaiah." They also poured blood on the wall of the facility.

(U) Rice, [REDACTED] were arrested without incident and were charged federally with Trespassing and Damage to Government Property. The initial appearance on 07/30/2012 was before Federal Magistrate Judge Bruce Guyton. Rice, [REDACTED] waived their right to a detention hearing and are currently in custody at the Blount County Jail until their preliminary hearing, scheduled for 08/02/2012 at 1:30pm.

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b7C

(U) The Plowshares Movement is an anti-nuclear weapons, mostly Christian movement which gained notoriety in the 1980's after group members damaged government property and were subsequently convicted.

On 09/09/1980, eight members of the Plowshares Movement entered the General Electric Nuclear Missile Re-entry Division in King of Prussia, Pennsylvania. On that day, the group hammered on nose cones for Mark 12A warheads, poured blood on documents and offered prayers for peace. The group was arrested and charged initially with over ten different felony and misdemeanor counts. They were convicted by a jury of burglary, conspiracy and criminal mischief and sentenced to prison terms of five to ten years.

(U) There have been 72 other instances world-wide where members of the Plowshares Movement have committed similar acts. Some instances with federal convictions include 12/13/1980 in Groton, Connecticut, 10/29/1981 in Philadelphia, Pennsylvania, 12/19/1999 in Middle River, Maryland, and 5/25/2004 in New York City, New York. On

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Title: (U) Open new 336I matter - Plowshares Movement.  
Re: 336I-KX-2433548, 08/01/2012

07/05/2010, a protest occurred at Y-12 NSC marking the 30th anniversary of the Plowshares Movement and 14 people were arrested and charged federally.

Rice is identified as follows:

Name:

Last: Rice

First: Megan

DOB: 01/31/1930

SSN: 110-52-7763

Address: 1420 W. Bartlett Ave., Las Vegas, NV 89106

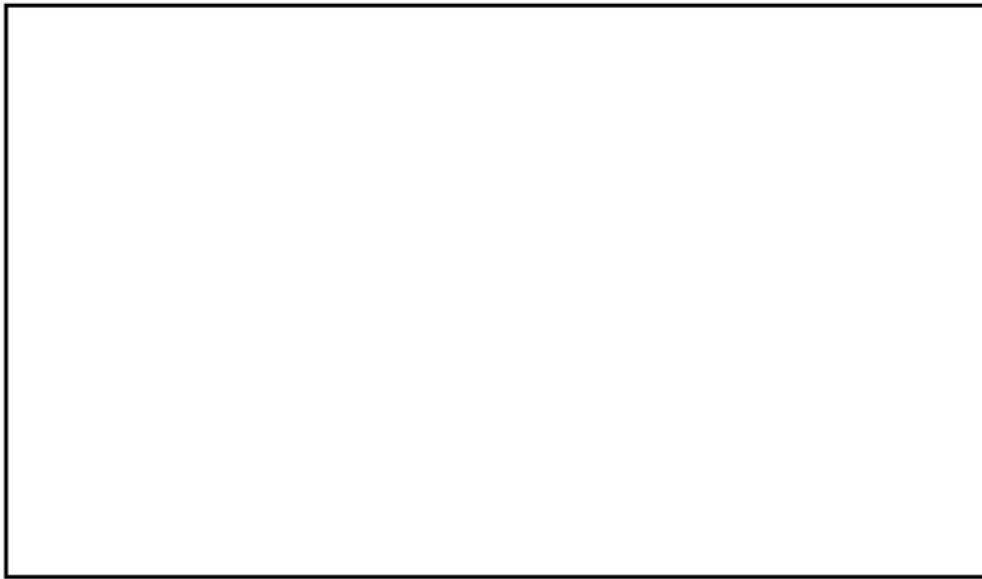
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Title: (U) Open new 336I matter - Plowshares Movement.

Re: 336I-KX-2433548, 08/01/2012



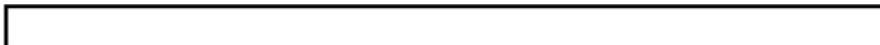
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(U) This matter is being opened based on the above information that the Plowshares Movement is potentially furthering their political agenda through activities that involve force and a violation of federal criminal law.



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(U)



**Investigative Plan:**

(U) Due to the location of the most recent incident, there will be significant cooperation with DOE-IG and [redacted] as well as the

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Title: (U) Open new 336I matter - Plowshares Movement.  
Re: 336I-KX-2433548, 08/01/2012

EDTN USAO.



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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 08/08/2012

**Title:** (U) Knoxville News Sentinel; 7/31/12

**Approved By:**

**Drafted By:**

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**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Y-12 activists may face more charges

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# Y-12 activists may face more charges

■ 3 accused of break-in at weapons plant

By Frank Munger  
mungerf@knoxnews.com  
865-342-6329

knoxnews.com

Blog: Frank Munger's  
"Atomic City Underground"

Three peace activists, including Sister Megan Rice, an 82-year-old nun, were arraigned Monday on

federal trespassing charges in connection with Saturday's break-in at the Y-12 nuclear weapons plant in Oak Ridge, and U.S. Attorney Bill Killian indicated that other charges could

be added later.

The protesters, who've labeled themselves the "Transform Now Plowshares," appeared in U.S. District Court before Federal Magistrate Judge Bruce Guyton and waived their right, at least temporarily, to a detention hearing. That means they will remain in the Blount

See Y-12, 7A

KNOX  
News  
Sentinel

07.31.12

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2433548

## Y-12 from 1A

County Jail until their preliminary hearing, which is scheduled for 1:30 p.m. Thursday.

In addition to Rice, who's based in Nevada, the other defendants are Michael R. Walli, 63, of Washington, D.C., and Greg Boertje-Obed, 57, of Duluth, Minn. The three were dressed in two-tone, gray-striped jail garb and shackled at the



Megan Rice



Michael R. Walli



Greg Boertje-Obed

hands and feet during their half-hour court appearance.

The complaint read in court indicated the trespassing charges against them could carry penal-

ties up to a year's imprisonment and fines up to \$100,000.

Assistant U.S. Attorney Melissa Kirby argued against freeing the

defendants, stating they were a flight risk because of their "transient nature," because they come from other parts of the country and have a history of similar

arrests and protest actions.

She also called them a potential "danger to the community," citing the alleged actions over the weekend at Y-12 that went "much, much further" than previous protests in which activists simply crossed the government plant's boundary line.

The protesters reportedly cut through three or four fences to gain access to high-security areas of the nuclear weapons plant. Once there, they allegedly

spray-painted messages and poured human blood on a Y-12 building known as the Highly Enriched Uranium Materials Facility. The facility contains the nation's primary supply of bomb-grade uranium.

Their middle-of-the-night entry into the nuclear defense plant's inner core has been characterized as an unprecedented security breach.

As for possible other charges against the three defendants, Killian said the

investigation is continuing and that his office is coordinating activities with multiple agencies, including the U.S. Department of Energy's Office of Inspector General.

Rice and Walli accepted court-appointed lawyers to represent them. The federal magistrate approved Boertje-Obed's request to represent himself, although Guyton suggested — and the defendant agreed — to assistance from a backup legal counsel.

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SB.  
SSA  
JTF

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

**Title:** (U) Meeting with [REDACTED] DOE-IG on  
8/2/2012.

**Date:** 08/08/2012

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**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]

**Approved By:** SSA [REDACTED]

**Drafted By:** [REDACTED]

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**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Meeting with [REDACTED] DOE-IG, on 08/02/2012.

**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013

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**Details:**

(U) On 08/02/2012, writer met with Special Agent [REDACTED]  
Department of Energy, Office of Inspector General (DOE-IG). [REDACTED]  
provided the following information regarding the Y-12 break-in which  
occurred on 07/28/2012:

(U) The Highly Enriched Uranium Materials Facility (HEUMF) is  
a fairly new building. The HEUMF has three chain-link fences topped  
with barbed wire surrounding it and numerous lights such that when it  
is dark, the building is completely lit up. When the HEUMF was opened,  
a picture was taken and put in the Knoxville News Sentinel newspaper.

(U) DOE-IG interviewed [REDACTED]

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## UNCLASSIFIED

Title: (U) Meeting with [REDACTED] DOE-IG on 8/2/2012.  
Re: 336I-KX-2433548, 08/06/2012

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[REDACTED] and those interviews will be made a part of the file upon documentation and receipt.

(U) Writer was informed that the video, photos and anything time-stamped was classified by Y-12 personnel and copies would be made available when they were de-classified. This included radio transmissions between Wackenhut Services Incorporated (WSI) personnel. As of 08/02/2012, [REDACTED] and another official at WSI, [REDACTED] were relocated outside of the Y-12 complex.

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(U) At 11:50am, writer took possession of some of the evidence collected by DOE-IG including six baby bottles with six lids and five nipples with a dried, red substance inside; green binoculars; 24" red bolt cutters; 14" yellow Workforce bolt cutters; a Bible; miscellaneous documents; a Plowshares sign; Danger tape; and six spray paint cans with lids.

(U) Every Sunday at Y-12 there is an anti-nuclear prayer vigil and there is one scheduled for 08/05/2012. People are also encouraged to camp-out in support of [REDACTED] and Rice.

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Charges for [REDACTED] and Rice.**Date:** 08/08/2012b6  
b7C  
b7E**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]b6  
b7C  
b7E**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;

KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Arrest and GJ indictment for [REDACTED] and Rice on 8/7/2012.b6  
b7C**Preliminary Investigation Initiated:** 08/01/2012, set to expire 02/01/2013**Details:**

(U) Megan Rice, [REDACTED] were arrested on 07/28/2012 without incident, and were charged federally with Trespassing and Damage to Government Property. At the Initial Appearance on 07/30/2012, Rice, [REDACTED] waived their right to a Detention Hearing and were held at the Blount County Jail. Soon after, U.S. District Court Magistrate Judge Shirley declined their waiver of the Detention Hearing, which was conducted on 08/03/2012. Rice and [REDACTED] were released on bond, and [REDACTED] was permitted to waive his detention hearing to remain in custody until the next court appearance. [REDACTED] will also be representing himself.

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(U) Rice, [REDACTED] were indicted on 08/07/2012 by the Federal Grand Jury, Eastern District of Tennessee, on the

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Title: (U) Charges for [REDACTED] and Rice.  
Re: 336I-KX-2433548, 08/08/2012

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following charges:

Title 18 - 1361 (Malicious Mischief - Government Property)

Title 18 - 1363 (Malicious Mischief - Government Buildings)

Title 42 - 2278 (Trespass)

(U) Initial appearance for the Title 18, Section 1361 charges  
is scheduled for Thursday, 08/09/2012, at 9:30 am.

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 08/08/2012

**Title:** (U) Knoxville News Sentinel, 7/31/2012

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Protesters touted peace to guards.

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## ACTIVISTS from 1A

# Protesters touted peace to guards

■ Trio offered  
to break bread,  
sang in facility

By Frank Munger  
mmun@knoxnews.com  
865-523-1379

OAK RIDGE — Emerging accounts of what took place in Saturday's predawn hours at Y-12 are surely unlike any of the threat scenarios regularly tested during security exercises at the government installation. It apparently was

a surreal scene inside the nuclear weapons plant.

Around 4:30 a.m., Y-12's protective force responded to a sensor on the PIDAS (perimeter intrusion detection and assessment system) that indicated an unauthorized entry into the so-called Protected Area, where work on nuclear warheads takes place.

As it turned out, there was no terrorist attack under way. Nor was the alarm tripped by a way-

See ACTIVISTS, 7A

weapons facility — which heretofore had been portrayed as impenetrable and deadly dangerous to unauthorized visitors.

Indeed, Y-12 spokesman Steven Wyatt said it was fortunate that no one was hurt or killed in the week-end break-in. "The protesters put themselves at a high risk of losing their life in performing this act. We are thankful that did not occur."

The details of how the three got to the highest-security part of Y-12 are not yet known, although Ellen Barfield of Baltimore — a friend of the protesters who's served as a spokeswoman, of sorts, for the activities — said they used wine-curtains to gain entry through multiple fences.

Barfield also indicated she'd heard the protesters talk about crossing a ridge to get into Y-12, but she said she had no further information. The federal plant is bordered by Pine Ridge on the north, separating Y-12 from the Sandhollow community, and Chestnut Ridge on the south.

Wackenhut Services, the security contractor for the past decade, has frequently bragged on the security capabilities at Y-12 and the massive firepower that's available, if necessary, to overtake an adversary force. Courtney Henry, a spokeswoman for the contractor, declined to comment Monday and referred questions about the incident to the National Nuclear Security Administration.

"There will be lessons learned from this incident that we will use to further refine and improve our security posture at Y-12," NNSA's Wyatt said.

There was no immediate comment on whether the NNSA and Wackenhut would pull back on plans to eliminate about 50 security jobs in Oak Ridge — including 34 security police jobs at Y-12 — in the wake of the security breach this past weekend.

The three protesters identified themselves as "Transform Now Plowshares," a tag given to their direct action at Y-12.

word deer or other critters that sometimes prove to be a security nuisance at the plant.

Instead, three aging pacifists were hanging banners in the dark, splashing blood and painting messages on the plant's pride-and-joy storage facility, a \$549 million fortress that contains the nation's primary supply of bomb-grade uranium.

As they were confronted by a heavily armed guard, the anti-nukes protesters — Megan Rice, an 82-year-old nurse; Greg Boertje-Obed, 57, a housepainter and military veteran; and Michael Wall, 63, a gardener and Roman Catholic layman — reportedly began reading a prepared statement about their beliefs and opposition to nuclear weapons.

"He was on his wall in-fucking talking, but he heard it," Rice told one of the protest supporters in a jail-house telephone conversation.

Before they were ordered to halt and kneel, the protesters reportedly offered to break bread with the guards and displayed their possessions — a Bible, candles and white roses. They also sang.

Their words and actions were not that unusual. They were standard fare for many protests staged around the U.S. and beyond. What was unusual was where these acts took place — the inner sanctum of the Oak Ridge

In an interview, Schuchardt recalled details of entering the highly secure GE site 30 years earlier, noting the protesters used diversionary tactics while blending in with the workforce at the site.

At the time, he confidently predicted that mindful protesters could also reach Y-12's inner sanctum, if so desired.

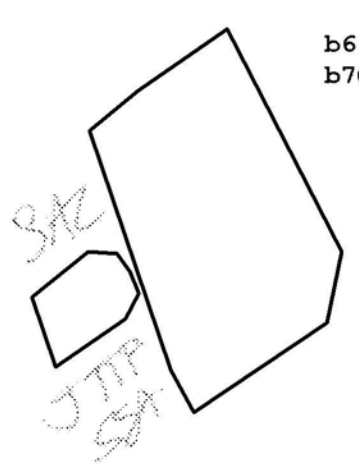
"Yes," he said, "the question."

While there is no specific Plowshares protest group nationally, there is a movement or philosophy of using nonviolent actions to draw attention to nuclear disarmament and related causes.

The first Plowshares protest took place on Sept. 9, 1980, when several individuals entered a General Electric missile facility at King of Prussia, Pa., poured

their own blood onto files and documents, and damaged some equipment for a couple of nuclear warheads under development there.

Two years ago, four members of the original "Plowshares Eight" came to East Tennessee for a reunion of that action and other peace activism. One of them was John Schuchardt, who also participated in a July 3, 2010, protest at Y-12 during his visit.



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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 08/08/2012

**Title:** (U) Knoxville News Sentinel, 8/3/2012

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Trial date set in Y-12 intrusion.

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# Trial date set in Y-12 intrusion

By Frank Munger  
mungerf@knoxnews.com  
865-542-6329

Three anti-war activists entered not-guilty pleas Thursday to federal trespassing charges for their alleged intrusion into the Y-12 nuclear weapons plant last weekend, and a trial date was set for Oct. 9 before federal Magistrate Judge G. Clifford Shirley.

The three protesters — Sister Megan Rice, 82, Las Vegas; Michael Walli, 63, Washington, D.C.; and Greg Boertje-Obed, 57, Duluth, Minn. — were arraigned on the charges earlier this week. However, the U.S. attorney's office introduced a revised legal path Thursday, substituting an "information" complaint for the same

See Y-12, 15A

## Y-12 from 1A

misinformation charges.

The charges carry a maximum sentence of one year in prison and a maximum fine of \$100,000.

Assistant U.S. Attorney Melissa Kirby declined to comment on the reason for the change in strategy, but the net effect is that it eliminated the need for a preliminary hearing, during which evidence would have been presented to support or invalidate the charges. A preliminary hearing had been scheduled for Thursday.

Many details of Saturday's break-in at one of the nation's highest-security installations have yet to be made public, but the unprecedented entry into Y-12's high-security core — where work on nuclear warheads takes place and where the nation's supply of bomb-grade uranium is stored — has already had significant ramifications. In a highly unusual step, the government's contractor at Y-12 announced Wednesday that all nuclear operations had

at security harm at the nuclear weapons installation.

Another hearing before the federal magistrate is scheduled for today to determine whether the defendants can be released — and under what terms — before trial.

The defendants are currently being housed in the Blount County Jail, Shirley indicated that Kirby's arguments for detaining the three might not be sufficient for the misdemeanor charges.

The federal prosecutor had argued that the three should remain in jail because they were a danger to the community, citing actions at Y-12 that went "far beyond" previous protest actions at the Oak Ridge site. She also suggested that the three were a flight risk because they had been arrested on other charges in at least eight states and didn't live locally.

"These defendants have absolutely no respect for the law," Kirby said.

The magistrate noted that Walli had been in the same court a year ago on

similar charges and had shown up for his hearings. He asked the lawyers representing the defendants and the U.S. attorney's office to research these issues before today's detention hearing.

Attorney Chris Trainin is representing Walli, and attorney Francis Lloyd is representing Rice. Boertje-Obed is representing himself in court, although federal Magistrate Judge Bruce Gayton earlier this week appointed attorney Bobby Huron as an "allow" or supporting counsel, to provide legal advice to the defendant.

All three of the protesters earlier this week waived their right to a detention hearing, but on Thursday Rice and Walli both indicated they would like to be freed before the trial.

Boertje-Obed said he would "accept" being released on his own recognizance, but only if the judge would state publicly that building nuclear weapons is a war crime. The judge indicated that wasn't going to happen.

The same defendants

## Y-12 from 1A

Steps More on Frank Munger's "Atomic City Underground"

been shut down, working stacks of enriched uranium had been placed into secure vaults, and thousands of Y-12 workers would undergo refresher courses on security do's and don'ts and other training.

Steven Wyatt, a federal spokesman at the Oak Ridge plant, acknowledged that in addition to the weekend breach of security by protesters, additional security concerns and violations were identified in the days immediately after the break-in. The "security stand-down," he said, was needed to ensure continued confidence in Y-12 operations.

There could be concerns that another public hearing, involving testimony on such things as the route that protesters used to enter the plant and how they accessed the so-called "protected Area," could do addition-

ally made a number of other philosophical statements when asked if he pleaded

guilty or not guilty, and the judge finally entered a not-guilty plea for him.

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: LHM

Date: 08/08/2012

Title: (U) Plowshares Movement

Approved By: SSA

Drafted By:

Case ID #: 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

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Synopsis: (U) Opening new 336I - Plowshares Movement as

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Case to be designated [REDACTED]**Date:** 08/09/2012

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**To:** DG-OPS2\_DTOS  
DG-OPS2\_DTOS DTOU1**From:** KNOXVILLE  
KX-10**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** SSA [REDACTED]  
CDC [REDACTED]  
A/ASAC [REDACTED]  
[REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 336I-KX-2433548(U) PLOWSHARES MOVEMENT;  
KNOXVILLE  
[REDACTED]

DT - ENVIRONMENTAL EXTREMISM

b6  
b7C  
b7E**Synopsis:** (U) Case to be designated [REDACTED] request to CDC, ASAC and SAC; notification to DTOU 1 and DTOS.

b7E

**Preliminary Investigation Initiated:** 08/01/2012, set to expire 02/01/2013**Reference:** 336I-KX-2433548 Serial 7**Details:**

(U) Per Knoxville CDC [REDACTED] captioned predicated investigation should be [REDACTED] As this PI has already been opened, per DIOG 10.1.4.4.1, the matter must be reviewed by the CDC and approved by the SAC and notification

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Title: (U) Case to be designated [REDACTED]  
Re: 336I-KX-2433548, 08/08/2012

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provided to the appropriate FBIHQ Unit and Section. Following is information provided in the opening communication and subsequent judicial processes.

(U) Knoxville has opened a predicated investigation of a group based on information that on 07/28/2012, at approximately 4:40am, Y-12 facility security perimeter was breached by three members of the "Transform Now Plowshares." The three USPERs, Megan Rice, [REDACTED] [REDACTED] cut through three fences and were able to gain entry to the Protected Area of the Y-12 National Security Complex (NSC) in Oak Ridge, Tennessee. The three spray-painted the wall of the Highly Enriched Uranium Materials Facility which contains bomb-grade uranium. The messages read, "Woe to the empire of blood," "The fruit of justice is peace," "Work for peace not war," and "Plowshares pleases Isaiah." They also poured blood on the wall of the facility.

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(U) Rice, [REDACTED] were arrested on 07/28/2012 without incident, and were charged federally with Trespassing and Damage to Government Property. At the Initial Appearance on 07/30/2012, Rice, [REDACTED] waived their right to a Detention Hearing and were held at the Blount County Jail. Soon after, U.S. District Court Magistrate Judge Shirley declined their waiver of the Detention Hearing, which was conducted on 08/03/2012. Rice [REDACTED] [REDACTED] were released on bond, and [REDACTED] was permitted to waive his detention hearing to remain in custody until the next court appearance. [REDACTED] will also be representing himself.

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(U) Rice, [REDACTED] were indicted on 08/07/2012 by the Federal Grand Jury, Eastern District of Tennessee, on the following charges:

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Title: (U) Case to be designated [REDACTED]

Re: 336I-KX-2433548, 08/08/2012

Title 18 - 1361 (Malicious Mischief - Government Property)

Title 18 - 1363 (Malicious Mischief - Government Buildings)

Title 42 - 2278 (Trespass)

(U) Initial appearance for the Title 18, Section 1361 charges is scheduled for Thursday, 08/09/2012, at 9:30am. The Assistant United States Attorney (AUSA) assigned to this case is [REDACTED] This case is being worked cooperatively with DOE-IG.

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(U) The Plowshares Movement is an anti-nuclear weapons, mostly Christian movement which gained notoriety in the 1980's after group members damaged government property and were subsequently convicted.

On 09/09/1980, eight members of the Plowshares Movement entered the General Electric Nuclear Missile Re-entry Division in King of Prussia, Pennsylvania. On that day, the group hammered on nose cones for Mark 12A warheads, poured blood on documents and offered prayers for peace. The group was arrested and charged initially with over ten different felony and misdemeanor counts. They were convicted by a jury of burglary, conspiracy and criminal mischief and sentenced to prison terms of five to ten years.

(U) There have been 72 other instances world-wide where members of the Plowshares Movement have committed similar acts. Some instances with federal convictions include 12/13/1980 in Groton, Connecticut, 10/29/1981 in Philadelphia, Pennsylvania, 12/19/1999 in Middle River, Maryland, and 5/25/2004 in New York City, New York. On 07/05/2010, a protest occurred at Y-12 NSC marking the 30th anniversary of the Plowshares Movement and 14 people were arrested and charged federally.

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Title: (U) Case to be designated

Re: 336I-KX-2433548, 08/08/2012

Rice is identified as follows:

Name:

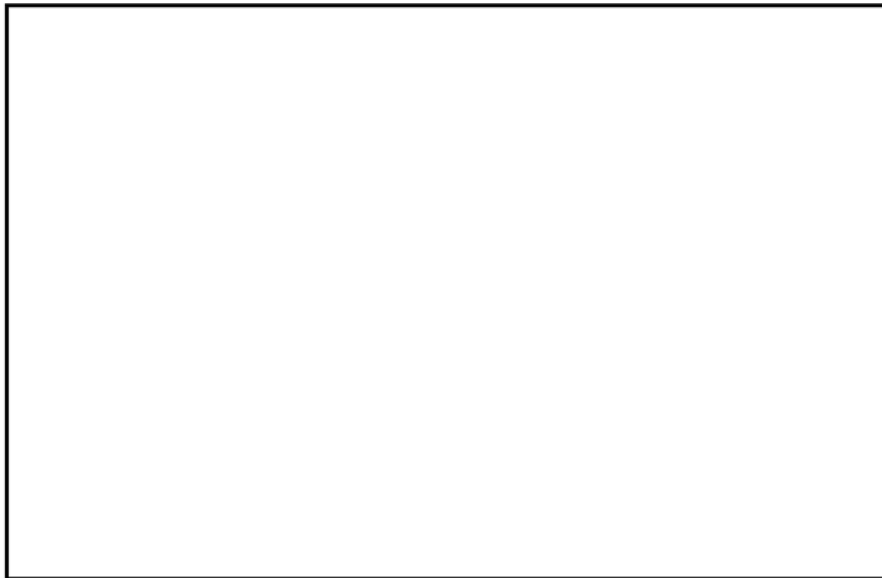
Last: Rice

First: Megan

DOB: 01/31/1930

SSN: 110-52-7763

Address: 1420 W. Bartlett Ave., Las Vegas, NV 89106



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Title: (U) Case to be designated

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Re: 336I-KX-2433548, 08/08/2012



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(U) This matter is being opened based on the above information that the Plowshares Movement is potentially furthering their political agenda through activities that involve force and a violation of federal criminal law.

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**FEDERAL BUREAU OF INVESTIGATION****Evidence Log****Event Title:** (U) collected 8/2/12 @1150am**Date:** 08/10/2012**Approved By:****Drafted By:****Case ID #:** 336I-KX-2433548(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Acquired By:** on 08/02/2012**Acquired From:**

(U) SA

DOE IG

**Receipt Given?:** No**Holding Office:** KNOXVILLE**Details:**

collected 8/2/12 @1150am

**Item Type****Description**

1B General

(U) six spray paint cans

Acquired On: 08/02/2012

Hazardous Materials: Fire, Biological

Special Handling Codes: Caution Latents

Located By:

Location Area: n/a

Specific Location: n/a

1B General

(U) 6 baby bottles

Acquired On: 08/02/2012

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b7C

## UNCLASSIFIED

Title: (U) collected 8/2/12 @1150am

Re: 336I-KX-2433548, 08/10/2012

Hazardous Materials: Biological  
Special Handling Codes: Caution Latents, Other (DNA)  
Located By: [REDACTED]  
Location Area: n/a  
Specific Location: n/a

b6  
b7C

1B General (U) six baby bottle caps  
Acquired On: 08/02/2012  
Hazardous Materials: Biological  
Special Handling Codes: Caution Latents  
Located By: [REDACTED]  
Location Area: n/a  
Specific Location: n/a

b6  
b7C

1B General (U) 5 nipples and original packaging  
Acquired On: 08/02/2012  
Hazardous Materials: Biological  
Special Handling Codes: Caution Latents  
Located By: [REDACTED]  
Location Area: n/a  
Specific Location: n/a

b6  
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1B General (U) 1 pair yellow 14" workforce bolt cutters  
1 pair red 24" bolt cutters  
Acquired On: 08/02/2012  
Special Handling Codes: Caution Latents  
Located By: [REDACTED]  
Location Area: n/a  
Specific Location: n/a

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b7C

1B General (U) miscellaneous documents, bible, canvas sign, green  
galileo binoculars, danger tape  
  
Acquired On: 08/02/2012  
Located By: [REDACTED]  
Location Area: n/a  
Specific Location: n/a

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UNCLASSIFIED

Title: (U) collected 8/2/12 @1150am

Re: 336I-KX-2433548, 08/10/2012

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Request for laboratory examination.**Date:** 08/10/2012**To:** D7-FDDU  
D7-LPOU  
D7-NDNAU  
[REDACTED]b6  
b7C**From:** KNOXVILLE  
KX-10**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** A/SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 336I-KX-2433548(U) PLOWSHARES MOVEMENT;  
KNOXVILLE  
[REDACTED]

b7E

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) To request examination for latent prints and DNA blood evidence.**Preliminary Investigation Initiated:** 08/01/2012, set to expire 02/01/2013**Package Copy:** (U) Evidence items 1B1, 1B2, 1B3 and 1B5 being sent under separate cover.**Details:**

(U) Items being forwarded for examination of latent prints include six baby bottles (1B2), six baby bottle caps (1B3), two bolt cutters (1B5) and six cans of spray paint (1B1). These items were used to cut through chain link fence and spray paint the wall of the Highly Enriched Uranium Materials Facility at Y-12 National Security Complex on 7/28/2012. All of them have been subjected to super glue fumes as a

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Title: (U) Request for laboratory examination.

Re: 336I-KX-2433548, 08/10/2012

method of preserving any prints which might have been left by the subjects. It is requested that the laboratory examine the items for the presence of latent prints.

(U) On 08/07/2012 at 3:45pm, the six baby bottles were also tested individually using Hemestix for the presumptive presence of blood and each test result was positive. It is requested that the laboratory examine the bottles to identify the substance and, if it is blood, to determine if it is human blood and to test for DNA.

(U) For information of the lab, on 08/09/2012, a trial date for this case was set for 10/10/2012. If the deadline set for leads attached hereto is not able to be met, please contact SA [REDACTED]  
[REDACTED]

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 08/13/2012

**Title:** (U) Complaints for captioned subjects.

**Approved By:** SSA

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Complaints for captioned subjects.

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UNCLASSIFIED

UNITED STATES DISTRICT COURT

for the

Eastern District of Tennessee

United States of America

v.

MEGAN RICE

Case No. 3:12-mj-1131

Defendant(s)

CRIMINAL COMPLAINT

I, the complainant in this case, state that the following is true to the best of my knowledge and belief.

On or about the date(s) of July 28, 2012 in the county of Anderson in the Eastern District of Tennessee, the defendant(s) violated:

Code Section

18 U.S.C. Section 1363

Offense Description

At a place within the special maritime and territorial jurisdiction of the United States, namely, the United States Department of Energy, National Nuclear Security Administration, Y-12 National Security Complex, defendant did willfully and maliciously destroy and injure, or attempt to destroy or injure, any structure, conveyance, or other real or personal property within the Y-12 National Security Complex.

This criminal complaint is based on these facts:

SEE ATTACHED AFFIDAVIT OF SPECIAL AGENT [REDACTED] WHICH IS INCORPORATED HEREIN BY REFERENCE.

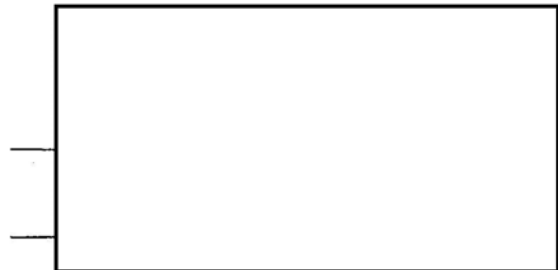
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☒ Continued on the attached sheet.

Sworn to before me and signed in my presence.

Date: 08/03/2012

City and state: Knoxville, Tennessee



*C. Clifford Shirley, Jr.*  
Judge's signature

Magistrate Judge C. Clifford Shirley, Jr.  
Printed name and title

AFFIDAVIT

1. I, the undersigned complainant, being duly sworn, state the following is true and correct to the best of my knowledge and belief: I am a Special Agent of the Office of Inspector General for the United States Department of Energy (DOE). As part of my official duties, I investigate crimes against DOE facilities.

2. I am familiar with the facts and circumstances set forth in this affidavit as a result of my personal knowledge from participation in this investigation, as well as information provided to me by security police officers authorized to provide security for the Y-12 National Security Complex.

3. The Y-12 National Security Complex (Y-12 Complex) is located in Oak Ridge, Tennessee. The Y-12 Complex is a facility of the United States Government subject to the jurisdiction, administration, and in the custody of the United States Department of Energy. The Y-12 Complex is the nation's production facility for many nuclear weapons' components. *IT IS A PLACE WITHIN THE SPECIAL MARITIME AND TERRITORIAL JURISDICTION OF THE UNITED STATES, NAMELY, THE UNITED STATES DEPARTMENT OF ENERGY.*

4. The Y-12 Complex consists of 811 acres containing approximately 502 buildings. The buildings that are within the "Protected Area" are surrounded by a series of eight-foot high "perimeter intrusion detection and assessment system" (PIDAS) security fences. The PIDAS security fences are posted with signs that state, "Danger: Halt! Deadly force is authorized beyond this point." Inside the "Protected Area," deadly force may be used in response to any intruders.

5. The "Protected Area" in the Y-12 Complex is also enclosed by another fence which is beyond the "PIDAS" security fences. The fence beyond the "PIDAS" security fences is the 229 boundary fence. The 229 boundary fence has 10" X 14" signs posted at close intervals along the perimeter barrier stating: "No Trespassing." These signs also indicate that unauthorized entry is punishable by up to a \$100,000 fine and 1 year imprisonment. On July 28, 2012, these perimeter fences served to warn and deter the public from unauthorized access to the Y-12 Complex.

6. On July 28, 2012, based on my investigation, [REDACTED] and MEGAN RICE did willfully and without authorization enter the enclosed property of the Y-12 Complex by crossing the 229 boundary fence near the North patrol road on the North ridge of the Y-12 Complex property.

7. According to my investigation, [REDACTED] and RICE traveled up a partially wooded hill to reach the 229 boundary fence on the North ridge of the Y-12 Complex property. [REDACTED] and RICE then traveled approximately 600 meters on foot from the 229 boundary to the first eight-foot tall PIDAS security fence. Their path would have crossed over Bear Creek Road.

8. Bear Creek Road runs from the east entrance of the Y-12 Complex to the west entrance of the Y-12 Complex. Bear Creek Road is frequently traveled by employees. Bear Creek Road is within approximately 150 yards of the Highly Enriched Uranium Materials Facility (HEUMF).

9. Once they reached the first PIDAS security fence, [REDACTED] and RICE passed through that fence by cutting it with bolt cutter tools, prying the cut fence open, and crawling through the cut portion. While traveling between the fences, [REDACTED] and RICE activated numerous alarms and sensors. At the next PIDAS security fence they reached, [REDACTED] and RICE again used bolt cutter tools to cut and damage the fence, they pried it open and crawled through. When cutting the fence, they also severed alarm wires. [REDACTED] and RICE repeated his procedure a third time, cutting, damaging and entering through the final PIDAS security fence into the "Protected Area."

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10. Once inside the barrier and all of the perimeter fences, [REDACTED] and RICE maliciously defaced and injured a Y-12 Complex building, the HEUMF, by applying paint to the exterior. They painted the phrases "Woe to the empire of blood," "the fruit of justice is peace," and "Plowshares pleases Isaiah" on the exterior wall of the building. [REDACTED] and RICE also threw blood on the exterior wall of the HEUMF. They left numerous empty containers and trash on the ground outside the building, as well.

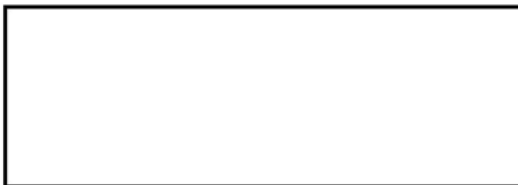
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11. When security officers apprehended [REDACTED] and RICE, the officers found two flashlights, a set of binoculars, red "danger" tape, a backpack, two sets of bolt cutter tools, three hammers, six cans of spray paint, candles, flowers, seeds, plastic zip ties, matches, gloves, a "Plowshares" banner, a Bible, bread and copies of a letter. The letter stated, in part, "We come to the Y-12 facility because our very humanity rejects the designs of nuclearism, empire and war. Our faith in love and nonviolence encourages us to believe that our activity here is necessary; that we come to invite transformation, undo the past and present work of Y-12; disarm and end any further efforts to increase the Y-12 capacity for an economy and social structure based upon war-making and empire-building." The letter was signed by [REDACTED] and RICE.

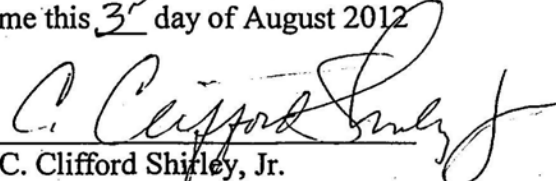
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12. Based upon the foregoing information, your affiant believes that there is probable cause to believe that [REDACTED] and MEGAN RICE have violated Title 18, United States Code, Section 1363.

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Sworn to and subscribed before  
me this 3<sup>rd</sup> day of August 2012

  
C. Clifford Shirley, Jr.  
United States Magistrate Judge  
Eastern District of Tennessee

UNITED STATES DISTRICT COURT

for the

Eastern District of Tennessee

United States of America

v.

MEGAN RICE

Case No. 3:12-MJ-2088

Defendant(s)

CRIMINAL COMPLAINT

I, the complainant in this case, state that the following is true to the best of my knowledge and belief.

On or about the date(s) of July 28, 2012 in the county of Anderson in the Eastern District of Tennessee, the defendant(s) violated:

Code Section

42 U.S.C. Section 2278a(c) and  
10 C.F.R. Sections 860.3 and 860.5(b)

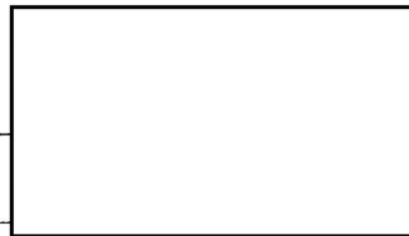
Offense Description

Willfully and without authorization entering upon the property of the United States Department of Energy, National Nuclear Security Administration, Y-12 National Security Complex, a facility, installation, and real property subject to the jurisdiction, administration, and in the custody of the United States Department of Energy, which was enclosed by a fence and structural barrier.

This criminal complaint is based on these facts:

SEE ATTACHED AFFIDAVIT OF SPECIAL AGENT [REDACTED] WHICH IS INCORPORATED HEREIN BY REFERENCE.

☒ Continued on the attached sheet.



Printed name and title

Sworn to before me and signed in my presence.

Date: 07/28/2012

Bruce Guyton

Judge's signature

City and state: Knoxville, Tennessee

Magistrate Judge H. Bruce Guyton

Printed name and title

b6  
b7C

AFFIDAVIT

1. I, the undersigned complainant, being duly sworn, state the following is true and correct to the best of my knowledge and belief: I am a Special Agent of the Office of Inspector General for the United States Department of Energy (DOE). As part of my official duties, I investigate crimes against DOE facilities.

2. I am familiar with the facts and circumstances set forth in this affidavit as a result of my personal knowledge from participation in this investigation, as well as information provided to me by security police officers authorized to provide security for the Y-12 National Security Complex.

3. The Y-12 National Security Complex (Y-12 Complex) is located in Oak Ridge, Tennessee. The Y-12 Complex is a facility of the United States Government subject to the jurisdiction, administration, and in the custody of the United States Department of Energy. The Y-12 Complex is the nation's production facility for many nuclear weapons' components.

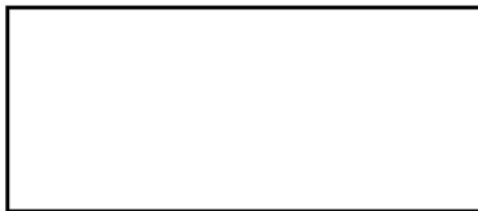
4. The Y-12 Complex consists of 811 acres containing approximately 502 buildings. The buildings are surrounded by an eight-foot high security fence. The buildings of the Y-12 Complex are also enclosed by another fence which is outside of the eight-foot security fence. This perimeter fence is to prevent unauthorized access to the Y-12 Complex.

5. On July 28, 2012, there were 10" X 14" signs at close intervals along the perimeter barrier stating: "No Trespassing." These signs also indicated that unauthorized entry was punishable by up to a \$100,000 fine and 1 year imprisonment.

6. On July 28, 2012, despite the aforementioned "no trespassing" signs, MEGAN RICE, did willfully and without authorization enter the enclosed property of the Y-12 Complex by crossing the perimeter fence and barrier, and then crossed through three more fences further onto the property.



7. Based upon the foregoing information, your affiant believes that there is probable cause to believe that MEGAN RICE has violated 42 U.S.C. § 2278a(c); 10 C.F.R. §§ 860.3 and 860.5(b).



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Sworn to and subscribed before  
me this 28 day of July 2012

  
H. Bruce Guyton  
United States Magistrate Judge  
Eastern District of Tennessee

## UNITED STATES DISTRICT COURT

Eastern

District of

Tennessee

UNITED STATES OF AMERICA

V.

MEGAN RICE

## WARRANT FOR ARREST

Case Number: 3:12-mj-2088

To: The United States Marshal  
and any Authorized United States Officer

YOU ARE HEREBY COMMANDED to arrest

MEGAN RICE

Name

and bring him or her forthwith to the nearest magistrate judge to answer a(n)

☐ Indictment   ☐ Information   ☒ Complaint   ☐ Order of court   ☐ Probation Violation Petition   ☐ Supervised Release Violation Petition   ☐ Violation Notice

charging him or her with (brief description of offense)

on or about July 28, 2012, in the Eastern District of Tennessee, willfully and without authorization entering upon the property of the United States Department of Energy, National Nuclear Security Administration, Y-12 National Security Complex, a facility, installation, and real property subject to the jurisdiction, administration, and in the custody of the United States Department of Energy, which was enclosed by a fence and structural barrier, in violation of 42 U.S.C. § 2278a(c) and 10 C.F.R. §§ 860.3 and 860.5(b).

in violation of Title (see above) United States Code, Section(s) (see above)

Bruce Guyton

Name of Issuing Officer

Bruce Guyton

Signature of Issuing Officer

U.S. Magistrate Judge

Title of Issuing Officer

7/28/12 Knoxville TN

Date and Location

## RETURN

This warrant was received and executed with the arrest of the above-named defendant at

Y-12 PROPERTY, ANDERSON COUNTY, TN

DATE RECEIVED

7/28/12

NAME AND TITLE OF ARRESTING OFFICER

SPECIAL AGENT

SIGNATURE OF

DATE OF ARREST

7/28/12

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**THE FOLLOWING IS FURNISHED FOR INFORMATION ONLY:**

DEFENDANT'S NAME: Megan Rice

ALIAS: \_\_\_\_\_

LAST KNOWN RESIDENCE: \_\_\_\_\_

LAST KNOWN EMPLOYMENT: \_\_\_\_\_

PLACE OF BIRTH: \_\_\_\_\_

DATE OF BIRTH: 01/31/30

SOCIAL SECURITY NUMBER: \_\_\_\_\_

HEIGHT: \_\_\_\_\_ WEIGHT: \_\_\_\_\_

SEX: Female RACE: White

HAIR: \_\_\_\_\_ EYES: \_\_\_\_\_

SCARS, TATTOOS, OTHER DISTINGUISHING MARKS: \_\_\_\_\_

FBI NUMBER: \_\_\_\_\_

COMPLETE DESCRIPTION OF AUTO: \_\_\_\_\_

INVESTIGATIVE AGENCY AND ADDRESS: Department of Energy, Office of the Inspector General,

P.O. Box 6318, Oak Ridge, Tennessee, 37831

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 08/15/2012

**Title:** (U) ack letter

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) ack letter 120813006 PF

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 08/15/2012

**Title:** (U) ack letter

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) ack letter 120813006 pf

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 08/16/2012

**Title:** (U) Acknowledgment letter

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Acknowledgment letter - Lab number 120813006

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 08/16/2012**Title:** (U) Indictment for Rice, [REDACTED]b6  
b7C**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 336I-KX-2433548(U) PLOWSHARES MOVEMENT;  
KNOXVILLE[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb6  
b7C  
b7E**Synopsis:** (U) Indictment for Rice, [REDACTED]

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UNCLASSIFIED

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF TENNESSEE  
AT KNOXVILLE

FILED

2012 AUG -7 P 3:04

U.S. DISTRICT COURT  
EASTERN DIST. TENN.

DEPT. OF

UNITED STATES OF AMERICA

v.

MICHAEL R. WALLI,  
MEGAN RICE, and  
GREG BOERTJE-OBED

CASE NO. 3:12-CR- 107  
JUDGES \_\_\_\_\_

**INDICTMENT**

**COUNT ONE**

The Grand Jury charges that, on or about July 28, 2012, within the Eastern District of Tennessee, the defendants, MICHAEL R. WALLI, MEGAN RICE, and GREG BOERTJE-OBED, aided and abetted by each other, at a place within the special maritime and territorial jurisdiction of the United States, namely, the United States Department of Energy, National Nuclear Security Administration, Y-12 National Security Complex, did willfully and maliciously destroy, injure, and attempt to destroy and injure, a structure, and other real and personal property within the Y-12 National Security Complex, in violation of Title 18, United States Code, Sections 1363 and 2.

**COUNT TWO**

The Grand Jury further charges that, on or about July 28, 2012, in the Eastern District of Tennessee, the defendants, MICHAEL R. WALLI, MEGAN RICE, and GREG BOERTJE-OBED, aided and abetted by each other, willfully and by means of cutting, painting and defacing,



did injure and commit a depredation against property of the United States and of the United States Department of Energy, National Nuclear Security Administration, Y-12 National Security Complex, and the resulting damage was in an amount exceeding \$1,000, in violation of Title 18, United States Code, Sections 1361 and 2.

**COUNT THREE**

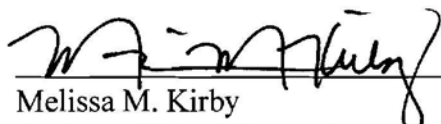
The Grand Jury further charges that, on or about July 28, 2012, in the Eastern District of Tennessee, the defendants, MICHAEL R. WALLI, MEGAN RICE, and GREG BOERTJE-OBED, did willfully and without authorization enter upon the property of the National Nuclear Security Administration, Y-12 National Security Complex, a facility, installation, and real property subject to the jurisdiction, administration, and in the custody of the United States Department of Energy, which was enclosed by a fence and structural barrier, in violation of Title 42, United States Code, Section 2278a(c), and 10 C.F.R. §§ 860.3 and 860.5(b).

A TRUE BILL: \_\_\_\_\_

**SIGNATURE REDACTED**

\_\_\_\_\_  
GRAND JURY FOREPERSON

WILLIAM C. KILLIAN  
UNITED STATES ATTORNEY

  
Melissa M. Kirby  
Assistant United States Attorney

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Internal Compliance Matters**Date:** 08/19/2012**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]  
[REDACTED]b6  
b7C  
b7E**Drafted By:** [REDACTED]**Case ID #:** 3190-HQ-A1561245-OIC (U) OFFICE OF INTEGRITY AND COMPLIANCE,  
DO

3190-HQ-A1561245-KX (U) KNOXVILLE

336I-KX-2433548 (U) PLOWSHARES MOVEMENT;  
KNOXVILLE

[REDACTED]

b7E

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) To report substantial non-compliance with the DIOG

[REDACTED]

**Details:**

During a review by the Knoxville Chief Division Counsel (CDC), it was discovered that case 336I-KX-2433548, which was opened on 08/01/2012, should have been opened [REDACTED]

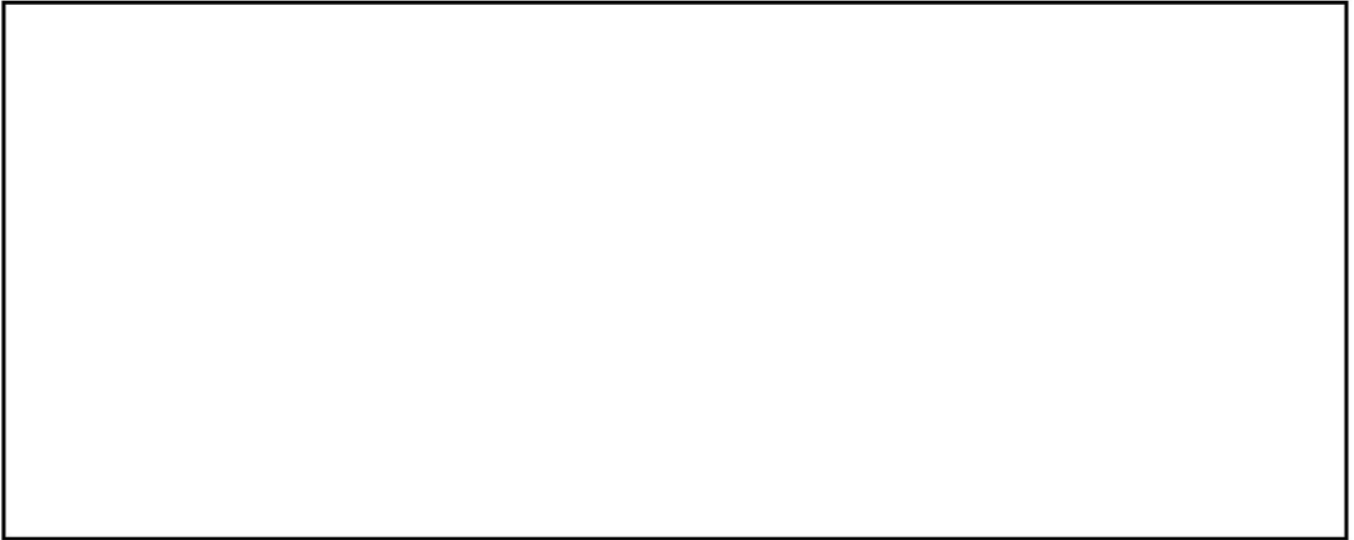
This was immediately corrected on 08/09/2012, and the following information is provided:

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Title: (U) Internal Compliance Matters  
Re: 3190-HQ-A1561245-OIC, 08/09/2012



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SAC Knoxville Division determined this non-compliance does not appear to reflect intentional or willful misconduct.

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 08/14/2012

(U) [ ] date of birth [ ] telephone number [ ] who requested that his identity be protected, was telephonically interviewed. After being advised of the identity of the interviewing agent and the nature of the interview, [ ] provided the following information:

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b7DUNCLASSIFIED//~~FOUO~~Investigation on 08/06/2012 at Knoxville, Tennessee, United States (Phone)File # 336I-KX-2433548 Date drafted 08/14/2012

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Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 17

**Package:** 1A1

**Stored** None

**Location:**

**Summary:** (U) Notes from interview of [REDACTED]  
[REDACTED] on 8/6/12.

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**Acquired By:** [REDACTED]

**Acquired On:** 2012-08-06

**Attachment:** (U) Notes from interview of [REDACTED]  
[REDACTED] on 8/6/12.

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 08/27/2012

**Title:** (U) News Sentinel Article dated 8/7/2012

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**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Affidavit: Activists severed alarm wires. Trio reportedly cut through fences

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News-Sentinel

8-7-2012

9A

# Affidavit: Activists severed alarm wires

## ■ Trio reportedly cut through fences

By Frank Munger  
mungerf@knoxnews.com  
865-342-6329

Three protesters apparently traversed the partially wooded Pine Ridge on the north side of the Y-12 nuclear weapons plant to enter the plant during the dark, early-morning hours of July 28 and ultimately reach the high-security zone known as the Protected Area.

According to the sworn affidavit of Eric Dugger, a special agent with the U.S. Department of Energy's Office of Inspector General, the three protesters — Sister Megan Rice, 82; Michael Walli, 63, and Greg Boertje-Obed, 57 — were able to cross the plant's initial boundary fence on the ridge near a Y-12 patrol road and then traveled about 600 meters, crossing Bear Creek Road at one point, until they came to a series of 8-foot-high fences loaded with alarms and sensors. Collectively, those fences form the plant's electronic Perimeter Intrusion Detection and Assessment System, which is supposed to alert security personnel to unauthorized entry.

Dugger's affidavit was filed in U.S. District Court in Knoxville, where the three anti-war protesters are facing federal charges of trespassing, a misdemeanor, and willful and malicious destruction of property, a felony. A preliminary hearing is scheduled for Thursday before Federal Magistrate Judge G. Clifford Shirley.

Dugger said the protesters would have passed many signs warning against trespassing and unauthorized entry, including warnings of deadly force when they approached the high-security Protected Area.

The special agent said the

three defendants were able to get through the first PIDAS fence by using bolt-cutters to cut the fence. Then they pried it back and crawled through the opening, he said.

"When traveling between the fences, Walli, Boertje-Obed and Rice activated alarms and sensors," Dugger said in the affidavit. At the next PIDAS security fence, they repeated the procedures and while cutting the fence, "they severed alarm wires," he said.

After doing the same thing at a third fence, they were able to enter the Protected Area and make their way to the Highly Enriched Uranium Materials Facility, which houses the nation's primary supply of weapons-grade uranium.

Once there, they painted the phrases "Woe to the empire of blood," "The fruit of justice is peace," and "Plowshares please Isiah" on the outside wall of the big building. They also threw blood on the building, Dugger said in the affidavit.

"They left numerous empty containers and trash on the ground outside the building, as well," the document states.

When security guards apprehended the three (reportedly around 4:30 a.m.), these items were reported found on the defendants: six cans of spray paint, flowers, seeds, three hammers, bolt-cutter tools, a Bible, bread, matches, plastic zip ties and copies of a letter.

The letter said, in part, "Our faith in love and nonviolence encourages us to believe that our activity here is necessary; that we come to invite transformation, undo the past and present work of Y-12; disarm and end any further effort to increase the Y-12 capacity for an economy and social structure based upon war-making and empire-building." The letter was signed by the three, Dugger said.

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 08/27/2012**Title:** (U) News Sentinel Article dated 8/10/2012**Approved By:****Drafted By:**b6  
b7C  
b7E**Case ID #:** 336I-KX-2433548(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Y-12 protesters face third federal charge; Depredation  
count added

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# Y-12 protesters face third federal charge

## ■ 'Depredation' count added

**Blog:** More on Frank Munger's "Atomic City Underground"

**By Frank Munger**  
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865-942-6129

Nuclear operations remained idle at the Y-12 nuclear weapons plant Thursday as the federal

average age 67 — to gain unimpeded access to the plant's highest-security areas in a pre-dawn protest July 28.

While thousands of Oak Ridge workers underwent security training this week, a federal grand jury returned a three-count indictment against the three Y-12 protesters —

Department of Energy and its contractors worked to restore confidence in security systems after allowing three peace activists —

that the news focus should be on the evils of nuclear weapons, not on the security flaws of Y-12.

A preliminary hearing had been scheduled for Thursday, with the expectation that the federal government would present evidence to support the previous charge. However, the federal indictment is now the official charging document, and no preliminary hearing is required.

Shirley reaffirmed his earlier decision to release two of the defendants — Rice and Walli — based on conditions, including their promise not to enter Y-12 or any other federal facilities until this case is resolved. Both plan to reside at a Catholic facility in Washington, D.C., and there are restrictions on their travel.

Boertje-Obed, who is serving as his own legal counsel, previously waived his right to a detention hearing and remains in custody at the Blount County Detention Center.

The indictment has three separate counts: willful and malicious destruction of property; willfully committing "a depredation

Sister Megan Rice, 82; Michael Walli, 63; and Greg Boertje-Obed, 57.

The indictment consolidated previous charges lodged against them and added a felony count of "depredation" of government property, involving the cutting, painting and defacing that resulted in damages exceeding \$1,000.

cluding warnings of deadly force when they approached the high-security Protected Area. The special agent said the three defendants were able to get through the PIDS fences using bolt-cutters, setting off alarms in the process.

Why Y-12 security forces did not respond sooner

has not been fully confirmed, but various reports have suggested there were technological problems with the cameras and surveillance equipment and that security guards failed to follow procedures.

Ellen Reatner, a spokeswoman for B&W Y-12, the plant's managing contractor, said Thursday that most of the refresher training on security had been completed. Federal spokesman Steven Wyatt confirmed that the plant remains shut down, and he said he did not have information on when the security "stand-down" would be lifted.

See Y-12, 17A

News-Sentinel

8-10-2012

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**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) DOE must repair Y-12 security and public perceptions

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News-Sentinel

8-10-2012

2B

## Editorial

# DOE must repair Y-12 security and public perceptions

The U.S. Department of Energy, the National Nuclear Security Administration and Y-12 contractors have moved with uncharacteristic speed to resolve problems uncovered during the unprecedented security breach at the nuclear weapons plant.

In an understatement, Energy Secretary Steven Chu called the failure an "unacceptable and deeply troubling breach" of security.

The three anti-nuclear activists — Sister Megan Rice, 82, Las Vegas, Nev.; Michael Walli, 63, Washington, D.C.; and Greg Boertje-Obed, 57, Duluth, Minn. — have been charged with willful and malicious destruction of property; willfully committing "a depredation against property of the United States and the U.S. Department of Energy"; and trespassing.

The trio managed to cross the northern sector of the plant, cut through several fences arrayed with sensors and reach the fortress-like building containing the nation's primary supply of bomb-grade uranium before being apprehended by security personnel.

The security failure prompted B&W Y-12, the plant's managing contractor, to shut down nuclear operations last week and order thousands of employees to undergo retraining in security measures. Subsequently, there has been a major reorganization of the security apparatus at the plant.

"The department has no tolerance for security breaches at any of our sites, and I am committed to ensure that those responsible will be held accountable," Chu said.

The NNSA streamlined the chain of command, clearly putting B&W Y-12 in charge and making WSI-Oak Ridge its subordinate. Previously, the two contractors reported separately to NNSA.

Gen. Rodney Johnson, deputy manager at the Pantex warhead assembly plant in Texas, has been brought to Y-12 to head up security operations for B&W Y-12. Tom Hayden will be his top lieutenant, replacing Butch Clements, who is retiring.

The security guards involved in the incident have been suspended, and three members of the leadership team at the government's security contractor — WSI-Oak Ridge — have been removed from their positions, including General Manager Lee Brooks. Steven C. Hafner has been brought in to replace him. Gary Brandon, WSI's protective force director at Y-12, also was removed from his position. John Garrity is his replacement.

Multiple investigations are under way. Chu said lessons learned would be shared with other sites in the nation's nuclear weapons complex. That's a good and necessary start, but not enough.

The American people must know that the nuclear material entrusted to the government and its contractors is safe from harm. The results of the investigations should be made public to the extent that the findings do not jeopardize national security. U.S. Sens. Lamar Alexander and Bob Corker, and U.S. Rep. Chuck Fleischmann, should demand a full accounting to Congress of the mistakes made and the corrective action taken. Never again should intruders be allowed to break into one of the nation's most sensitive national security facilities.

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(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) New Shake-up roils Y-12; Overseer's leadership replaced

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News-Sentinel  
8-11-2012  
A1/A3

# New shake-up roils Y-12

## ■ Overseer's leadership replaced

By Frank Munger  
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Blog: More on Frank Munger's "Atomic City Underground"

OAK RIDGE — Reverberations from the security shock at the Y-12 nuclear

weapons plant continued Friday night, with an announcement that Babcock & Wilcox is bringing in new leadership for the government contractor that

manages the Oak Ridge plant.

Effective immediately, Charles "Chuck" G. Spencer will serve as acting president and general manager of B&W Y-12 LLC, the main contractor at Y-12 since 2000. B&W Y-12 is a partnership of Babcock & Wilcox and Bechtel National. The B&W announcement said

that Darrel P. Kohlhorst, the president and general manager for the past four and a half years, and Bill Klemm, the deputy GM of operations, have retired. Neither Kohlhorst nor Klemm was available for comment Friday night.

B&W's announcement did not mention it, but the leadership change is clearly more fallout from

the security crisis that's enveloped Y-12 since the unprecedented breach of security that occurred July 28. That's when three peace activists — including an 82-year-old nun and two other "Plowshares" protesters — penetrated the plant's security barriers, cut through multiple

See Y-12, 13A

## Y-12 from 1A

fences, sidestepped razor wire and reached the inner security core.

Once there, they hung peace banners, spray-painted protest messages on the storehouse for bomb-grade uranium and poured human blood on the structure.

B&W spokeswoman Aimee Mills declined to comment on the security breach or its role in the high-level personnel changes.

Since Aug. 1, a couple of days after the break-in by protesters, Y-12 has been under a "security stand-down," with all nuclear operations shut down. In addition, the plant's working stocks of enriched uranium were placed into vaults, and thousands of employees underwent refresher training on the ABC's of security. The plan was to put all attention on security rather than production activities.

Steven Wyatt, a federal spokesman at Y-12, said Friday night that the shutdown of operations remains in effect.

Multiple investigations are under way to determine what went wrong with Y-12's much bally-

hooned security systems and large protective force, which reportedly totals more than 500. An independent team appointed by the U.S. Department of Energy is evaluating the plant's security, and the Office of Inspector General also is continuing an investigation that began immediately after protesters were apprehended.

In a statement distributed to the news media, George Dudich, president of B&W Technical Services Group, said the company was grateful to Kohlhorst and Klemm for their dedicated service. There was no elaboration on the departure of the two executives.

B&W said Spencer has more than 25 years of experience, with background in national security and environmental management. He most recently was chief operating officer of B&W Technical Services Groups.

He has previous experience in the U.S. nuclear weapons complex working for URS Corp.

"Chuck will be a real asset at Y-12," E. James "Jim" Ferland, president and CEO of B&W, said in a statement. "He understands the singular importance of safety and security and is the right

person to help lead our efforts going forward."

Mills said she couldn't comment on whether more personnel changes are forthcoming at B&W Y-12.

"All I can say is we've made these two key changes, and that's all we have to announce at this time," she said.

The leadership switch-out in Oak Ridge apparently portends changes in B&W's proposal to win the National Nuclear Security Administration's new contract offering, which will combine the management of Y-12 with the Pantex warhead assembly/disassembly plant near Amarillo, Texas.

Kohlhorst earlier had confirmed that he was part of the B&W proposed leadership team for that contract and said, as recently as late June, that he had no plans to retire.

Bidding teams submitted their proposals for the contract back in March, but the NNSA has not yet announced a winner and has not said exactly when it will make that announcement, except to say it wouldn't come before September.

Many observers believe the high-profile federal contract probably won't be awarded until after the November elections.

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b7E**Case ID #:** 336I-KX-2433548(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Y-12 contractor gets "show cause notice"; Contract could be terminated.

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# Y-12 contractor gets 'show cause notice'

Contract

could be terminated

By Frank Murgar  
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Stop: Move on Frank Murgar's "Atomic City Underground"

The notice is one of multiple, aggressive actions that have been taken by the U.S. Department of Energy and its semi-independent unit, the National Nuclear Security Administration, since the July 28 break-in by an 82-year-old nun and two other anti-war protesters. The trio managed to penetrate the plant's vaulted security system and reach the plant's storage complex for bomb-grade uranium, defacing it with spray-painted protest slogans and pouring human blood at the site.

The intrusion at one of the nation's highest-security nuclear installations — often called the Fort Knox of Uranium —

shocked the system and evoked an unusually swift response.

In addition to the show cause notice and several other actions previously reported, the government plans to assign another, yet to be named senior-level federal official to Y-12 in the coming days to provide direct oversight of contractor security operations. Gary Wisdom, who already was responsible for oversight of the Oak Ridge operations but was based at the Pantex warhead-assembly plant near Amarillo, Texas, also was brought to Y-12 following the incident to help with the top-priority effort.

On Aug. 3, a few days after the break-in, U.S. Energy Secretary Steven Chu issued a strongly worded statement. He declared the Y-12 security breach "unacceptable" and promised those in charge would be

held accountable. Chu reportedly has remained in touch with the investigators.

"Secretary Chu has made clear that the security of our nation's nuclear material is the department's most important responsibility, and he has no tolerance for federal or contractor personnel who cannot or will not do their jobs," DOE spokesman Damien LaVera said Monday from agency headquarters in Washington, D.C. He added, "The severity of the failure of leadership at Y-12 demands swift, strong and decisive action by the department."

LaVera said Department of Energy has brought some of its best security experts to Oak Ridge to "restore and enhance" security at Y-12. "But we will leave no stone unturned to find out what went wrong and will take any step necessary to ensure security

at this site and across our enterprise."

The upheaval continued in other ways. The National Nuclear Security Administration confirmed that it was significantly altering contract plans already in the works.

The NNSA previously had received bids from corporate teams on a multibillion-dollar contract that combines management of the Y-12 and Pantex nuclear weapons plants. A separate prime contract was to be awarded

ed for protective services at the two plants, but now the security role will be folded into the overall management contract.

A federal official said the teams that submitted bids in March for the Y-12/Pantex management contract will now be asked to modify their proposals to include the security work. A due date for those revised proposals has not been set, and NNSA has not said when it will announce a winner of the contract.

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**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) "Serious breakdown" at Y-12; NNSA cites bad cameras,  
slow guards

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# 'Serious breakdown' at Y-12

■ NNSA cites bad cameras, slow guards

By Frank Munger  
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knoxnews.com

Blog: More on Frank Munger's  
"Atomic City Underground"

OAK RIDGE — A slew of things went wrong for Y-12 security in the early hours of July 28.

A "high number" of surveillance-and-assessment cameras were inoperable at the time, including one at a critical site where three protesters cut through a

fence and entered the plant's highest-security area — ultimately defacing the plant's uranium storage facility with spray-painted messages and splashes of human blood.

Despite receiving numerous alarms from an array of sensors on the fence line, the plant's protective force failed to react as protesters used bolt-cutters to cut

through three fences. Even when security guards did respond, they took an excessive amount of time getting to the scene and, once there, failed to take control of the situation until a supervisor took charge and removed the protesters.

Those were some of the prelim-

See Y-12, 8A

## Y-12 from 1A

inary observations cited by the National Nuclear Security Administration in its Aug. 10 "show cause" letter to B&W Y-12, the government's managing contractor. The security problems — and other issues identified during a preliminary fact-finding investigation — were so severe that NNSA said they endangered the overall performance of the Y-12 contract. As such, the federal agency has given B&W 30 days in which to demonstrate why the government should not terminate its contract.

In the letter to Darrel Kohlhorst, the B&W president and general manager who has since been removed from his job, NNSA

contracting officer Jill Y. Albaugh wrote:

"The security mission in the contract is integral to any and all mission operations and is a fundamental necessity in operating all aspects of the site."

Albaugh added this warning:

"Because our preliminary fact-findings reveal that contributing and direct causes of the security event include an inappropriate Y-12 cultural mind set, as well as a severe lapse of discipline and performance in meeting conduct of operations expectations, I am concerned that such issues may exist in other areas of Y-12 operations — and not just in the security program."

All nuclear operations at Y-12 were shut down Aug. 1 because of the security breach. According to the

letter, those operations will be discontinued "for the indefinite future."

The security problems didn't end when the protesters were apprehended, arrested and later charged in a three-count federal indictment.

"Other events occurring since the July 28, 2012, security event... have demonstrated a serious breakdown in the security operations at Y-12, including a lack of leadership and significant tactical, procedural, training, and communication deficiencies," the letter states.

While noting that some of the problems were the responsibility of G4S Government Solutions — the protective force contractor known locally as WSI-Oak Ridge — the NNSA said that does not alleviate B&W, the plant's manager,

of responsibility under its contract.

At the security breach, both B&W and WSI-Oak Ridge were prime contractors, reporting directly to the NNSA — a semiautonomous part of the U.S. Department of Energy. Since then, WSI's contract was reworked so that it is now a subcontractor to B&W, underscoring the chain of command.

Also, because of the change in the contracting structure, B&W also was told to include in its response to NNSA the corrective actions being taken by WSI in addition to those under way at B&W Y-12.

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 08/30/2012**Title:** (U) News Sentinel article dated 8/16/2012**Approved By:****Drafted By:**b6  
b7C  
b7E**Case ID #:** 336I-KX-2433548(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Restart of nuke work OK'd; Security at Y-12 to be enhanced.

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UNCLASSIFIED

# Restart of nuke work OK'd

## Security at Y-12 to be enhanced

By Frank Munger  
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865-362-6329

**OAK RIDGE** — Nearly three weeks after a stunning security breach shut down the Y-12 nuclear weapons plant, the government on Wednesday authorized the restart of

knoxnews.com

**Blog:** More on Frank Munger's "Atomic City Underground"

nuclear operations.

The Oak Ridge plant will resume work on nuclear warhead parts and the handling and processing of highly enriched uranium, but it won't be — a federal spokesman emphasized — a return to normal.

"It won't be normal at all," said Steven Wyatt of the National Nuclear Security Administration's Oak Ridge office. There will be enhanced security oversight, with additional federal personnel on site to review the contractor operations and planning activities, he said.

B&W Y-12, the managing contractor, ordered a "security stand-down" on Aug. 1, soon after the intrusion July 28 by three protesters, who reportedly scaled a ridge on

the north side of Y-12 and walked to the plant's high-security inner core. The protesters — Sister Megan Rice, an 82-year-old Catholic nun; Michael R. Walli, 63; and Greg Boertje-Obed, 57 — used bolt-cutters to pass through a series of sensor-laden security fences and reach the fortress-like uranium storehouse, which they defaced with spray-painted protest messages and human blood. The breach raised deep questions about site

vulnerabilities and prompted multiple investigations, which are still under way.

All of the plant's working uranium stocks were placed in vaults for the past 2½ weeks while workers focused their attention on security. Thousands of people who work at the site underwent refresher training on the do's and don'ts of nuclear security, while others evaluated what went

See Y-12, 11A

## Y-12 from 1A

wrong and began the job of restoring confidence in the plant's protective systems.

"The authorization to resume operations was made possible through the completion of numerous improvements in security at Y-12 and completion of security training," the NNSA said in the Wednesday announcement.

The approval to restart nuclear operations has no bearing on the "show cause" notice that the NNSA sent to B&W Y-12 last week, Wyatt said.

In the Aug. 10 letter to the contractor, the NNSA said security concerns raised by the break-in and the response to it were so severe as to potentially harm the ability to carry out the Y-12 contract. The federal agency, which is a semi-autonomous part of the U.S. Department of Energy, said additional problems were found in the days following the incident and gave B&W 30 days to respond to the letter and show why its management contract should not be terminated.

It wasn't clear how long it would take Y-12 to get the uranium operations and other activities, including

the dismantlement of old warhead parts, up and running or restart manufacturing programs.

One of Y-12's missions is to produce new parts for old warheads that are brought out of active deployment and refurbished to extend their lifetime. Wyatt confirmed that on July 27, the day before the break-in by the protesters, Y-12 was working on only one life-extension project and that was for the W76 (Trident) warhead. He noted that, at the time, the Oak Ridge plant was anticipating future life-extension work on the B61 bomb.

Wyatt also confirmed that all of the plant's surveil-

lance-and-assessment cameras have been fixed and are fully operational.

In its show cause letter to B&W, the NNSA said a "high number" of cameras associated with the plant's Perimeter Intrusion Detection and Assessment System were inoperable at the time of the July 28 intrusion in the predawn hours. "All of these cameras have been fixed and checked and are 100 percent operable," Wyatt said in an email response to questions.

As part of the effort to re-establish faith in Y-12's security systems, the government has brought in security experts from around the country.

Wyatt confirmed that additional personnel have arrived in Oak Ridge from other sites in the U.S. nuclear weapons complex, as well as from NNSA headquarters in Washington, D.C. He declined to be specific in identifying the individuals or their home sites. In addition, the NNSA has said it plans to bring in an as-yet-unnamed senior official who will work in the agency's site office at Y-12 and oversee the security work of contractors.

Over the past couple of weeks, there has been an upheaval in contractor management, with three top executives at B&W Y-12 and two at WSI-Oak Ridge, the

protective force contractor, relieved of their duties. The security contracting plans have also been revamped, with WSI now reporting directly to B&W as a subcontractor. In the past, both of the companies held prime contracts and reported directly to the NNSA, coordinating their security roles.

The contracting change was made "to strengthen the chain of command" and reduce the layers of management, the NNSA said. The change also gives the plant's managing contractor more control over the security police force, which was criticized for its response to the July 28 breach.

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8-16-2012  
A1/A11

727-KX-1122548

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 08/30/2012

(U) [ ] date of birth [ ] social security number [ ] was interviewed at his residence, [ ] [ ] Writer was accompanied by Department of Energy Office of Inspector General Special Agent [ ] After being advised of the identities of the interviewing agents and the nature of the interview, [ ] provided the following information:

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(U) The Plowshares website has all of the information regarding what happened at Y-12 on July 28, 2012. The three people who were arrested, Rice, [ ] all knew they could call or stop by [ ] house and that he would provide any assistance that he could. [ ] said that he was as surprised as the interviewing agents regarding the actions that took place that night. [ ] has been an environmental peace activist for a long time.

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(U) [ ] said that [ ] was nearby at the [ ] [ ] where he was imprisoned federally and unjustly.

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(U) [ ] said that he was open to speaking about the circumstances surrounding the Y-12 actions. He is supportive of all of those who risk their lives on behalf of all of us. [ ] has known Rice, [ ] for many years, as they have all been active in environmental causes.

(U) [ ] would not say whether or not [ ] stayed at his house at any time surrounding the events of July 28, 2012 at Y-12. [ ] would not say who picked up the car used by Rice, [ ] and declined to speak to agents any further.

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b7CInvestigation on 08/29/2012 at [ ]File # 336I-KX-2433548Date drafted 08/30/2012

by [ ]

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**Stored** None

**Location:**

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[redacted] on 8/29/2012.

**Acquired By:** [redacted]

**Acquired On:** 2012-08-29

**Attachment:** (U) Original notes from interview of  
[redacted] on 8/29/2012.

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(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

Synopsis: (U) Discrepancies of two names; Mesan Gillespie Rice

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b7Cb6  
b7C  
b7E

UNCLASSIFIED

From: [REDACTED] (LD) (FBI)  
Sent: Tuesday, August 21, 2012 7:53 AM  
To: [REDACTED] (KX) (FBI)  
Subject: RE: 120813006 / 336I-KX-2433548  
Importance: High

b6  
b7C

Classification: UNCLASSIFIED  
=====

Hello [REDACTED]

I did a name search of the individuals you provided. I have two discrepancies between the names you provided and names that are in the system. Could you take a look at the names I found in the system and verify if they are the individuals you want compared.

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When I searched Megan Rice and [REDACTED] found the following:

Mesan Gillespie Rice; W/F; DOB: 1/31/30; FBI #605757FB2; SS #110-52-7763

[REDACTED]  
Thank you for your assistance and if you have any questions, just let me know!

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b7E

[REDACTED]  
*Physical Scientist/Forensic Examiner*  
*Latent Print Operations Unit*

[REDACTED]  
From: [REDACTED] (KX) (FBI)  
Sent: Friday, August 17, 2012 10:38 AM  
To: [REDACTED] (LD) (FBI)  
Subject: RE: 120813006 / 336I-KX-2433548

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Classification: UNCLASSIFIED  
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b7C

[REDACTED]  
Thank you for your email. I apologize for not sending more detailed information in my EC. To answer your questions:

- 1) The paper bags were what DOE used to store the evidence and they do not need to be processed.
  - 2) If latents are developed, please compare them to Megan Rice, DOB 1/31/1930; [REDACTED]
- [REDACTED]

Please let me know if you have any other questions.  
Thanks again,

[REDACTED]

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b7C

From: [REDACTED] (LD)(FBI)  
Sent: Thursday, August 16, 2012 10:27 AM  
To: [REDACTED] (KX) (FBI)  
Subject: 120813006 / 336I-KX-2433548  
Importance: High

Classification: UNCLASSIFIED

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SA [REDACTED]

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b7C

I am the analyst in the Latent Print Operations Unit (LPOU) who has been assigned this case. I received you Items 1B1 and 1B5 (Laboratory Items Q13-Q20). The remaining evidence, your Items 1B2 and 1B3 (Laboratory Items Q1-Q12) are currently in the nDNA unit. I will receive this evidence after nDNA has performed their analysis. The current average case turnaround time in the LPOU is 75 days. The LPOU completes cases based on deadlines, priority status, and overall time in the Laboratory.

To expedite the process due to this case having a set trial date, please answer the below questions for me:

- 1) There are paper bags labeled with a "#12" enclosed with Q13 and Q15-Q16. Is this evidence you want processed or is this prior packaging that does not need to be processed?
- 2) Are there any individuals you would like compared to any latent prints that may be developed?

Thank you and have a wonderful day.

[REDACTED]

*Physical Scientist/Forensic Examiner  
Latent Print Operations Unit*

[REDACTED]

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Classification: UNCLASSIFIED



UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** LABRPT**Date:** 09/04/2012**Title:** (U) LPOU lab rpt; lab #120813006**Approved By:****Drafted By:****Case ID #:** 336I-KX-2433548(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) latent print exam**Enclosure(s):** Enclosed are the following items:

1. (U) LPOU 1A lab #120813006

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Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548  
Serial 27  
**Package:** 1A3  
**Stored** None  
**Location:**  
**Summary:** (U) lab #120813006 latent case  
supporting records  
**Acquired By:**   
**Acquired On:** 2012-08-29  
**Attachment:** (U) LPOU 1A lab #120813006

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b7C

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** LABRPT

**Date:** 09/06/2012

**Title:** (U) Serological and Nuclear DNA Analysis 120813006 PF ACJ

**Approved By:**

**Drafted By:**

b6  
b7C  
b7E

**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Nuclear DNA report 120813006 PF ACJ

**Enclosure(s):** Enclosed are the following items:

1. (U) 1A materials, lab report 120813006 PF ACJ

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UNCLASSIFIED

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Physical 1A/1C Cover Sheet for Serial Export

**Created** 266I-KX-2433548

**From:**

Serial 28

**Package:** 1A4

**Stored** None

**Location:**

**Summary:** (U) Chain of custody, communication and  
activity log, notes, instrument print  
outs, charts, graphs, ecs search slip, and  
data cd

**Acquired By:**

b6  
b7C

**Acquired On:** 2012-09-06

**Attachment:** (U) 1A materials, lab report 120813006 PF  
ACJ

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Evidence Entry****Event Title:** (U) Collected 9/11/2012 @ 10:45AM**Date:** 09/11/2012**Approved By:****Drafted By:****Case ID #:** 336I-KX-2433548(U) PLOWSHARES MOVEMENT;  
KNOXVILLEb6  
b7C  
b7E

DT - ENVIRONMENTAL EXTREMISM

**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Acquired By:**

on 09/11/2012

b6  
b7C**Acquired From:** (U) FBI Lab**Receipt Given?:** No**Holding Office:** KNOXVILLE**Item Type**

1B General

**Description**(U) LPU Secondary Evidence - One photograph of latent  
print from 1B1

Acquired On: 09/11/2012

Located By:

Location Area: n/a

Specific Location: n/a

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: OTHER

Date: 09/13/2012

Title: (U) PLOWSHARES MOVEMENT

Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLEb6  
b7C  
b7E[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) On 06/08/2012, IS [REDACTED] researched the address where  
MEGAN RICE (RICE) [REDACTED] are staying, [REDACTED]

[REDACTED] (residence). This revealed that  
this is a single family house owned by [REDACTED]

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[REDACTED]. Two vehicles were found registered to

[REDACTED] a gray Honda Civic bearing [REDACTED]

[REDACTED] and a red Dodge Dakota bearing [REDACTED]

[REDACTED]

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Title: (U) PLOWSHARES MOVEMENT  
Re: 336I-KX-2433548, 09/13/2012



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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

Form Type: OTHER

Date: 09/13/2012

Title: (U) [REDACTED] - 2012-08-08T15\_06\_34.xml

Approved By:

[REDACTED]

Drafted By:

Case ID #: 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

[REDACTED]

DT - ENVIRONMENTAL EXTREMISM

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Synopsis: (U)

[REDACTED]

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 09/13/2012

**Title:** (U) PLOWSHARES MOVEMENT

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

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**Synopsis:** (U)

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Case update as of 9/13/2012.**Date:** 09/14/2012**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;

KNOXVILLE

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM**Synopsis:** (U) Case update as of 9/13/2012.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**

(U) On 09/11/2012, writer received a DVD of the unclassified version of the events at Y-12 on 7/28/2012 from DOE Agent [REDACTED]. Draft copies of the transcripts made of jail calls from Blount County on 07/28/2012 - 08/02/2012 were provided to [REDACTED] and to AUSA [REDACTED]. [REDACTED] Writer and AUSA [REDACTED] and presented it to US District Court Judge Clifford Shirley.

(U) Also on 09/11/2012, at 2:00pm, a detention hearing was held before Judge Shirley for [REDACTED]. Judge Shirley released [REDACTED] pending trial which is now scheduled for 2/26/2013. [REDACTED] said that his reason for requesting a detention hearing now was that his family and co-defendants requested that he be out in order to prepare for trial together. [REDACTED] said that he would be living in [REDACTED]. [REDACTED] related that the

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b7C

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Title: (U) Case update as of 9/13/2012.

Re: 336I-KX-2433548, 09/14/2012

contact person at [REDACTED] would be [REDACTED]  
Until he could take a Greyhound bus to [REDACTED] said  
that he would stay with [REDACTED]  
[REDACTED]

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b7C

(U) On 09/12/2012, SAs [REDACTED] met with  
Captain [REDACTED] Wackenhut Services Incorporated, to drive the  
North Patrol Road along the exterior fence and up to the first interior  
fence. There were no cuts in the exterior fence observed.

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(U) Also on 09/12/2012, writer met with AUSAs [REDACTED] and  
[REDACTED] and Judge Shirley to discuss [REDACTED]  
Judge Shirley did not sign [REDACTED]

(U) On [REDACTED] Grand Jury subpoenas for [REDACTED]  
[REDACTED]

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(U) On 09/13/2012, SAs [REDACTED] and DOE SA [REDACTED] walked  
from the Oak Valley Baptist Church, 194 Hampton Road, Oak Ridge, TN, up  
to the exterior fence and along the fence in both directions. Again, no  
cuts in the exterior fence were observed. After returning from the  
fence, DOE SA [REDACTED] provided agents with another box of evidence  
recovered on 07/28/2012. These items will be entered into evidence.  
Investigation continues.

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Copies of interview memos from DOE.**Date:** 09/17/2012**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;

KNOXVILLE

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

b7E

**Synopsis:** (U) Copies of interview memos from DOE.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Enclosure(s):** Enclosed are the following items:

1. (U) Copies of interview memos from DOE

**Details:**

(U) On 09/14/2012, nine DOE memorandums of investigative activity were received by the United States Attorney's Office. A list of the interviewers and interviewees is as follows:

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Title: (U) Copies of interview memos from DOE.

Re: 336I-KX-2433548, 09/17/2012



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(U) All DOE memorandums will be kept in the 1A section of the file.

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**FEDERAL BUREAU OF INVESTIGATION****Evidence Entry**

Event Title: (U) evidence

Date: 09/19/2012

Approved By:

Drafted By:

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b7C

Case ID #: 336I-KX-2433548

(U) PLOWSHARES MOVEMENT;  
KNOXVILLE

DT - ENVIRONMENTAL EXTREMISM

b7E

Preliminary Investigation Initiated: 08/01/2012, set to expire  
02/01/2013

Acquired By:

on 09/19/2012

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b7C

Acquired From:

(U) SA

OIG-DOE

Receipt Given?: No

Holding Office: KNOXVILLE

**Item Type****Description**

1B CART

(U) unclassified DVD of break-in on 7/28/12 at Y12

Acquired On: 09/11/2012

Located By:

Location Area: n/a

Specific Location: n/a

Device Type: Mass Storage Device

Number of Devices Collected: 1

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1B General

(U) 3 hammers, 1 mini maglight flashlight-black, 1  
black w/blue stripe dorcy flashlight, 4 western safety  
yellow gloves

Acquired On: 09/11/2012

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Title: (U) evidence

Re: 336I-KX-2433548, 09/19/2012

Located By: [REDACTED]  
Location Area: n/a  
Specific Location: n/a

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b7C

1B General (U) 1 helmet w/plastic flowers, 1 leather glove, 3  
candles, plowshares banner, misc documents, 1 blue  
jacket, 1 red backpack, 1 green backpack  
Acquired On: 09/11/2012  
Located By: [REDACTED]  
Location Area: n/a  
Specific Location: n/a

1B General (U) one blue matchbook from Brackins Blues Club,  
Maryville, TN  
Acquired On: 09/11/2012  
Hazardous Materials: Fire  
Special Handling Codes: Caution Latents  
Located By: [REDACTED]  
Location Area: n/a  
Specific Location: n/a

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** EMAIL

**Date:** 09/20/2012

**Title:** (U) Knoxville News Sentinel article dated 9/12/2012

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Judge OKs release of final Y-12 Plowshares protester

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News-Sentinel

9-12-2012

4A

# Judge OKs release of final Y-12 Plowshares protester

■ Activist has change of heart to plan defense

By Frank Munger  
munger@knoxnews.com  
865-342-6329

Federal Magistrate Judge C. Clifford Shirley on Tuesday approved the release of Greg Boertje-Obed, the last of three Plowshares protesters to be released from jail pending their trial scheduled for Feb. 26 in U.S. District Court.

The protesters face three federal charges, including two felonies, associated with the July 28 break-in at the Y-12 nuclear weapons plant in Oak Ridge.

In a court hearing Tuesday, Boertje-Obed, 57, said he plans to reside with his wife in Duluth, Minn., and hopes to find work as a house painter and landscaper while awaiting trial. He agreed to abide by Shirley's set of conditions, which includes a promise

knoxnews.com

Blog: More on Frank Munger's "Atomic City Underground"

to obey all laws until the resolution of the case and not to enter the Y-12 nuclear weapons plant or other federal facilities while out on release.

The two other protesters, Sister Megan Rice and Michael Walli, were released from jail earlier and are living in Washington, D.C.

Assistant U.S. Attorney Melissa Kirby argued against Boertje-Obed's release. She cited some of the defendant's earlier statements in court — such as saying he could not promise to obey laws and vowing that he would not accept release from jail until the government admitted that building nuclear weapons was a criminal act — and his past record of similar offenses.

Kirby said she thought Boertje-Obed's change of heart seemed "disingenu-

ous."

Shirley also questioned Boertje-Obed extensively about his 180-degree change of positions, but ultimately decided that the defendant was a "man of his word" and would do what he pledged to do, including show up in court when the time comes.

Boertje-Obed said his change of mind regarding release from jail was due to family consideration and because his co-defendants wanted him to be more available to help plan their defense for the upcoming trial. He said communications with Rice and Walli had been difficult while in the Blount County Detention Center, where he's been since the protesters were arrested inside Y-12 on the morning of July 28.

The protesters have stated that their acts at Y-12, which included spray-painting peace messages on the exterior of the plant's uranium storage facility and splashing human blood at the site, were acts of humanity against a criminal enterprise.

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com SAC

3361-KX-2433548

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U//~~FOUO~~) Video to Lab for enhancement.**Date:** 09/20/2012**To:** DP-FAVI  
[REDACTED]**From:** KNOXVILLE  
KX-10**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 336I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012  
[REDACTED]DT - ENVIRONMENTAL EXTREMISM  
[REDACTED]Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U//~~FOUO~~) To send dvd to the Forensic Audio Video Image  
Analysis Unit for enhancement.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Administrative Notes:** (U//~~FOUO~~) Knoxville Division ECT send 1B9 to the  
captioned Unit for video enhancement.**Package Copy:** (U//~~FOUO~~) 1B9**Details:**This DVD video was submitted to the FBI from the Department of Energy  
as video evidence in the captioned case. The video shows three (3)UNCLASSIFIED//~~FOUO~~b6  
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b7E

UNCLASSIFIED//~~FOUO~~

Title: (U//~~FOUO~~) Video to Lab for enhancement.  
Re: 336I-KX-2433548, 09/20/2012

individuals trespassing and destroying Government property at the Oak Ridge Y-12 complex.

Knoxville request this video be examined and enhanced to produce the best possible image available. This video will possibly be used at trial in January or February 2013.

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** EMAIL

**Date:** 09/20/2012

**Title:** (U) Knoxville News Sentinel dated 7/29/2012

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Y-12 breach unprecedented; Protesters infiltrate site,  
splatter blood

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# Y-12 breach unprecedented

## Protesters infiltrate site, splatter blood

By Frank Munger

mungerf@knoxnews.com  
865-342-6174

**OAK RIDGE** — Three peace activists — including an 82-year-old nun — infiltrated the highest-security area of the Y-12 nuclear weapons plant in a pre-dawn protest Saturday, reportedly evading guards and cutting through three or four fences to spray-

paint messages, hang banners and pour human blood at the site where warhead parts are manufactured and the nation's stockpile of bomb-grade uranium is stored.

It was an unprecedented security breach at the Oak Ridge plant, which enriched the uranium for the atomic bomb dropped on Hiroshima, Japan, during World War II and continues to be a mainstay of the U.S. nuclear defense program.

The protesters, who called themselves "Transform Now Plowshares,"

were identified as Michael R. Walli, 63, of Washington, D.C.; Sister Megan Rice, 82, of Nevada; and Greg Boertje-Obed, 57, of Duluth, Minn. They were apprehended inside the plant around 4:30 a.m. Saturday, interviewed later by members of the U.S. Department of Energy's Office of Inspector General, and then transported to the Blount County Jail, where they reportedly face conditional federal charges of vandalism and trespassing.

See PROTESTERS, 2B



Activists Michael R. Walli, Sister Megan Rice and Greg Boertje-Obed, left to right, pose with banners in a picture taken days before the Y-12 break-in.

## PROTESTERS from 1B

Supporters of the activist group said an arraignment is set for Monday.

Sтивен Wyatt, a spokesman for the National Nuclear Security Administration at Y-12, declined to discuss details of the early-morning events at the Oak Ridge facility, but he acknowledged that the unapproved entry into the plant's inner sanctum — a high-security zone known simply as the Protected Area — is unprecedented.

"There's never been a situation like this before to my knowledge," Wyatt said Saturday afternoon.

If unarmed protesters dressed in dark clothing could reach the plant's core during the cover of dark, it raises questions about the plant's security against more menacing intruders.

"I'm sure we'll learn from this, without question, and use what we learn to improve security," Wyatt said.

Saturday's intrusion at Y-12 comes just a few days after WSI, the government's security contractor in Oak Ridge, confirmed plans to eliminate about 50 security jobs in Oak Ridge — including 34 security po-

The Plowshares group late Saturday released additional information, claiming they had posted a series of messages on the exterior of the Highly Enriched Uranium Materials Facility, Y-12's fortresslike storage facility that was constructed at a cost of \$549 million. Their unforced entry at the Oak Ridge site was intended to protest the planned construction of a \$6.5 billion Uranium Processing Facility adjacent to the site where they placed their banners and splashed blood.

Wyatt refused to confirm which building had been "defaced," and he said he could not immediately confirm the use of blood. In addition to areas with red paint, he said, "There was another substance that could possibly be blood of some sort, but that's not been determined."

The use of human blood is a trademark of the Plowshares protests, the first of which took place Sept. 9, 1980, when several demonstrators sneaked into a General Electric missile facility at King of Prussia,

Pa., poured their own blood onto files and documents, and damaged nose cones for a couple of nuclear warheads under development there.

Ellen Barfield of Baltimore, who described herself as a friend of Saturday's Plowshares protesters and acted as their spokeswoman, said the blood symbolized life as well as "decrying the horrors of war and the horrific spilling of blood by nuclear weapons."

Members of the Oak Ridge Environmental

Peace Alliance, which conducts regular peace protests at Y-12, including those surrounding the Aug. 6 anniversary of the Hiroshima bomb, said they were not involved in Saturday's protest. However, OREPA coordinator Ralph Hutchison and longtime associate Erik Johnson said they supported the intent of the protest actions.

"I'm elated that they're turning the tables and putting Y-12 on trial," Johnson

said.

The Plowshares group released a number of documents in connection with Saturday's action at Y-12, including an "indictment" of the Oak Ridge plant for "war crimes" and a statement regarding their protest.

"We come to the Y-12 facility because our very humanity rejects the designs of nuclearism, empire and war," the statement said in part.

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 09/20/2012

**Title:** (U) Knoxville News Sentinel article dated 9/13/2012

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Peace activists planned intrusion for months.

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UNCLASSIFIED



# Peace activists planned intrusion for months

By Frank Munger

[mungerf@knoxnews.com](mailto:mungerf@knoxnews.com)  
615-342-6329

Greg Boertje-Obed, the last of three Plowshares protesters to be released from jail, said Wednesday that the peace activists had been planning the break-in at the Y-12 nuclear weapons plant for many months — us-

**knoxnews.com**

**Video:** Greg Boertje-Obed talks about his cause.

**Blog:** More on Frank Munger's "Atomic City Underground"

ing publicly available maps and other resource materials to help guide their efforts.

Despite the planning, he said, "We didn't really know where we were going. We knew we had to go up the ridge and down the ridge."

Boertje-Obed said he considered it "quite miraculous" after cutting through a boundary fence and crossing Pine Ridge that the three ended up in view of their actual target — the

Highly Enriched Uranium Materials Facility — which they'd previously identified on a map of the sprawling installation. Even then, they weren't sure that was it, and they didn't actually get a positive ID until after they cut through three more high-security fences and walked to

See Y-12, 7A

*Knox News Section 1  
- Front Page  
- Thursday 9/13/12*

## Y-12 from 1A

within a stone's throw of the fortresslike structure that holds the nation's stockpile of bomb-grade uranium.

In the most detailed interview to date about the July 28 event, Boertje-Obed said they initially considered three sites for an intrusion to draw attention to the U.S. weapons-making program, which they consider a criminal enterprise. Besides Y-12, the other possibilities were the Kansas City Plant in Missouri and Los Alamos National Laboratory in New Mexico. Each site was in line for new and expensive facilities to be used in production of nuclear weapons.

The 57-year-old Boertje-Obed, the youngest of the three protesters, said Y-12 ultimately was chosen for a couple of reasons. In particular was the death of Sister Jackie Hudson,

who was among a group of "resisters" arrested and jailed following a 2010 protest at the Oak Ridge plant. Hudson died last year before being sentenced for misdemeanor trespassing at the federal site.

According to Boertje-Obed, the three protesters — who collectively call themselves "Transform Now Plowshares" — began their entry into Y-12 around 2:30 a.m. July 28. He said they cut through a fence behind a church that borders federal property northwest of the plant. He declined to say if someone dropped them off at the site.

"We like to say the 'spirit' brought us there," he said in an interview before boarding a bus for Minnesota, where he'll reunite with his wife and daughter and await trial in U.S. District Court, scheduled for Feb. 26.

Sister Megan Rice, the 82-year-old nun who was arrested along with Boertje-Obed and Mi-

chael Walli, 63, has said on multiple occasions that it was "pretty easy" to enter Y-12 and reach the plant's high-security area, where protesters hung banners, painted slogans and poured blood on the uranium storage site.

Boertje-Obed disagreed. He said it was anything but easy, noting that it was difficult for Rice to walk up hills or go far without losing her breath. He said the ridge was heavily wooded, although the lack of undergrowth made the trek a little easier. The three carried flashlights to help maneuver through the dark woods, he said.

"Every step of the way, Megan was breathing hard," he said. "It was a struggle."

Once they crossed the ridge and descended toward the plant, Boertje-Obed said they watched a couple of patrol cars pass on Bear Creek Road — the main road leading into Y-12. After crossing the road, they had to cut

*SAC [Signature]  
JTF SSA [Signature]*

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## AL

through three high-security fences loaded with sensors and cameras.

Boertje-Obed said he did the cutting, while Rice was the first to enter. He said he was really surprised they were able to get through the middle fence, which had all sorts of tiny wires attached, without setting off alarms. He also said they were concerned fences would be electrified.

One of the symbols of

the Flowshares movement is the hammer, and each of the protesters carried one. Boertje-Obed said he was carrying a small sledgehammer, while Rice and Walli had tiny, symbolic hammers.

After reaching the uranium storage facility, Boertje-Obed said he slammed his hammer into a cornerstone. He said the blows created a hole, and a piece of the wall crumbled. Rice did the same with her

tiny hammer, but they did not continue because the purpose was symbolic, not to create as much damage as possible, he said.

Boertje-Obed said they realized they could have been shot and killed or otherwise injured, and he said they talked about that in advance.

"We realized for change to come about, peace activists need to risk their lives," he said. "Just like soldiers risk their lives for war."



MICHAEL HUTCHESON/NEWS SENTINEL

Peace activist Greg Boertje-Obed, right, returns a cellphone to Ralph Hutchison of the Oak Ridge Environmental Peace Alliance on Wednesday in downtown Knoxville before boarding a bus for Minnesota. Boertje-Obed and two other protesters face federal charges associated with the July 28 break-in at the Y-12 nuclear weapons plant in Oak Ridge.



UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 09/21/2012

**Title:** (U) Knoxville News Sentinel article dated 9/13/2012

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

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**Synopsis:** (U) Panel decries Y-12 lapses; Congressman calls break-in "a wake-up call".

◆◆

UNCLASSIFIED

- Knox News Section
- Cover/Front Page
- Thursday 9/13/12

336I-KX-2433548

# Panel decries Y-12 lapses

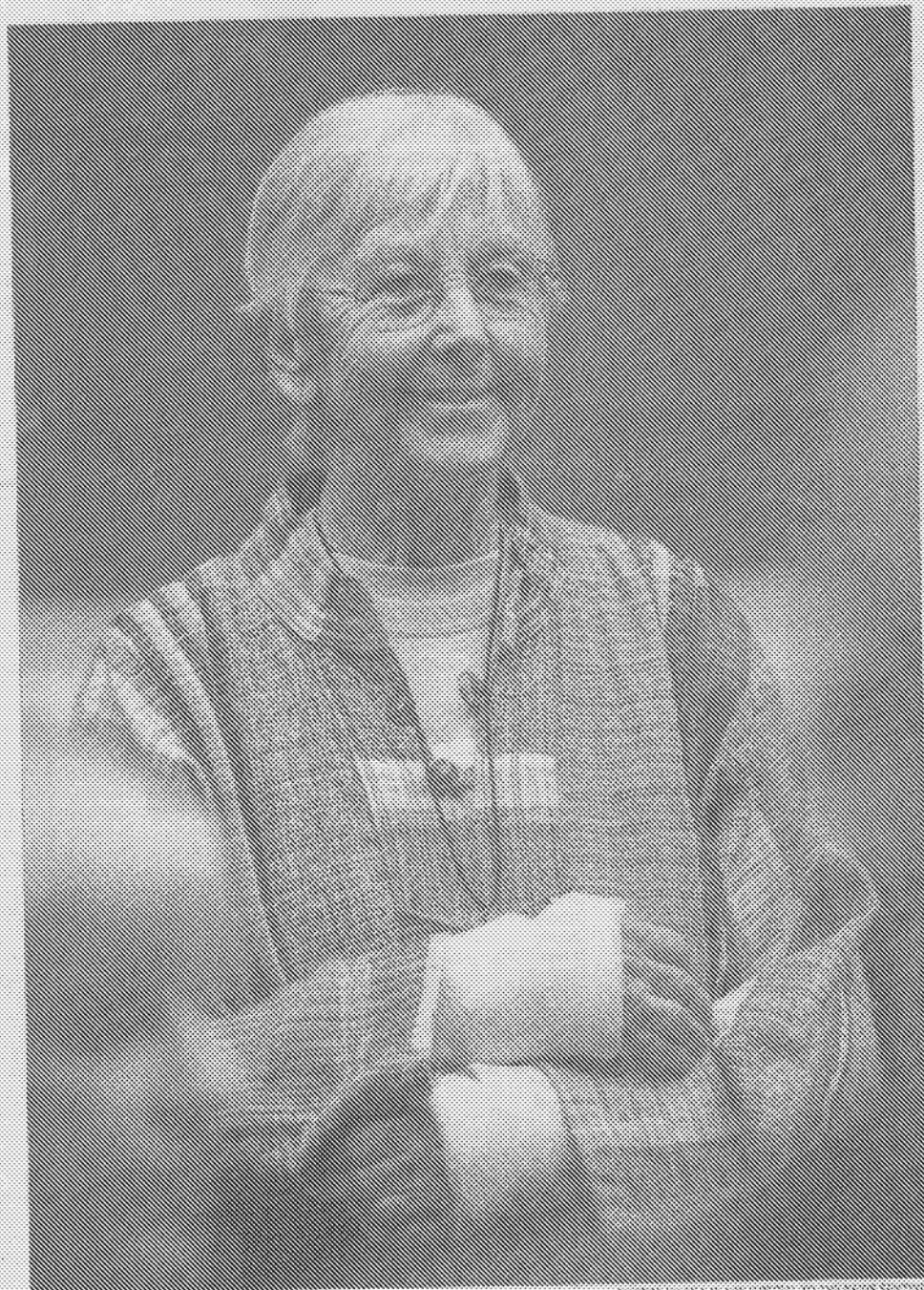


PHOTO BY DEB CLARK FOR THE KNOX NEWS

Sister Megan Rice was asked by U.S. Rep. Joe Barton, R-Texas, to stand to be recognized during a House subcommittee hearing Wednesday. Rice, 82, a peace activist, was charged with infiltrating the highest-security area of Y-12. She is wearing casts after falling and breaking both wrists.

## ■ Congressman calls break-in 'a wake-up call'

By Michael Collins

collinsm@knoxnews.com  
202-408-2711

WASHINGTON — Congressional Republicans and Democrats harshly scolded the U.S. Department of Energy on Wednesday for a security breach at the Y-12 nuclear weapons plant in which three peace activists evaded guards and cut through fencing to infiltrate the facility's highest-security area.

**knoxnews.com**

**Photo gallery:**  
Congressional hearing  
on Y-12 break-in

U.S. Rep. Marsha Blackburn, R-Tenn., called the break-in appalling. "Not only did you have a security breach," she said, "you had a

breach of public trust."

U.S. Rep. Henry Waxman, D-Calif., said the Y-12 infiltration was "a wake-up call if ever there was one."

The July 28 security breach at the Oak Ridge plant, where warhead parts are manufactured and the nation's stockpile of bomb-grade uranium is stored, dominated a congressional hearing Wednesday on safety and security at the nation's nuclear facilities.

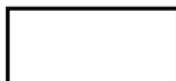
Lawmakers said they were astounded that

See HEARING, 8A



Deputy Energy Secretary Daniel Poneman testifies during the House Oversight and Investigations Subcommittee hearing.

SAC [Signature]



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## HEARING from 1A

three pacifists, including an 82-year-old nun, managed to cut through several layers of fencing and spray-paint messages, hang banners, and pour human blood on the site.

U.S. Rep. Joe Barton, R-Texas, recognized the nun, Sister Megan Rice, who was seated in the audience with another of the protesters, Michael Walli. Barton asked Rice to stand and said that while he doesn't totally agree with her "platform," he applauded her for bringing the security lapses to light.

"That young lady there brought a Holy Bible (to the plant)," Barton said. "If she had been a terrorist, the Lord only knows what could have happened."

Rice asked for permission to speak during the hearing before the U.S. House Energy and Commerce Committee's Subcommittee on Oversight and Investigations. Her request was denied.

During the hearing, Deputy Energy Secretary Daniel Poneman outlined

a number of steps that have been taken to improve security at Y-12.

Top contractor executives responsible for security at the plant have been removed; guards involved have either been fired or reassigned; the entire work force at the plant has been required to undergo additional security training; and B&W Y-12, the contractor responsible for security and other plant operations, has been asked to explain why its contract should not be terminated.

"The incident at Y-12 was unacceptable, and it served as an important wake-up call for our entire complex," Poneman said.

Poneman said "structural and cultural changes" also are being made to appropriately secure the facility and send a clear message.

"Lapses in security will not be tolerated," he said. "We will leave no stone unturned to find out what went wrong and will take the steps necessary to provide effective security at this site and across our enterprise."

Under questioning, Poneman conceded that

government investigators pointed out problems at the plant during a 2008 security audit and in classified reports in 2010. The classified reports noted that security cameras were inoperable, equipment maintenance was sloppy and that guards were not properly trained.

Corrective action was taken in 2009, Poneman said, but security measures deteriorated again in 2010 and 2011.

In fact, security cameras at the facility were inoperable for at least six months and possibly longer before the peace activists broke into the site, testified Gregory Friedman, the Energy Department's inspector general.

Blackburn said she was astounded the agency had not insisted that the problems be fixed sooner.

"This is classic, bureaucratic 'pass the buck,'" Blackburn said.

After the hearing, Rice said lawmakers are not addressing the root cause of the problem, which she said is the "inherently evil" manufacture of nuclear weapons. At the same time, she said she was heartened by the warm reception she

received from some lawmakers.

"There are times when I wonder about the intel-

lectual competence of the Congress and the lobbyists and the administration and the Supreme Court," she

said. "They're not seeing things that are most obvious. The rest of the world sees it."

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 09/20/2012

**Title:** (U) Knoxville News Sentinel article dated 9/13/2012

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Panel decries Y-12 lapses

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

**Title:** (U) To request additional laboratory examination.

**Date:** 09/21/2012

**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]

b6  
b7C  
b7E

**Approved By:** SSA [REDACTED]

**Drafted By:** [REDACTED]

**Case ID #:** 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

b7E

**Synopsis:** (U) To request examination for latent prints on evidence received on 9/11/2012.

**Preliminary Investigation Initiated:** 08/01/2012, set to expire 02/01/2013

**Package Copy:** (U) 1B10 and 1B12 being sent under separate cover.

**Details:**

(U) Items being forwarded under separate cover include 1B10: 3 hammers, 1 mini-maglight, 1 Dorcy flashlight, 4 Western Safety yellow gloves; and 1B12: 1 blue matchbook from Brackins Blues Club, Maryville, TN. These items were used to assist in accessing the Highly Enriched Uranium Materials Facility (HEUMF) by three individuals on 07/28/2012. The hammers and the flashlights have been subjected to superglue fumes in order to preserve any fingerprints which may have been left by the subjects. It is requested that the laboratory examine the items for the presence of latent prints.

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Title: (U) To request additional laboratory examination.  
Re: 336I-KX-2433548, 09/21/2012

(U) On 09/07/2012, the trial date for this case was changed to 02/26/2013. In order to meet a Discovery deadline, this agent respectfully requests the examinations to be complete by 12/12/2012. If this is not possible, please call SA

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 09/24/2012

[redacted] social security number [redacted] was interviewed at her residence, [redacted]. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] moved there on February 3, 2012. In regards to the residence at [redacted] [redacted] thought it was owned by [redacted] [redacted] was a real estate agent for the property for a while but scheduling a meeting with the owner was a problem.

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[redacted] didn't remember any vehicles parked overnight at [redacted]. [redacted] She thought she might remember seeing a vehicle there because she walks her dogs at night. The only vehicles [redacted] remembered being parked across the street at [redacted] were people who looked at the property during the day, as potential buyers.

Investigation on 09/24/2012 at [redacted]File # 336I-KX-2433548Date drafted 09/24/2012

by [redacted]

b6  
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UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 44

**Package:** 1A6

**Stored** None

**Location:**

**Summary:** (U) Interview notes for [REDACTED]  
[REDACTED] on 9/24/2012.

**Acquired By:** [REDACTED]

**Acquired On:** 2012-09-24

**Acquired From:** (U) [REDACTED]  
[REDACTED]

**Attachment:** (U) Interview notes for [REDACTED]  
[REDACTED] on 9/24/2012.

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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 09/26/2012

**Title:** (U) ack letter

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) ack letter 120925023 PF

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UNCLASSIFIED

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 09/25/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] was interviewed at [redacted] [redacted] After being advised of the identity of the interviewing agents, including Department of Energy Special Agent [redacted] and the nature of the interview, [redacted] provided the following information:

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[redacted] has been a Central Alarm System (CAS) Operator with Wackenhut Services Incorporated (WSI) since April 11, 2011. He was initially a temporary employee, hired to work with the transition to a new Argus alarm system. After the Y-12 incident of July 28, 2012, [redacted] was made a permanent employee. [redacted] was unaware of how long his status as permanent employee would remain.

[redacted] was not working on July 28, 2012 and first heard about the incident from a friend who told him to look at the news. [redacted] thought it was odd that out of 66 zones, the two men and a nun came thru where they did with the cameras being out. It was [redacted] personal opinion that if the camera was working, the three intruders would not have gotten as far as they did without bodily injury. [redacted] also thought that it was possible they watched the patterns of the security operators and he figured they might have seen the responses to the alarms. [redacted] thought that there could have been someone who helped them but he did not have any ideas about who that could be. He didn't think it was any of the WSI employees because of the potential for losing their job.

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[redacted] said that the alarms malfunctioned routinely because of small animals getting through the fence. He also said that he has not seen the video of the incident.

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Investigation on 09/25/2012 at [redacted]  
File # 336I-KX-2433548 Date drafted 09/25/2012  
by [redacted]

b6  
b7C

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 46

**Package:** 1A7

**Stored** None

**Location:**

**Summary:** (U) Original notes of interview of [REDACTED]  
[REDACTED] on 9/25/2012.

b6  
b7C

**Acquired By:** [REDACTED]

**Acquired On:** 2012-09-25

**Attachment:** (U) Original notes of interview of [REDACTED]  
[REDACTED] on 9/25/2012.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 09/24/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted]  
[redacted] was interviewed at his residence, [redacted]  
[redacted] After being advised of the identity of the  
interviewing agents and the nature of the interview, [redacted] provided the  
following information:

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b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Central Alarm System (CAS) Operator for over one year. He started as a temporary employee due to the new alarm system, Argus, on which he received six months of extensive training, which started April 2011. Since the July 28, 2012 incident at Y-12, [redacted] has been a permanent employee. [redacted] was not working on July 28, 2012, nor was he working two weeks thereafter as he was having health problems. He said that by the time he returned to work, video of the incident had been erased from the memory of the alarm/camera system.

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In his job as a CAS Operator, he would tell the supervisor about an alarm and the supervisor would dispatch someone to check it. Most alarms had cameras and those that didn't were not as important. The alarm system started running about October or November 2011. It was common to get false alarms due to small animals getting into the Protected Area and [redacted] thought there was a lot of complacency because of that. At the time of the incident, the alarm level was low. This meant that the response time for that area was 30 minutes. Also in that area, the alarms worked but the camera didn't.

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As far as how three people got into the Protected Area on July 28, 2012, [redacted] thought that someone told them something but didn't know who. There was no razor wire in that area, the light was out and the camera wasn't working. The talk at work right now is that someone helped them or told them where to go. [redacted] didn't think anyone in security would have known all of the things that were wrong in that area but that someone in the maintenance department would have.

b6  
b7CInvestigation on 09/24/2012 at [redacted]File # 336I-KX-2433548Date drafted 09/24/2012

by [redacted]

b6  
b7C

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 47

**Package:** 1A8

**Stored** None

**Location:**

**Summary:** (U) Original notes from interview of  
[redacted] on 9/24/2012.

**Acquired By:** [redacted]

**Acquired On:** 2012-09-24

**Acquired** (U) [redacted]

**From:**

[redacted]

**Attachment:** (U) Original notes from interview of  
[redacted] on 9/24/2012.

b6  
b7C

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 09/28/2012

[redacted] date of birth (DOB) [redacted] telephone [redacted] residence [redacted] was interviewed at the Knoxville FBI, 1501 Dowell Springs Boulevard, Knoxville, Tennessee. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] had a temporary position as a Central Alarm System (CAS) Operator for Wackenhut Services Incorporated (WSI) from April 2011 until the time he quit on October 30, 2011. [redacted]

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[redacted] heard about the Y-12 July 28, 2012 incident on the TV news and was baffled. [redacted] didn't know how far the three intruders got and presumed they made it to the Protected Area but he only knew what was on the news. [redacted] did not think the three intruders had help, he thought they got lucky.

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[redacted] has only kept in contact with one person from Y-12, [redacted] but the contact was not regarding the July 28, 2012 incident. [redacted] worked with [redacted] in the National Guard prior to working for WSI.

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Investigation on 09/27/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 09/28/2012by [redacted]b3  
b6  
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UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 48

**Package:** 1A9

**Stored** None

**Location:**

**Summary:** (U) Original notes from interview of  
[redacted] on 9/27/2012.

**Acquired By:** [redacted]

**Acquired On:** 2012-09-27

**Attachment:** (U) Original notes from interview of  
[redacted] on 9/27/2012.

b6  
b7c

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 09/27/2012

[redacted] date of birth (DOB) [redacted] telephone [redacted]  
[redacted] was interviewed at her residence, [redacted]  
[redacted] After being advised of the identity of the interviewing  
agents and the nature of the interview, [redacted] provided the following  
information:

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[redacted] has been a Central Alarm System (CAS) Operator for Wackenhut  
Services Incorporated (WSI) since April 11, 2011. Her original lay-off date  
was supposed to be August 31, 2012 but after the July 28, 2012 incident at  
Y-12, her lay-off has been indefinitely suspended.

[redacted] first heard about the July 28, 2012 incident on the news and  
was not on shift that night. Her first day back to work was probably July  
30 as she started working Monday thru Friday due to her pregnancy. [redacted]  
thought the three intruders got lucky and that they didn't have any help  
from someone who worked there.

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[redacted] thought that the cameras should have been able to show that  
there were people in the area. [redacted] knew that there were many false  
alarms and that may have added to the problem but everyone on shift  
responded as they were supposed to according to the alarm matrix.

Investigation on 09/27/2012 at [redacted]  
File # 336I-KX-2433548, [redacted] Date drafted 09/27/2012  
by [redacted]

b3  
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UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 49

**Package:** 1A10

**Stored** None

**Location:**

**Summary:** (U) Original notes from interview of  
[redacted] on 9/27/2012.

b6  
b7C

**Acquired By:** [redacted]

**Acquired On:** 2012-09-27

**Attachment:** (U) Original notes from interview of  
[redacted] on 9/27/2012.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 09/27/2012

[redacted] date of birth (DOB) [redacted]

[redacted] was interviewed at the Knoxville FBI, 1501 Dowell Springs Boulevard, Knoxville, Tennessee. Also present in the interview was Attorney [redacted] staff council for Wackenhut Services Incorporated (WSI), and Attorney [redacted] outside council for WSI. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

[redacted] was a Central Alarm System (CAS) Operator for WSI starting on April 11, 2011. [redacted] quit on June 25, 2012 because he secured a position as [redacted] and because his position with WSI was temporary.

[redacted] first heard about the July 28, 2012 incident at Y-12 from the news and was surprised and shocked because of the advanced age of the intruders. [redacted] wasn't surprised they were able to gain access because of the issues with the security system. [redacted] did not think the three intruders had help, and definitely not from any of the people with whom he worked. [redacted] said all of his co-workers were all upstanding and he has no reason to believe any of them had any part in the break-in.

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Investigation on 09/26/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 09/27/2012by [redacted]b6  
b7Cb6  
b7Cb6  
b7Cb3  
b6  
b7C  
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UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 50

**Package:** 1A11

**Stored** None

**Location:**

**Summary:** (U) Original notes from interview of  
[redacted] on 9/26/2012.

**Acquired By:** [redacted]

**Acquired On:** 2012-09-26

**Attachment:** (U) Original notes from interview of  
[redacted] on 9/26/2012.

b6  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 09/26/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] was interviewed at the Knoxville FBI, 1501 Dowell Springs Boulevard, Knoxville, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] was a temporary Central Alarm System (CAS) Operator for Wackenhut Services Incorporated (WSI) starting on April 11, 2011. On July 23, 2012, [redacted] transferred to a permanent position within WSI to the Federal Building Town Site in Oak Ridge, Tennessee.

[redacted] was not working on July 28, 2012 and heard about the Y-12 incident on the same date from a friend who told him to look at the news. Initially, [redacted] tried to figure out how the three intruders entered the property. He knew that there were at least two and possibly four cameras not working in that area and that the outer boundary was not patrolled at the time.

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[redacted] did not see the video of the July 28, 2012 incident but had heard that it may be turned into a training video. [redacted] thought it was possible that the intruders had help but did not have any ideas about who would have helped them. People working in Security and Maintenance would know about the camera issues. [redacted] thought that if someone helped the intruders, it wouldn't be someone who worked there. It could have been someone who was fired or who had ill will towards WSI. [redacted] said that [redacted] has more information about the incident and Y-12 than is necessary but that is the media.

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Investigation on 09/26/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 09/26/2012by [redacted]b3  
b6  
b7C  
b7E

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 51

**Package:** 1A12

**Stored** None

**Location:**

**Summary:** (U) Original notes from interview of  
[redacted] on 9/26/2012.

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**Acquired By:** [redacted]

**Acquired On:** 2012-09-26

**Attachment:** (U) Original notes from interview of  
[redacted] on 9/26/2012.

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) ECU 1A: LAB#120813006**Date:** 10/02/2012**From:** LABORATORY

D7-ECU

**Contact:** **Approved By:** **Drafted By:****Case ID #:** 336I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012  
DT - ENVIRONMENTAL EXTREMISM**Synopsis:** (U) ECU 1A: LAB#120813006**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Enclosure(s):** Enclosed are the following items:

1. (U) ECU 1A: Lab#120813006

**Details:**

ECU did not create a Lab Report for this case.

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UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created** 266I-KX-2433548

**From:**

Serial 52

**Package:** 1A13

**Stored** None

**Location:**

**Summary:** (U) Lab#120813006 Description of  
Enclosures: 20 Total pages Chain of  
Custody Communication and Activity Log  
Notes ECS Search Slip Shipping Invoice

**Acquired By:**

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b7C

**Acquired On:** 2012-10-02

**Attachment:** (U) ECU 1A: Lab#120813006

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/01/2012

[redacted] date of birth (DOB) [redacted] telephone [redacted]  
[redacted] residential address [redacted]  
was interviewed at the New Hope Visitor Center, 602 Scarboro Road, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] was hired as a temporary Central Alarm System (CAS) Operator for Wackenhut Services Incorporated (WSI) and was scheduled to be laid off on August 17, 2012. [redacted] is now a permanent employee with WSI and was working on July 28, 2012 in Secondary Alarm System (SAS) on [redacted] the system which allows personnel to enter areas of Y-12. [redacted] said that the broken cameras were the biggest problem that night. When the alarm went off, a camera is supposed to show the area of the alarm but in the early morning hours of July 28, 2012, there was no video/visual coverage of the area. The alarm went into bypass and the computer system assumed it was a nuisance alarm and turned off the alarm automatically. All alarm calls are supposed to go to a supervisor, especially when there is no video coverage. The call went to a supervisor and, as nothing was seen on the video, the all clear was given. Alarms continued and a patrol was dispatched to the area. [redacted] was able to pull up a camera and see the subjects and the first responder along with the banners and the candles. At that time, nothing could be done from where [redacted] was except watch.

b6  
b7C

In the area of the HEUMF Building, [redacted] said that there were three cameras broken. [redacted] said that prior to the event, they performed weekly and monthly camera assessments and would forward information about which cameras were inoperable to the appropriate supervisor. Currently they perform nightly camera checks. [redacted] did not know about any lights being out but he said that it appeared to be dark in the area. He said that the cameras that were out were considered "acceptable risk" at the time.

b6  
b7C

[redacted] said that maintenance is in the area of the HEUMF at all times of the day and night and it would have been possible to mistake an intruder for a maintenance worker, even if the cameras did function properly.

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Investigation on 09/24/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 10/01/2012by [redacted]b3  
b6  
b7C  
b7E



336I-KX-2433548

Continuation of FD-302 of Interview of [REDACTED] on 9/24/2012. , On 09/24/2012 , Page 2 of 2

b6  
b7C

[REDACTED] was unsure whether or not the intruders were simply lucky or if they had help. He said that anyone with access to CAS would have had knowledge of the problems they had with the cameras and alarms. Others who may know would have been anyone in Physical Security and/or the systems employees.

b6  
b7C

[REDACTED] knew of three temporary employees for WSI who were going to work for Yankee escorts, one temporary employee went to [REDACTED] [REDACTED] and 15 permanent Security Police Officers (SPOs) had been given lay-off notices.

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 53

**Package:** 1A14

**Stored** None

**Location:**

**Summary:** (U) Original notes of interview of  
[redacted] on 9/24/2012.

**Acquired By:** [redacted]

**Acquired On:** 2012-09-24

**Attachment:** (U) Original interview notes of [redacted]  
[redacted] on 9/24/2012.

b6  
b7c

UNCLASSIFIED//~~FOUO~~

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/02/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted]  
[redacted] was interviewed at his residence, [redacted]  
[redacted] After being advised of the identity of the interviewing agents  
and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Central Alarm System (CAS) Operator since April 2011. He was hired as a temporary employee due to the new alarm system, Argus. After the July 28, 2012 incident at Y-12, [redacted] has been told that temporary position is now going to be a permanent position. Before the incident occurred, he was due to be laid off around August 31, 2012. [redacted] was not working on the day the incident occurred. He learned of the incident after looking at Knox news on the Internet.

[redacted] believes the three people that broke into the Y-12 site probably had help or prior knowledge of the facility, although he has no idea who could or would have helped them. [redacted] was aware that there had been several of the facilities cameras and security equipment inoperable and/or malfunctioning for several months. They also receive hundreds of false alarms per shift, due to glitches in the system or being tripped by wild animals.

b6  
b7CUNCLASSIFIED//~~FOUO~~

Investigation on 09/25/2012 at [redacted]  
File # 336I-KX-2433548, [redacted] Date drafted 10/02/2012  
by [redacted]

b3  
b6  
b7C  
b7E

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 54

**Package:** 1A15

**Stored** None

**Location:**

**Summary:** (U) Original notes of interview of [REDACTED]  
[REDACTED] on 9/25/2012.

b6  
b7C

**Acquired By:** [REDACTED]

**Acquired On:** 2012-09-25

**Attachment:** (U) Original notes of interview of [REDACTED]  
[REDACTED] on 9/25/2012.

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** EMAIL**Date:** 10/02/2012**Title:** (U) Email re plea deal Oct 2 2012**Approved By:** SSA [REDACTED]b6  
b7C**Drafted By:** [REDACTED]**Case ID #:** 336I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb3  
b7E[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) Email re plea deal Oct 2 2012 sent to CTD SSA and WMD  
SSA.

◆◆

UNCLASSIFIED

From: [redacted] (KX) (FBI)  
Sent: Tuesday, October 02, 2012 1:30 PM  
To: [redacted] (KX) (FBI); [redacted] (CTD) (FBI); [redacted]  
(CTD) (FBI); [redacted] (WMD) (FBI)  
Cc: [redacted] (KX) (FBI); [redacted] (KX) (FBI);  
[redacted] (KX) (FBI); [redacted] (KX) (FBI)  
Subject: RE: 336I-KX-2433548

b6  
b7C

Classification: UNCLASSIFIED

All-  
I just received notice that the US Attorney for the Eastern District of Tennessee, William Killian, has decided [redacted]

b5

Please let me know if you have any questions.  
Thank you.

b6  
b7C

From: [redacted] (KX) (FBI)  
Sent: Monday, October 01, 2012 11:22 AM  
To: [redacted] (CTD) (FBI); [redacted] (CTD) (FBI); [redacted] (WMD) (FBI)  
Cc: [redacted] (KX) (FBI); [redacted] (KX) (FBI); [redacted] (KX) (FBI)  
Subject: 336I-KX-2433548

Classification: UNCLASSIFIED

All-  
There will be two new charges for each of the three defendants before tomorrow's Grand Jury for the above case:  
Title 18 USC SS 2153a (Destruction of war material, war premises, or war utilities) and SS 2155a (Destruction of national-defense materials, national-defense premises, or national-defense utilities). These will be added to the current felony charges, SS 1361 and SS 1363, and the one misdemeanor charge will be dropped.

Please let me know if you have any questions.  
Thank you.

Classification: UNCLASSIFIED

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b7C  
b7E

Classification: UNCLASSIFIED

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/02/2012

[redacted] date of birth (DOB) [redacted] telephone  
[redacted] residence [redacted]

b6  
b7C

was interviewed at the New Hope Visitors Center, 602 Scarboro Road, Oak Ridge, Tennessee. Also present at the interview was Department of Energy Office of the Inspector General Special Agent [redacted]. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

[redacted] has worked at Wackenhut Services Incorporated (WSI) as a Central Alarm System (CAS) Operator since April 2011 in a temporary capacity. Since the July 28, 2012 incident at Y-12, [redacted] is unsure whether or not his position will be permanent.

b6  
b7C

[redacted] was on duty in CAS with [redacted] when the incident occurred at Y-12 on July 28, 2012. [redacted] said that alarms went off and they couldn't do much because the cameras didn't work. At the time, [redacted] thought it was the same stuff that happened all the time with the alarms going off because of an animal or some other alarm malfunction. [redacted] said that radio traffic started with the person on the camera inside the Highly Enriched Uranium Materials Facility (HEUMF). [redacted] said that his job was only to monitor things. Alarms and cameras had been malfunctioning for some time and [redacted] thought the three intruders just got very lucky.

b6  
b7C

Investigation on 09/28/2012 at Oak Ridge, Tennessee, United States (In Person)

File # 336I-KX-2433548, [redacted] Date drafted 10/02/2012

by [redacted]

b3  
b6  
b7C  
b7E

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 56

**Package:** 1A16

**Stored** None

**Location:**

**Summary:** (U) Original notes re interview of   
 on 9/28/2012.

**Acquired By:**

**Acquired On:** 2012-09-28

**Attachment:** (U) Original notes re interview of   
 on 9/28/2012.

b6  
b7C



UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 10/09/2012**Title:** (U) Knoxville News Sentinel article dated 9/29/2012**Approved By:****Drafted By:****Case ID #:** 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Y-12 Security contract ending; Services provided by WSI since '99.

◆◆

b6  
b7C  
b7E

UNCLASSIFIED

# Y-12 security contract ending

■ Services

provided by  
WSI since '99

Blog: More on Frank  
Munger's "Atomic City  
Underground"

By Frank Munger  
munger@attornews.com  
865-342-5328

**OAK RIDGE** — The dominoes  
just keep dropping.

On Friday, the U.S. Department of Energy took

steps to terminate the security contract of WSI-Oak Ridge, which has provided protective force services at the Y-12 nuclear weapons plant since 1999. It's the government's

latest effort to repair damage from an unprecedented security breach and to restore some confidence in security operations at Y-12, which houses the nation's largest supply of bomb-grade uranium.

On July 28, in the pre-dawn darkness, three Plowshares protesters — including Sister Megan Rice, an 82-year-old Catholic nun — entered

the plant without detection via a nearby ridge, cut through multiple security fences and ultimately sullied the exterior of the uranium storage facility with spray-painted peace slogans and human blood.

Since then, there have been a series of personnel purges, at least half a dozen investigations, two congressional hearings and a temporary shutdown of

Y-12's nuclear operations in order to focus on security training.

Josh McConaha, spokesman for the National Nuclear Security Administration, a sub-unit of DOE that oversees the nuclear weapons complex, said Friday that the department had formally requested the termination of WSI's con-

See Y-12, 13A

## Y-12 from 1A

tract. The actual termination will take place by B&W Y-12, the managing contractor at Y-12, because WSI was earlier demoted to subcontractor status under B&W.

B&W, which will assume the protective force management after terminating the WSI contract, said the transition would begin Oct. 1. WSI had nothing to say. "We have received nothing official on our Y-12 contract at this time," spokeswoman Courtney Henry said by email.

B&W Y-12 is also under fire because of the security incident, and McConaha confirmed Friday that the NNSA was not satisfied with the Y-12 contractor's initial response to its Aug. 10 "show cause" notice, which threatened to terminate the B&W management contract unless chosen reasons to do otherwise. He said federal officials are still talking with B&W regarding its status.

"Discussions with B&W are ongoing. However, it was clear that we did not need to wait for that process to wrap up before taking action regarding WSI," McConaha said in an email response to questions.

The initial investigation of the security breach and a subsequent report by the DOE's Office of Inspector General said there were multiple security failures on July 28, when Rice, Greg Boertje-Obed and Michael Walli gained access to the plant's highest-security

Protected Area. Investigators said the security response was tardy and sluggish, with "troubling displays of ineptitude."

Such an intrusion was once considered all but impossible at a site whose vaunted defenses gave it the nickname the Fort Knox of Uranium.

McConaha said the Department of Energy has taken decisive actions to fix security weaknesses at Y-12, although U.S. Rep. Michael Turner, R-Ohio, who chaired one of the recent congressional hearings on the incident, said federal overseers have not taken enough responsibility for the failures.

The decision to terminate WSI's contract "comes after the top leadership of WSI at Y-12 were removed and are no longer welcome at DOE sites, and the officers associated with the incident were fired, demoted or suspended without pay," McConaha said. He also noted

that three federal officials with security oversight jobs have been reassigned.

NNSA and DOE are conducting security reviews "at every level — from the contractor, to the federal management up the chain, to the security model itself," the spokesman said. "The final review in that series will begin shortly when (Energy Secretary Steven Chu) asks observers outside the Department to analyze the current model for protection of nuclear materials and explore additional options for protecting these sites."

DOE's Office of Health, Safety, and Security has completed its top-to-bottom review of the security incident at Y-12, and McConaha said the classified report, which was delivered to Chu this week, "reinforced the seriousness of the incident and will help improve security at Y-12 and across the department."

① SAC lm  
② SSA

b6  
b7c

336I-KY-2433548

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/03/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted]  
[redacted] was interviewed at his residence, [redacted]  
[redacted] After being advised of the identity of the  
interviewing agents and the nature of the interview, [redacted] provided the  
following information:

b6  
b7C

[redacted] was hired as a temporary Central Alarm System (CAS) Operator for Wackenhut Services Incorporated (WSI) starting in April 2011. His temporary employment was due to expire around August 31, 2012, but after the intrusion on July 28, 2012, his "hire-in" group received letters extending their employment, indefinitely.

b6  
b7C

On July 28, 2012, [redacted] arrived at Y-12 for his 6:00am shift. When he arrived at the gate, he was informed by a Security Police Officer (SPO) that there had been an intrusion at Y-12. He parked and clocked in for his shift.

During his shift on the 28th, he viewed the video of the intrusion several times. He had to pull it up to show several different people, at the Supervisors request. [redacted] believes that it was a coincidence that the three intruders cut through the fences where they did, with cameras not working in that area. He believes they may have had information that helped them, but he does not want to believe that any of his co-workers would have helped them in any way.

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Investigation on 09/28/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 10/03/2012by [redacted]b3  
b6  
b7C  
b7E

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 58

**Package:** 1A17

**Stored** None

**Location:**

**Summary:** (U) Original notes of [ ] interview  
on 09/28/2012.

b6  
b7C

**Acquired By:** [ ]

**Acquired On:** 2012-09-28

**Attachment:** (U) Original notes of [ ] interview  
on 09/28/2012.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/02/2012

[redacted] date of birth (DOB) [redacted] telephone [redacted]  
[redacted] residence [redacted] was interviewed at McDonald's, 1705 S. Roane Street, Harriman, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] started as a Wackenhut Services Incorporated (WSI) Central Alarm System (CAS) Operator in April 2011. Prior to working at Y-12, [redacted] worked for WSI at Town Site starting in 2004 but he was laid off so he took the job at Y-12. His impression, along with that of a few others he worked with, was that his temporary position at Y-12 would become permanent. [redacted] started at [redacted] in late June 2012 on a part-time basis, and he was scheduled to go full-time on July 30, 2012.

b6  
b7C

[redacted] was in CAS on duty during the incident on July 28, 2012. There are four alarm consoles, two each in CAS and SAS (Secondary Alarm System). It was not unusual to get about 200 alarms in a night so they are always addressing an alarm. The alarm chimes and there is a slight delay before the system says where the alarm comes from. Then they check the compensatory list to see if it is an alarm that has frequent problems. If so, they disregard it. Then there is another slight delay before the camera starts with video footage. On July 28, 2012, when the alarm for the area where the three cut the fence went off, a picture of a camera with an X in it popped up. This meant that there was no video coverage available. At this point, the supervisor took over and had the personnel inside the Highly Enriched Uranium Materials Facility (HEUMF) check their camera but they said it was negative. [redacted] continued to get alarms and, because there was a supervisor walking by, [redacted] stopped him and a patrol was dispatched to the area to check it out.

b6  
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According to [redacted] the exterior fence near the road is not alarmed. The interior fence uses static electricity as a deterrent. There are microwave heads which are motion-sensitive between the fences. There is wire that runs through the inner fence and the para-field fence (middle

b6  
b7C

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Investigation on 09/28/2012 at Harriman, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 10/02/2012by [redacted]b3  
b6  
b7C  
b7E

336I-KX-2433548

Continuation of FD-302 of Interview of [REDACTED] on 9/28/2012. , On 09/28/2012 , Page 2 of 2

b6  
b7C

fence) which senses movement. [REDACTED] thought there was no razor wire in front of the HEUMF because the fence is newer and is supposed to better monitor someone trying to cut through it.

As far as radio traffic, each patrol team has its own channel but CAS can hear it all. The first indication that there was anything out of the ordinary was a radio call regarding the appearance of maintenance on the fence and the building. [REDACTED] said that they knew it was not maintenance because maintenance has to call CAS first and it would be close to impossible to get maintenance there on a weekend in early morning hours.

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b7C

[REDACTED] stated that it was odd that the three people hit an area with no camera but then again, there was no razor wire either and it was dark so that may have looked like the easiest way to gain entrance.

[REDACTED] knew of two cameras in the area of the HEUMF that didn't work and there may have been four out of six that didn't work.

July 30, 2012 was [REDACTED] last shift due to [REDACTED]  
[REDACTED] He provided WSI with a two week notice prior to resigning his position. [REDACTED] chose to leave WSI because he would have a stable job with [REDACTED] and the hours were much better for him and his family. [REDACTED] didn't know who would have helped the three, if they had any help at all, but it wouldn't have been anyone at WSI because that would have put their job at risk. There would have been no benefit to helping them because that would have lost the contract for WSI.

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b7C

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 59

**Package:** 1A18

**Stored** None

**Location:**

**Summary:** (U) Original notes re interview of [REDACTED]  
[REDACTED] on 9/28/2012.

b6  
b7C

**Acquired By:** [REDACTED]

**Acquired On:** 2012-09-28

**Attachment:** (U) Original notes re interview of [REDACTED]  
[REDACTED] on 9/28/2012.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/02/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted]  
[redacted] was interviewed at his residence, [redacted]  
[redacted] After being advised of the identity of the  
interviewing agents and the nature of the interview, [redacted] provided the  
following information:

b6  
b7C

[redacted] was hired as a temporary Central Alarm System (CAS)  
Operator for Wackenhut Services Incorporated (WSI) starting on April 11,  
2011. His temporary employment was due to expire around August 31, 2012,  
but after the intrusion on July 28, 2012, his "hire-in" group received  
letters extending their employment, indefinitely.

b6  
b7C

[redacted] arrived to work on July 28, 2012 at approximately 5:10am.  
When he arrived at the gate, he was informed by a Security Police Officer  
(SPO) that there had been an intrusion at Y-12. He parked and clocked in  
for his shift. He was asked by his Supervisor to give CAS Operators [redacted]  
[redacted] a break in the CAS. [redacted] watched the three  
intruders on the video cameras until they were moved from the site where  
they were discovered, approximately 10:00am. He could see some of the  
damage on the HEUMF building that was sustained during the intrusion.

b6  
b7C

[redacted] takes pride in his work and is embarrassed with the whole  
incident. He believes the three intruders could have just been lucky to  
accomplish what they got away with. With the amount of general information  
available, and the amount of cameras that were not working properly, it was  
possible to do what they did

b6  
b7C

Investigation on 09/28/2012 at [redacted]  
File # 336I-KX-2433548, [redacted] Date drafted 10/02/2012  
by [redacted]

b3  
b6  
b7C  
b7E



UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 60

**Package:** 1A19

**Stored** None

**Location:**

**Summary:** (U) Original notes from   
interview.

b6  
b7c

**Acquired By:**

**Acquired On:** 2012-10-02

**Attachment:** (U) Original notes from   
interview.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/02/2012

[redacted] date of birth (DOB) [redacted]  
[redacted] was interviewed at the Y-12 New Hope Center in Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Central Alarm System (CAS) Operator for over one year. She started as a temporary employee in April 2011, due to the new alarm system, Argus. Since the July 28, 2012 incident at Y-12, [redacted] has been a permanent employee. [redacted] was not working on July 28, 2012, when the intrusion occurred. Her first shift after the incident was day shift on July 30, 2012. She viewed the video footage of the intrusion, as her Supervisor had her pull it up numerous times for other managers and Supervisors to review. [redacted] stated that she was not surprised the intrusion occurred due to the fact that numerous cameras had been broken for months. [redacted] added that if anyone wanted information on the facility, all they had to do was to take the Secret City Tour given annually.

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Investigation on 09/26/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 10/02/2012by [redacted]b6  
b7Cb6  
b7Cb3  
b6  
b7C  
b7E

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 61

**Package:** 1A20

**Stored** None

**Location:**

**Summary:** (U) Original interview notes of   
interview.

b6  
b7C

**Acquired By:**

**Acquired On:** 2012-10-02

**Attachment:** (U) Original interview notes of   
interview.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/02/2012

[redacted] date of birth (DOB) [redacted] telephone  
number [redacted] was interviewed at his residence, [redacted]  
[redacted]. After being advised of the identity of the  
interviewing agents and the nature of the interview, [redacted] provided the  
following information:

b6  
b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Central  
Alarm System (CAS) Operator for over one year. He started as a temporary  
employee due to the new alarm system, Argus. [redacted] began his employment on  
April 11, 2011. Since the July 28, 2012 incident at Y-12, [redacted] has been a  
permanent employee. [redacted] was not working on July 28, 2012, when the  
intrusion occurred. He started [redacted]

b6  
b7C

[redacted] He learned of the Y-12 intrusion from the news on  
television. His first shift [redacted]

Investigation on 09/26/2012 at [redacted]  
File # 336I-KX-2433548, [redacted] Date drafted 10/02/2012  
by [redacted]

b3  
b6  
b7C  
b7E

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 62

**Package:** 1A21

**Stored** None

**Location:**

**Summary:** (U) Original interview notes from   
interview

b6  
b7C

**Acquired By:**

**Acquired On:** 2012-10-02

**Attachment:** (U) Original interview notes from   
interview

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 10/15/2012

**Title:** (U) Knoxville News Sentinel article

**Approved By:**

**Drafted By:**

**Case ID #:** 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

b6  
b7C

b7E

**Synopsis:** (U) Knoxville News Sentinel article dated 10/10/2012; Plant protesters want more time to prepare motions.

◆◆

UNCLASSIFIED

News Sentinel  
Oct. 10, 2012

## Plant protesters want more time to prepare motions

### ■ Trio won't plea-bargain in Y-12 intrusion

By Frank Munger  
mungerf@snsentinel.com  
865-342-6129

Attorneys representing three protesters arrested on federal charges for a July 28 intrusion at the Y-12 nuclear weapons plant have asked for more time to file motions in the high-profile case.

Tuesday was the deadline for motions, but the attorneys asked for another month to prepare.

The attorneys for the three, in a joint motion, said they are still investigating the case and need additional time to consult with their clients.

They asked that the deadline for motions be extended a month, to Nov. 9.

Meanwhile, the three Plowshares protesters — Sister Megan Rice, Michael Walli and Greg Boertje-Obed — have issued a statement saying they won't plea-bargain with the U.S. government.

The three are each facing three federal charges, including two felonies, for entering Y-12 via Pine Ridge to the north of the plant, cutting through multiple security fences, and ultimately defacing the exterior of a storehouse for bomb-grade uranium.

In a seven-point statement, which they said was in response to an offer of plea bargaining, the three — known collectively as the Transform Now Plowshares — said production of nuclear weapons is a criminal act.

They said they were acting in compliance with their legal obligations to "oppose or expose" those

acts.

They called for the shutdown of nuclear weapons production and recommended that the entire Y-12 complex be placed under the control of the United Nations.

Their trial has been scheduled for Feb. 26 in U.S. District Court in Knoxville.

① SAC Ln  
② SSA

b6  
b7c

336E-KX-2433548

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/15/2012

[redacted] National Nuclear Security Administration, telephone [redacted] was telephonically interviewed. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

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b7C

[redacted] provided a fax copy of the Secret City Festival Tour route map and stated that she also put a copy in the mail in case the fax copy did not come through well. [redacted] said that she would provide any further information as needed and requested.

The map will be placed in the 1A section of the file.

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Investigation on 10/12/2012 at Knoxville, Tennessee, United States (Phone, Fax)File # 336I-KX-2433548 [redacted] Date drafted 10/15/2012

by [redacted]

b3  
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UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 64

**Package:** 1A22

**Stored** None

**Location:**

**Summary:** (U) Map of the route of the Secret City  
Festival tour.

**Acquired By:**

b6  
b7C

**Acquired On:** 2012-10-12

**Acquired From:** (U)

NNSA

Oak Ridge, Tennessee

United States

**Attachment:** (U) Map of the route for the Secret City  
Festival tour.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/15/2012

[redacted] date of birth (DOB) [redacted]  
[redacted] was interviewed at Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Central Alarm System (CAS) Operator for over one year. He started as a temporary employee due to the new alarm system, Argus. [redacted] began his employment on April 11, 2011. His termination date was supposed to be August 17, 2012. Since the July 28, 2012 incident at Y-12, [redacted] has been a permanent employee. [redacted] was not working on July 28, 2012, when the intrusion occurred. He learned of the Y-12 intrusion from a friend who told him to turn on the news. He knew protesters showed up at the gate every Sunday, but he was shocked they were able to make it into the protected area and up against the building. [redacted] doesn't believe they had help. He believes they were lucky, as the incident could have resulted in the use of deadly force. [redacted] knew that there were several cameras broken at the time of the incident, but have since been fixed. If a fixed camera is broken, the screen will be black with a red X. The pan, tilt, zoom cameras cannot be used to assess an alarm, only to gain intelligence. Prior to the intrusion they did monthly camera checks. They now conduct daily camera checks.

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[redacted] added, at the time of the intrusion, there was no razor wire around the HEUMF building. Now there are several rolls of razor wire around the building.

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Investigation on 09/25/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 10/15/2012by [redacted]b3  
b6  
b7C  
b7E

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 65

**Package:** 1A23

**Stored** None

**Location:**

**Summary:** (U) Original interview notes of   
 Interview on 09/25/2012.

**Acquired By:**

**Acquired On:** 2012-10-15

**Attachment:** (U) Original interview notes of   
 Interview on 09/25/2012.

b6  
b7C

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: OTHER

Date: 10/29/2012

Title: (U) 1A

Approved By:

Drafted By:

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

Synopsis: (U) [REDACTED] Plowshares Movement.

Enclosure(s): Enclosed are the following items:

1. (U) [REDACTED] Plowshares Movement.

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UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 66

**Package:** 1A24

**Stored** None

**Location:**

**Summary:** (U)   
Plowshares Movement.

**Acquired By:**

**Acquired On:** 2012-08-08

**Attachment:** (U)   
Plowshares Movement.

b6  
b7C  
b7E

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: OTHER

Date: 10/29/2012

Title: (U) 1A

Approved By:

Drafted By:

b6  
b7C

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

b7E

DT - ENVIRONMENTAL EXTREMISM

Synopsis: (U)

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Enclosure(s): Enclosed are the following items:

1. (U)

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Physical 1A/1C Cover Sheet for Serial Export

**Created** 266I-KX-2433548

**From:**

Serial 67

**Package:** 1A25

**Stored** None

**Location:**

**Summary:**

(U)

**Acquired By:**

**Acquired On:** 2012-08-08

**Attachment:**

(U)

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b7C  
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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

Form Type: OTHER

Date: 10/29/2012

Title: (U) 1A

Approved By:

Drafted By:

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

Synopsis: (U)

Enclosure(s): Enclosed are the following items:

1. (U)

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b6  
b7C  
b7E

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Physical 1A/1C Cover Sheet for Serial Export

**Created** 266I-KX-2433548

**From:**

Serial 68

**Package:** 1A26

**Stored** None

**Location:**

**Summary:**

(U)

**Acquired By:**

**Acquired On:** 2012-08-09

**Attachment:**

(U)

b6  
b7C  
b7E

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: OTHER

Date: 11/02/2012

Title: (U) Letter to b6  
b7CApproved By: 

Drafted By:

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

b7E

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) The following information would be helpful to the investigations.

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/31/2012

[redacted] date of birth (DOB) [redacted] was interviewed at her residence, [redacted]. After being advised of the identity of the interviewing agents, which included Department of Energy Special Agent [redacted] and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] said that she did not recall anything strange in the early morning hours of July 28, 2012, or during the night of July 27, 2012.

b6  
b7C

[redacted] said that her dog wakes up and barks loudly which wakes her up but that her dog did not wake up that night. [redacted] remembered hearing about the break-in at Y-12 on the news but did not recall anything from that night which might be helpful to investigators.

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Investigation on 09/13/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548 Date drafted 10/31/2012

by [redacted]

b6  
b7C

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/11/2012

[redacted] telephone number [redacted] was interviewed at the New Hope Visitor's Center, 602 Scarboro Road, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has been [redacted] for Y-12 for about seven years. Prior to that, [redacted] worked in the Y-12 maintenance department for 40 years. [redacted] the Secret City Festival Tour for seven years. The tour starts at the Visitor Center and ends there as well and is an unclassified tour.

Prior to going on the hour-long tour, [redacted] said that participants must sign in, show United States identification, and pass through a magnetometer. The sign-in sheet lists the participant's name, address and phone number and lists whether or not the participant is a U.S. Citizen. An explosives dog also screens the buses prior to each tour.

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b7C

There were eight tour guides who provided tours on June 16, 2012:

b6  
b7C

[redacted] said that if there had been any unusual questions about security, the tour guides would have told him.

[redacted] knows [redacted] from interactions in the past. [redacted] requested a private tour which was given to him about three years ago. [redacted] is also near Y-12 for the Sunday night prayer vigils. [redacted] reserved the lawn of the New Hope Visitor's Center for [redacted] use one weekend some time after 2008. The Oak Ridge Environmental Peace Alliance (OREPA) had some sort of concert/protest scheduled and used the lawn. Because of OREPA's 501c3 (charitable organization) status, there was no charge for the space. [redacted] remembered [redacted] being hesitant at signing something regarding his responsibility for the space at the time and he said that he was not responsible for anyone else who may deface the buildings. Eventually, [redacted] signed that he would be responsible.

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[redacted] provided a tour guide script which will be enclosed in the 1A

Investigation on 10/10/2012 at Oak Ridge, Tennessee, United States (In Person)

File # 336I-KX-2433548, [redacted] Date drafted 10/11/2012

by [redacted]

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b6  
b7C  
b7E

# 266I-KX-2433548 Serial 71

FD-302a (Rev. 05-08-10)

336I-KX-2433548

Continuation of FD-302 of Interview of [REDACTED] on 10/10/2012, On 10/10/2012, Page 2 of 2

b6  
b7c

section of the file.

UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 71

**Package:** 1A27

**Stored** None

**Location:**

**Summary:** (U) Original interview notes of   
and Tour Guide Script.

b6  
b7c

**Acquired By:**

**Acquired On:** 2012-10-10

**Attachment:** (U) Original interview notes of   
on 10/10/2012 and Tour Guide Script.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012b6  
b7C

[redacted] date of birth [redacted] Social Security Number [redacted] telephone number [redacted] was interviewed at Building 9710-3 Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since 1990. When the incident occurred on the morning of July 28, 2012, [redacted] was working at 24 block barrier. [redacted] was notified of level 2 alarm by radio. [redacted] was unaware of the illegal entry due to his assignment and lack of communication. After completing his shift and changing into his civilian clothes [redacted] learned of the illegal entry. [redacted] was sickened that individuals made entry into the area. [redacted] did not think there was a possibility that the three individuals that broke into the Y-12 site had help or prior knowledge of the facility. [redacted] has heard no rumors relating to the incident. .

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

[redacted] date of birth [redacted] Social Security Number [redacted] telephone number [redacted] was interviewed at Building 9710-3 Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

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b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since 2004. When the incident occurred on the morning of July 28, 2012, [redacted] was working at [redacted] staging area. [redacted] was notified of level 2 alarm by radio and cellular phone. [redacted] along with other employees conducted searches of buildings on the area as per policy. [redacted] was shocked that individuals made entry into the area. [redacted] thought there was a possibility that the three individuals that broke into the Y-12 site had help or prior knowledge of the facility based on the factors of the entry and the possibility of upcoming force reduction. [redacted] had no knowledge of any individuals that would be responsible. [redacted] had heard rumors that the cameras were inoperable and the three individuals had previously taken a tour of the area.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012by [redacted]b3  
b6  
b7C  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012b6  
b7C

[redacted] date of birth [redacted] Social Security Number [redacted] telephone number [redacted] was interviewed at Building 9710-3 Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since August 2009. When the incident occurred on the morning of July 28, 2012, [redacted] was working at post 24 with the post 24 crew. [redacted] was assigned to post 24 tower. [redacted] was notified of level 2 alarm by radio. [redacted] was shocked but maintained his post lookout as per policy. [redacted] was not sure if the three individuals that broke into the Y-12 site had help or prior knowledge of the facility. [redacted] had heard rumors that the cameras were inoperable and the three individuals came across the North fence, then cut the fence and came inside the area.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012by [redacted]b3  
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b7C  
b7E

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) [ ] Meeting on 10/31/2012.**Date:** 11/02/2012**From:** KNOXVILLE

KX-10

**Contact:** [ ]**Approved By:** A/SSA [ ]**Drafted By:** [ ]**Case ID #:** 336I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[ ]  
DT - ENVIRONMENTAL EXTREMISM[ ]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) Meeting at ORNL with [ ] and FBI.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**On 10/31/2012, a meeting was held at Oak Ridge National  
Laboratory with [ ][ ] The meeting was to discuss information related  
to the case.

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Title: (U) [ ] Meeting on 10/31/2012.

Re: 336I-KX-2433548, 11/02/2012

[ ] also said that [ ] said that there was a ladder found up on a fence. In a follow up telephone call with [ ] she said that she would have to check the notes and that she remembered that there was a ladder near a house. In a follow up telephone call with DOE Inspector General Special Agent [ ] there was no ladder up against the fence. There was a tree branch that could have been used as a ladder up against the fence. There were also ladders at people's homes in the area near the fence but not one leaning on the fence.

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

On November 07, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer [REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED] DOB: [REDACTED] SSN: [REDACTED] residing at [REDACTED] [REDACTED] telephone number [REDACTED]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

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[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last eight (8) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was in the Special Response Team (SRT) staging area en route to the bathroom. Initially, [REDACTED] was told that maintenance workers were outside of the Highly Enriched Uranium Materials Facility (HEUMF) and that was the reason for the Level 2 alarm. However, a second report came in which indicated that an unknown number of personnel had breached the security perimeter adjacent to the HEUMF.

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[REDACTED] was dispatched with his SRT members to begin a search and clear process for a number of buildings within the protective area. [REDACTED] could not understand how protestors were able to breach the security perimeter. [REDACTED] was unaware that some of the cameras protecting the HEUMF were inoperable and had created a security vulnerability. In addition, [REDACTED] was surprised to learn that a request to add additional razor wire around the HEUMF was denied prior to this incident.

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[REDACTED] believes that the three protestors must have had some type of assistance or inside information to pull off this type of security breach. [REDACTED] believes that those who knew that the cameras were not working and those who denied the request for additional razor wire may have provided assistance to the protestors but [REDACTED] has no evidentiary facts to prove this theory. [REDACTED] does not believe a guard force member would have assisted or aided the protestors. [REDACTED] has not heard of any gossip which would identify any possible assistance provided to the three protestors and any discussion of such help is strictly speculation.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548 [REDACTED] Date drafted 11/07/2012

by [REDACTED]

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b7E

# 266I-KX-2433548 Serial 76

FD-302a (Rev. 05-08-10)

336I-KX-2433548

Continuation of FD-302 of Interview of [REDACTED], On 11/07/2012, Page 2 of 2

b6  
b7C

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security number (SSAN) [redacted] telephone number [redacted] was interviewed at Building 9710-3 Protective Force Headquarters Y-12 National Security Complex Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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b7C

[redacted] has worked as a Wackenhut Services Incorporate (WSI) Security Police Officer since May 2005. When the incident occurred on the morning of July 28, 2012, [redacted] was assigned to [redacted] was notified by radio communication of a level two alarm. [redacted] began scanning the North ridge line as per instruction from supervision. [redacted] was made aware that illegal entry had been made by another patrol unit. [redacted] was in shock that illegal entry had occurred. [redacted] believes the three people that broke into the Y-12 site probably had help or prior knowledge of the facility. [redacted] has no articulable evidentiary facts to support his suspicion. [redacted] has not heard any rumors relating to the incident.

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b7C

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
b6  
b7C  
b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

On November 07, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer [REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED] [REDACTED] DOB: [REDACTED] SSN: [REDACTED] residing at [REDACTED] [REDACTED] telephone number [REDACTED]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

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b7C

[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last seven (7) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was conducting routine security patrols as part of a Bearcat Team at Bravo 30. [REDACTED] was assigned as the gunner for the Bearcat Team while his partner, [REDACTED] was assigned as the driver of the Bearcat. When the Level 2 alarm was broadcast over the radio, [REDACTED] immediately assumed the gunner position in the gun turret of the Bearcat while [REDACTED] moved quickly to the drivers position. [REDACTED] recalled the actual Level 2 alarm broadcast as "LEVEL 2; North side of HEUMF".

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[REDACTED] recalled that there was a tremendous amount of miscommunication once the Level 2 alarm was announced and there was very little information provided to the guard force once the Level 2 alarm was initiated. At first, [REDACTED] believed a guard or a HEUMF employee had become disgruntled after being terminated or fired for unknown reasons. [REDACTED] never assumed that a protestor, let alone three protestors, had breached the security perimeter. [REDACTED] and his team were directed to scan the North side of the ridge with their thermal imager once the Level 2 alarm initiated.

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b7C

[REDACTED] believes that the three protestors must have had some type of assistance or inside information to pull off this type of security breach. There is way too much coincidence and/or pure "luck" for the three protestors to have successfully breached the security perimeter. [REDACTED] suspects that either a supervisor and/or a camera operator could have known that the cameras in the area were inoperable. They are the only guard force

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b7CInvestigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [REDACTED] Date drafted 11/07/2012by [REDACTED]b3  
b6  
b7C  
b7E

336I-KX-2433548

Continuation of FD-302 of Interview of [REDACTED], On 11/07/2012, Page 2 of 2

b6  
b7C

personnel who could have assisted the protestors with the breach or have provided the techniques required for the cameras to enter a "by-pass" mode. The "by-pass" mode allows a camera to reset if it alerts based on routine activations initiated by small animals commonly found on the perimeter area.

[REDACTED] was not aware of any gossip among his colleagues which would have identified anyone assisting the protestors. [REDACTED] does not believe this incident was related in any way to the proposed lay-offs or guard force reduction which was rumored to be executed in the near future.

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b7C



## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security number (SSAN) [redacted] telephone number [redacted] was interviewed at Building 9710-3 Protective Force Headquarters Y-12 National Security Complex Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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b7C

[redacted] has worked as a Wackenhut Services Incorporate (WSI) Security Police Officer since October 2003. When the incident occurred on the morning of July 28, 2012, [redacted] was assigned to building 9215 staging area. [redacted] was notified by radio communication of a level two alarm. [redacted] remained in staging area as per policy. [redacted] was not aware that illegal entry had been made until being relieved from duty. [redacted] was in shock and disbelief that illegal entry had occurred. [redacted] believes the three people that broke into the Y-12 site probably had help or prior knowledge of the facility. [redacted] had suspicion that a central alarm system operator, secondary alarm system operator or supervision could have helped the three individuals that broke into the Y-12 site. [redacted] did not have any articulable, evidentiary facts to support this suspicion. [redacted] has not heard any rumors relating to the incident.

b6  
b7C

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
b6  
b7C  
b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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b7C

[redacted] is a Security Police Officer 2 (SPO2) and has worked for Wackenhut Services Incorporated (WSI) for eight years. On the morning of the breach at Y-12, July 28, 2012, [redacted] was in area Bravo-34, in a Bear Cat (response vehicle) east of Building 9212. [redacted] partner that shift was [redacted] heard there was a level II alarm but did not hear specifics about the alarm. [redacted] called him on his cell phone and asked if he was scanning the ridges but he was given no other information regarding what was happening. [redacted] called other Bear Cat teams but no one had any information. [redacted] saw a response team from Building 9212 and they said that the Protected Area had been breached. [redacted] was not in a position to see anything.

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b7C

[redacted] said that he didn't want to speculate about whether the three intruders had help or not but he thought that it was odd that the fence was supposedly impenetrable but that they got thru it. [redacted] did not have any specific information about who might have helped the three, if they had help.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
b6  
b7C  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] social security number (SSAN#) [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last 2 1/2 years. When the incident occurred on the morning of July 28, 2012, [redacted] was working alone on patrol covering south ridge and Bear Street. [redacted] advised she was in her response position and she could hear radio traffic but was not sure what was happening. [redacted] advised she received a telephone call from her supervisor Bravo-1 by cellular telephone. He advised her to standby and clear her area which included the south side and south ridge, which she did.

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b7C

[redacted] stated she does not know for sure whether the three individuals had help getting into the protected area and she still doesn't know the details of what happened that morning. [redacted] advised she has heard different gossip and some people are saying the three people had help but she doesn't really know.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] is a Security Police Officer 2 (SPO2) and has worked for Wackenhut Services Incorporated (WSI) for seven years. On the morning of the breach at Y-12, July 28, 2012, [redacted] was in area Bravo-14, in a Bear Cat (response vehicle) outside Y-12 on Bear Creek Road. [redacted] partner that shift was [redacted] heard a level IV alarm on the radio and heard that it was cleared. When [redacted] heard there was a level II alarm and a situation at the Highly Enriched Uranium Materials Facility (HEUMF), he was told to get mobile and get eyes on the North ridge line. This was odd because they rarely responded for a level II alarm. [redacted] supervisor called him on his cell phone and told him that someone had cut through the Protected Area and that they were in custody. He was told to look for others because it was unclear whether the three who breached the fence had others with them.

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[redacted] said that it was speculation as far as whether or not the three had help. The tree line is right up the hill and anyone could have climbed the fence and observed from the tree line for six months and no one would have known because that area was not patrolled. [redacted] heard that the alarm system wasn't working but didn't think there was any reason to believe the three intruders had help.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted], telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] is a Security Police Officer 1 (SPO1) and has worked for Wackenhut Services Incorporated (WSI) for about seven and a half years. On the morning of the breach at Y-12, July 28, 2012, [redacted] was in area Bravo-30, inside the Protected Area just south of the Highly Enriched Uranium Materials Facility (HEUMF). [redacted] heard the level II alarm on the radio and responded to his position and waited for additional instructions. [redacted] partner that morning was [redacted] They did not hear anything on the radio and what was happening was not clear. [redacted] did not know about the breach and even called his supervisor but he didn't know details either. [redacted] found out about the fence breach around 8am when his relief told him about the incident.

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[redacted] didn't know whether the three intruders had help or not but thought it was possible that someone dropped them off or videoed the incident. The supposed route that the intruders took was the closest area with cover and, using common sense, it was the most accessible route. [redacted] did not have any specific information about any help the intruders may have had, if they had help.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

On November 07, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer [REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED] [REDACTED] DOB: [REDACTED] SSN: [REDACTED] residing at [REDACTED] [REDACTED] telephone number [REDACTED]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

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[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last eight (8) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was working at WSI Headquarters as he was assigned to the Vacation Sick Relief (VSR). As such, [REDACTED] was prepared to fill in for any security guard who needed to be replaced due to illness or a guard who may have called in sick for their shift. [REDACTED] recalls hearing an initial Level 2 Alarm broadcast over the radio but quickly cleared. [REDACTED] became suspicious when a second Level 2 Alarm was broadcast over the radio. When this alarm activated, he was immediately dispatched by [REDACTED] [REDACTED] to provide roving vehicle mounted patrols in the vicinity of Bear Creek Road.

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[REDACTED] was surprised to learn of the security breach. [REDACTED] believes that the three protestors did not have inside assistance from a Y-12 guard force member. However, reflecting back on the security breach incident, it does seem or appear to be suspicious based on where and how the protestors accessed the Highly Enriched Uranium Materials Facility (HEUMF). [REDACTED] recalled hearing various discussions with his colleagues regarding the lack of intelligence provided to them prior to their shift regarding the operational status of the security cameras. [REDACTED] suggested that if the guards were made aware of the broken security cameras they could have modified their patrol routes and paid more attention to the vulnerable areas within the protective area. If the guards were provided this key information prior to their shift, it may have provided earlier warning and prevented the security breach.

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b7CInvestigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [REDACTED] Date drafted 11/07/2012by [REDACTED]b3  
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Continuation of FD-302 of Interview of \_\_\_\_\_, On 11/07/2012, Page 2 of 2

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] is a Security Police Officer 1 (SP01) and has worked for Wackenhut Services Incorporated (WSI) for about 11 years. On the morning of the break-in at Y-12 on July 28, 2012, [redacted] was working at [redacted] on the over-watch tower. His job was to ensure there were no issues at that portal. He heard a level II alert on the radio. He was advised by Bravo 1 to search for anyone in the surrounding area and challenge them. [redacted] said that things lined up very well for the three who cut through the fence and as far as having help, he leaned toward yes because how else could they have known exactly where to go. It seemed like they knew what cameras and what alarms were not working and they couldn't have known that without help. [redacted] did not have any specific information about who could have helped them, if they did get help at all.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

On November 07, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [redacted] and Joint Terrorism Task Force Officer [redacted] (Tennessee Highway Patrol) conducted an interview of [redacted] DOB: [redacted] SSN: [redacted] residing at [redacted] [redacted] telephone number [redacted]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

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[redacted] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last eight (8) years. When the security breach incident occurred on the morning of July 28, 2012, [redacted] was working routine security operations at Bravo 34 as a Bearcat armored vehicle driver. When [redacted] learned of the Level 2 alarm via the vehicle radio, [redacted] made the comment that the Level 2 alarm was most likely triggered by Greenpeace protestors. [redacted] donned additional tactical gear and [redacted] moved up into the Bearcat's gun turret while [redacted] moved to the drivers seat. While awaiting further guidance from [redacted] made contact with a two-man SRT patrol who provided details of the security breach. [redacted] provided support to the SRT members as they continued to patrol their assigned security sector.

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[redacted] was surprised to learn of the security breach. [redacted] believes that the three protestors did not have inside assistance from a Y-12 guard force member. However, someone who knew the operational status of the security alarms and cameras would be a likely candidate to assist the protestors with the security breach. [redacted] believes that the protestors may have gained important intelligence prior to the operation by participating in a bus tour of the Y-12 facility. [redacted] also suspects the protestors may have utilized a Y-12 visitors map and Google Earth to assist them with reconnaissance of the Y-12 facility.

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[redacted] has heard limited gossip regarding the security breach. Most of his colleagues were shocked to learn that some of the security cameras were not operational during the security breach. [redacted] believes the operational

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012by [redacted]b3  
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status of the cameras would only have been known to the camera operators and/or the guard force supervisors.  does not believe any of his colleagues were involved in the incident and he has no knowledge of any individual assisting with this security breach.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

[redacted] date of birth [redacted] Social Security Number [redacted] telephone number [redacted] was interviewed at Building 9710-3 Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since 2001. When the incident occurred on the morning of July 28, 2012, [redacted] was working at headquarters assigned to vacation sick relief. [redacted] was notified of level 2 alarm by radio communication. [redacted] received command to conduct search at Jack Case Building per policy. [redacted] does not know if the three people that broke into the Y-12 site had help or prior knowledge of the facility. [redacted] believes that the three people that broke into the Y-12 site were lucky. [redacted] has not heard any rumors relating to the incident.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] is a Security Police Officer 1 (SPO1) and has worked for Wackenhut Services Incorporated (WSI) since January 1999. On the morning of the break-in at Y-12 on July 28, 2012, [redacted] was working at Post 10 on the entry/exit gate. He heard a level II alert on the radio which usually ends up being inconsequential. When he heard "handcuffs" on the radio, he knew to be more alert. It seemed as if the three intruders either got lucky or that it was very odd that they picked the right spot to cut through the fence. Most of [redacted] co-workers think the timing and location was odd as well. [redacted] did not have any specific information about who could have helped them, if they did get help at all.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

[redacted] date of birth [redacted] Social Security Number [redacted] telephone number [redacted] was interviewed at Building 9710-3 Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since 1986. When the incident occurred on the morning of July 28, 2012, [redacted] was working in the basement at Beta 2E staging area. [redacted] was notified of level 2 alarm by radio communication. [redacted] received command to take positions per policy. [redacted] was contacted by a supervisor and made aware that a problem existed at the HUMF. [redacted] held his position per policy. [redacted] has suspicion that the three people that broke into the Y-12 site had help due factors dealing with the incident. [redacted] has suspicion that camera operators, supervision or upper management could be involved. [redacted] has no evidentiary information to support this suspicion. [redacted] has not heard any rumors related to this incident.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

On November 07, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer [REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED] [REDACTED] DOB: [REDACTED] SSN: [REDACTED] residing at [REDACTED] [REDACTED] telephone number [REDACTED]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

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[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last ten (10) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was working at Security Checkpoint 23. [REDACTED] arrived at Security Checkpoint 23 at 4:00AM to conduct routine security duties. At approximately 4:30AM, [REDACTED] was advised via security radio that a Level 2 alarm had been issued and [REDACTED] immediately began to conduct lock-down procedures with his security colleagues at Security Checkpoint 23. [REDACTED] remained at Security Checkpoint 23 after the incident conducting security identification checks on all NNSA employees responding to the incident.

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[REDACTED] was completely stunned and surprised by the security breach. [REDACTED] believes that the three protestors must have had assistance to conduct the security breach. [REDACTED] points to the location selected for the breach access and the route utilized by the protestors as indicators of assistance from a third party. [REDACTED] called this area the "darkest part of the plant". [REDACTED] is familiar with the terrain associated with the access route and [REDACTED] feels strongly that an 82 year-old female could not navigate this terrain without some additional assistance.

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[REDACTED] has heard limited gossip regarding the security breach. Most of his colleagues were shocked to learn that some of the security cameras were not operational during the security breach. [REDACTED] believes the operational status of the cameras would only have been known to the camera operators and/or the guard force supervisors. [REDACTED] does not believe any of his colleagues were involved in the incident and he has no knowledge of any individual assisting with this security breach.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [REDACTED] Date drafted 11/07/2012by [REDACTED]b3  
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Continuation of FD-302 of Interview of [REDACTED], On 11/07/2012, Page 2 of 2

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

[redacted] date of birth [redacted] Social Security Number [redacted] telephone number [redacted] was interviewed at Building 9710-3 Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since 2006. When the incident occurred on the morning of July 28, 2012, [redacted] was working at 9212 staging room November Team. [redacted] was notified of level 2 alarm by radio around 0400 hours. [redacted] waited and received command to move to the HUMF. [redacted] learned of the illegal entry. [redacted] then conducted searches of the area per policy. [redacted] was excited and looked forward to resolving the incident. [redacted] believes the three people that broke into the Y-12 site had no inside help. [redacted] believes that the three people that broke into the Y-12 site probably got intelligence from open source media such as Google. [redacted] has not heard any rumors relating to the incident.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/07/2012

[redacted] date of birth [redacted] SSAN# [redacted]  
telephone number [redacted] was interviewed at Building 9710-3,  
Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge,  
Tennessee. After being advised of the identity of the interviewing Agents  
and the nature of the interview, [redacted] provided the  
following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security  
Police Officer 2 (SPO) since January of 2008. When the incident occurred on  
the morning of July 28, 2012, [redacted] was working as vacation sick relief  
(VSR). [redacted] explained VSR is utilized to replace someone if they had to  
leave or was out sick and that he did not have one specific location to  
patrol. [redacted] stated it was around 0400 hrs that morning when he heard  
about the incident and he was either at Protective Force headquarters or in  
the area of Southridge. [redacted] stated he heard radio traffic advising three  
individuals were at the HEUMF but he did not hear an alarm tone or mention  
of the word adversaries, so he assumed it was workers or something. [redacted]  
advised he did not believe the three individuals had help getting inside  
the facility due to the fact it would not be difficult to get in. [redacted]  
advised he has not heard any gossip or discussion around work about whether  
or not the three individuals had assistance and who may have helped them if  
they did.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/07/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

On November 08, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer [REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED] [REDACTED] DOB: [REDACTED] SSN: [REDACTED] telephone number [REDACTED]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

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[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last five (5) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was working routine security patrol in the vicinity of Post 9. [REDACTED] was assisting with the upcoming guard force shift change and he was tasked to align the security vehicles near Post 9 for a canine inspection prior to entering the protected area. As [REDACTED] was opening the motor pool gates between 04:20-04:25AM, [REDACTED] heard the Level 2 alarm referencing a security problem near the Highly Enriched Uranium Materials Facility (HEUMF). [REDACTED] was immediately dispatched to clear the Jack Case building.

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When the Level 2 alarm sounded, [REDACTED] immediately switched gears into search and clear mode. The alarm was taken very seriously although [REDACTED] was not initially privy to the cause of the alarm. [REDACTED] and his team mates finished searching and clearing the Jack Case building before learning the full details of the security breach. [REDACTED] was completely shocked and surprised to learn that three protestors breached the security at Y-12.

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[REDACTED] does not want to think that WSI or DOE employees were involved with the security breach but, based on the circumstances, [REDACTED] feels somebody must have provided assistance to the protestors. [REDACTED] has no specific information and his belief is strictly speculative at this point. [REDACTED] believes the incident could very well be related to the proposed reduction in guard force at the Y-12 complex.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [REDACTED] Date drafted 11/08/2012by [REDACTED]b3  
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Continuation of FD-302 of Interview of [REDACTED], On 11/08/2012, Page 2 of 2

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer 3 (SPO3) for 11 years. When the security breach occurred on the morning of July 28, 2012, [redacted] was with Sierra Team staged at Building 9215 on the southeast corner. [redacted] was not in a position to see anything that happened at the Highly Enriched Uranium Materials Facility (HEUMF). He heard the level IV alarm on the radio for Protected Area Zone 61 and at first he didn't think it was a big deal because they get numerous alarms. Then it caught [redacted] attention because it changed to a level II but then there was not a lot of information over the radio. [redacted] supervisor then gave orders to clear Beta 2E.

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[redacted] thinks the three intruders had help because there would be no other way they could have executed their plan perfectly the way they did. According to [redacted] there was no one on Pro-Force who would know about the alarms and cameras. The three had to get information somehow to get as far as they got, there was no way it was a coincidence. [redacted] said the Central Alarm System (CAS) and Secondary Alarm System (SAS) employees and the plant superintendent would know about the malfunctions of the alarm systems. [redacted] knew there was a lot of money at stake so thought someone must have helped them but didn't have specific information about who it might be.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

On November 08, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer [REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED] [REDACTED] DOB: [REDACTED] SSN: [REDACTED] residing at [REDACTED] [REDACTED] telephone number [REDACTED]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

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[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last twenty-five (25) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was working as a Central Alarm Station (CAS) Operator at Console 1. [REDACTED] was a back-up operator tasked to assume primary operator if one of the main CAS stations was compromised or went off-line due to mechanical failure. [REDACTED] became aware of the actual security breach around 6:35AM when a co-worker, [REDACTED] was dispatched to investigate the alarm and actually confronted the protestors.

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[REDACTED] was absolutely shocked when he learned of the details regarding the security breach. [REDACTED] was very frustrated as this was only his second night operating the ARGUS System and there were multiple cameras which were not working. The CAS operators conduct a weekly report on Sunday evenings which catalog the cameras which are inoperable and need to be repaired. This maintenance report is sent up the command chain yet, for some reason, the "higher-ups" chose to ignore the report and failed to have the cameras fixed in a timely manner. [REDACTED] became upset when he could not capture video and/or camera angles on the area which had been breached.

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[REDACTED] believes that the three protestors must have had some type of assistance or inside information to pull off this type of security breach. [REDACTED] believes that the inoperable cameras coupled with the lack of razor wire at the breach site was too much coincidence. Someone must have provided information to the protestors prior to the security breach.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [REDACTED] Date drafted 11/08/2012by [REDACTED]b3  
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Continuation of FD-302 of Interview of [REDACTED], On 11/08/2012, Page 2 of 2

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked at the Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since June of 2008. When the incident occurred on the morning of July 28, 2012, [redacted] was working as a relief officer for post 11. This post is located on 3rd street by the rail road crossing. At approximately 0435 hours [redacted] heard a level 2 tone across the radio and then heard that [redacted] was going to assist in arresting some individuals. He did not think anything out of the ordinary at first, he thought maybe an argument amongst employees had occurred or something similar to that. He called his supervisor and offered his assistance if needed and that is when he was asked to patrol the roadway and look for anyone not belonging there. Officer [redacted] was working post 11 that evening, but [redacted] was initially by himself when the first alert tone came out.

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In [redacted] opinion , the situation "seems very coincidental". "They knew so much and knew where to come in and hide." Although [redacted] believes the situation highly coincidental, he does not know of anyone who would assist the protestors, nor has he heard any rumors from others regarding them knowing possible inside help.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

On November 07, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer [REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED], DOB: [REDACTED] SSN: [REDACTED] residing at [REDACTED] telephone number [REDACTED]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

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[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last twelve (12) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was located at the WSI Headquarters, Building 9109, as he was on restriction for missing the last firearms qualification due to military training and was not able to carry a weapon. When [REDACTED] first heard the Level 2 alarm over the radio, [REDACTED] believed it was a training drill which they receive from time-to-time. However, a second radio transmission was heard which broadcast: "you need to cuff those guys...". Once [REDACTED] heard that come over the radio, [REDACTED] knew this was a very serious incident, a breach had occurred, and the incident was certainly no drill.

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[REDACTED] believes that the three protestors must have had some type of assistance or inside information to pull off this type of security breach. [REDACTED] believes that someone told the protestors about the inoperable cameras and/or provided specific techniques for cutting the wire surrounding the Highly Enriched Uranium Materials Facility (HEUMF). [REDACTED] eludes that another security contractor also working at Y-12 identified as Babcox and Wilcox (B&W) may have been involved to make WSI and its employees look bad so they could gain additional security contracts from the Department of Energy (DOE). [REDACTED] has no evidentiary facts to prove this theory but it remains his best assessment.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [REDACTED] Date drafted 11/07/2012by [REDACTED]b3  
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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

Title: (U) [REDACTED]

Date: 11/09/2012

From: KNOXVILLE

KX-10

Contact: [REDACTED]

Approved By: A/SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) [REDACTED] on 11/7/2012.

Preliminary Investigation Initiated: 08/01/2012, set to expire  
02/01/2013**Details:**

On 11/7/2012, [REDACTED]

UNCLASSIFIED

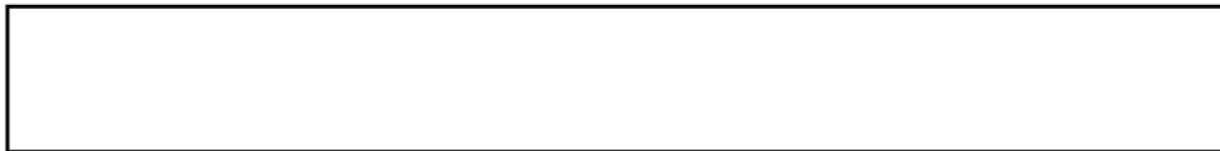
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UNCLASSIFIED

Title: (U)   
Re: 336I-KX-2433548, 11/09/2012



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UNCLASSIFIED

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/09/2012

[redacted] date of birth [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer 3 (SPO3) for approximately 8 years. When the incident occurred on the morning of July 28, 2012, [redacted] was working just southwest of building 9204 which is south of the HEUMF. [redacted] advised he heard a Level II called over the radio. [redacted] advised the radio communication was not working very well, but he suspected something was happening at the HEUMF. [redacted] stated he secured their immediate area and began a search of buildings 9215 and 9204-2E. [redacted] advised they are called out as a reactionary team.

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[redacted] advised it was later that he found out it was peace activist that had breached the facility. [redacted] told agents he had done some Internet research on the group that these three individuals were affiliated with. [redacted] stated he believes the group is financed well enough to have had help. [redacted] advised he did not have any specific information on who may have assisted these three individuals. [redacted] mentioned some of the gossip is the CAS and SAS employees were scheduled to be laid off and that could have played a part. [redacted] advised there were plans to lay off approximately 38 guards as well and this could have been a factor.

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[redacted] expressed his concern of the fact that he feels they lack training in the shoot/no-shoot scenarios. [redacted] stated they are trained if you see something, you kill it. [redacted] also stated he felt there were too many people not doing what they say they were doing.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/09/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/09/2012

[redacted] date of birth [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer 1 (SP01) for the past 26 years. When the incident occurred on the morning of July 28, 2012, [redacted] was working as K-9 position, overwatch. [redacted] advised he started at Post A and closed it up and had stopped by Post 10. [redacted] stated he was advised to go to Post 8 at that time, [redacted] heard a Level III alarm issued over the radio. [redacted] advised he obtained his rifle and took his position. [redacted] stated he began scanning the radio channels in an attempt to find out what was occurring. [redacted] said he heard something like someone had got in, which changed his reaction at that point.

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[redacted] advised he was contacted by his supervisor and told there could be more individuals and to start scanning his area. [redacted] stated he observed two people standing at the southwest corner of the new building. [redacted] approached these individuals and found them to be janitors. [redacted] advised he spoke with their supervisor and found out there were about 7 other people working with them in that building.

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[redacted] stated he did not know whether the three individuals who breached the property had help, but it is odd. [redacted] stated they must have been extremely lucky to pinpoint the one little area that did not have camera coverage. [redacted] advised he does not know and has not heard about who may have assisted these three individuals.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/09/2012by [redacted]b3  
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b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/09/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] and telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since January of 2000. When the incident occurred on the morning of July 28, 2012, [redacted] was working patrol at Post 57A, MMA which is a position that provides breaks to individuals working at Post 57. [redacted] advised he was working alone on the morning of the incident and recalls hearing a Level II alarm go out on the radio. [redacted] advised he had a response position to go to when this happens. [redacted] stated he locked down the post and put his equipment on. At this point, [redacted] advised he was ready to be redirected if necessary.

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[redacted] stated there were fire patrols going on at Post 57 and he was advised by his immediate supervisor, [redacted] to escort the fire patrols on the roof of the building. [redacted] advised he did not know at this point exactly what was going on with the Level II alarm, all he knew was there was a situation at the HEUMF.

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[redacted] advised it seems like the three individuals who breached the facility could have had help, but he doesn't think they did. [redacted] stated he believes they may have just been lucky because it appears they took what he considers to be a direct and easy path. [redacted] stated he has heard gossip around work, but has no specific information concerning whether or not these three individuals were assisted.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/09/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012b6  
b7C

[redacted] date of birth (DOB) [redacted] social security number [redacted] and telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer 2 (SPO2) since April of 2006. When the incident occurred on the morning of July 28, 2012, [redacted] advised he was working in Tower 3 on the southwest side inside the protected area. [redacted] described his position as an elevated position to watch over those on the ground.

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[redacted] stated he heard the alarm issued across his radio which advised it was a Level II alarm. At that time, [redacted] stated he got up on his gun, popped the hatch in a ready position and watched his area. [redacted] advised he could see the HEUMF building where the incident occurred but he could not see what was going on. [redacted] advised he heard radio traffic, but was still not sure of what was going on. [redacted] stated he could hear someone calling patrol up and he heard people responding to being called. [redacted] advised he was unaware of what actually occurred until [redacted] called him on the cellular telephone and advised him what had happened. [redacted] advised [redacted] told him some people had breached the fence and were at the building.

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[redacted] advised he believed these three individuals had help in breaching the facility. [redacted] stated there were many things that hit just right for it to be a coincidence. [redacted] advised it didn't make sense to him how these three individuals would have known where to go, and what to do unless someone gave them the right information. [redacted] stated he has heard about the video, but has not viewed it. [redacted] advised in his opinion, the potential layoffs may have played a part because only a select few people knew about the cameras not functioning properly. [redacted] said the alarm people would have the knowledge and some of them were to be laid off. [redacted] stated the popular consensus is that these three individuals had assistance.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted]  
[redacted] social security number [redacted] was interviewed at Building  
9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak  
Ridge, Tennessee. After being advised of the identity of the interviewing  
agents and the nature of the interview, [redacted] provided the following  
information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security  
Police Officer (SPO) for the past 7 1/2 years. [redacted] stated he was working  
Charlie-30 in building 9215 which is locked up after hours. [redacted] advised  
he was working with other team members that included [redacted]  
[redacted] described this particular team as a reactionary force  
and their main responsibility was to hold their position in the breakroom  
area of the building and wait to react.

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[redacted] stated around 0400 hrs that morning, he received an alarm tone  
across his radio. [redacted] described this as a 3 to 4 second loud, obnoxious  
beep with a description of what the alarm was caused from. [redacted] advised  
alarms happen frequently and usually there is nothing to them. These are  
referred to as ghost alarms. [redacted] advised after he heard the alarm, he got  
geared up and held his position at the radiological lines for a little bit.  
[redacted] stated he received a telephone call on his cellular telephone from  
his supervisor which advised him to cross the line and get to his position.  
[redacted] described his position as being located at the NW corner of building  
9215, just before the L building. [redacted] advised he went to the roll up  
garage door that is located in that area and rolled it down. [redacted] stated  
he maintained his position there.

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[redacted] advised he became aware of what had occurred that morning after  
being relieved by the next shift. [redacted] stated he had only a little  
information in reference to people making it through the fence line, but he  
wasn't for sure what had exactly happened. [redacted] advised prior to that, he  
assumed someone had got caught stealing tools or that someone had been in a  
fight. [redacted] stated he never thought someone had breached the PITUS area.

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[redacted] stated he does not see how these individuals could have done this  
without help. [redacted] advised he believes there was a fourth person in the

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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336I-KX-2433548

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Continuation of FD-302 of Interview of [REDACTED], On 11/07/2012, Page 2 of 2

area possibly video taping the event. [REDACTED] added there was a tour of the facilities earlier that day and it wouldn't be hard to hide in the building where the tour occurred. [REDACTED] stated he has no idea who may have assisted these three individuals, but there are all kinds of possibilities. [REDACTED] talked about the fact that approximately 70 people were looking at being laid off which lays the groundwork for another possibility of someone on the inside helping these three individuals. [REDACTED] said there were too many things that occurred which didn't add up. [REDACTED] mentioned the fact that these three individuals chose an area that was lacking camera coverage, and they had information that the alarm would reset itself in the area they breached.

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked at the Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since 2007. When the incident occurred on the morning of July 28, 2012, [redacted] was working at the main headquarters building in the office. She was monitoring radio and phone traffic when she over heard an alert tone and then a level 2 alert. She was taken back and wasn't sure if she had heard the alert correctly or not and tuned in more closely to any radio traffic. She believes the tone came out at approximately 0415 hours. She then witnessed [redacted] also with WSI, come out of his office and she overheard him say something about persons being inside the perimeter with spray cans. She knew something was wrong based on his demeanor. At this point she couldn't believe what was happening.

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After the incident, and learning more from supervisors about what had actually occurred, [redacted] first thought was that the three protestors who gained access into the facility must have had help from someone, an "insider". She asked herself questions such as, "How could they have known so much knowledge about which area to enter through and which areas had cameras that weren't working?" She had not heard of the three protestors who gained entry onto the facility prior to the July 28th incident, nor has she heard any rumors or conversations at work with regards to anyone else knowing them. [redacted] also stated that she, nor anyone else she has spoken with, has any insight as to whether the three individuals who made entry onto the site had assistance from any persons with knowledge of the facility.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
b6  
b7C  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone numbers [redacted]

[redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

[redacted] is a Security Police Officer 1 (SP01) and has worked for Wackenhut Services Incorporated (WSI) for 12 years in Oak Ridge and worked for WSI in Nevada for four years prior to that. On the morning of the breach at Y-12, July 28, 2012, [redacted] was inside the Highly Enriched Uranium Materials Facility (HEUMF) on the northeast corner of the second floor. [redacted] duties included providing breaks and escorting during the day. [redacted] heard an alarm on the enunciator panel and saw that it had been silenced so he returned to his desk. [redacted] heard a level IV alarm in the Protected Area and heard the all clear over the radio. Central Alarm System (CAS) cleared the alarm but then, a few minutes later, told [redacted] they thought there was activity around his tower. Initially, he thought they might have been maintenance workers. [redacted] went to use the camera but once someone has control of the camera, no one else can direct it. [redacted] thought he might be able to see more from upstairs so he went up to the fourth floor. [redacted] was able to see, via camera, the bolt cutters on the ground and the red paint on the wall.

What shocked [redacted] was that they had been assessing alarms with faulty equipment. About two years ago, procedure was changed from assessing all alarms in person to via camera. [redacted] guessed this was a money issue as they took away numerous patrols that had been the first line of defense against someone trying to get on the property.

[redacted] thought that the three intruders must have done some reconnaissance, checked the fencing and lighting and distances between the fences because they seemed like they knew what they were doing. [redacted] knew that the nun had been arrested before so it seemed they had experience. [redacted] speculated that perhaps a former employee might have given information but didn't want to think or hope that anyone currently employed would have assisted the three intruders. [redacted] wondered how

Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)

File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012

by [redacted]

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336I-KX-2433548

Continuation of FD-302 of Interview of [REDACTED] on 11/7/2012, On 11/07/2012, Page 2 of 2b6  
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they knew to stay still for a minute and then move again so that they wouldn't be caught on camera. The route they took seemed logical with the alarm system down and the broken cameras. [REDACTED] did not have any specific information about who might have helped the three, if they did have help.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security number (SSAN) [redacted] telephone number [redacted] was interviewed at Building 9710-3 Protective Force Headquarters Y-12 National Security Complex Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporate (WSI) Security Police Officer since November 2005. When the incident occurred on the morning of July 28, 2012, [redacted] was assigned to East 9204 in a Bearcat unit stationary position. [redacted] was notified by radio communication of a level two alarm. [redacted] took a position overlooking the highly enriched uranium materials building as per instruction from supervision. [redacted] was shocked that illegal entry had occurred. [redacted] believes the three people that broke into the Y-12 site did not have help or prior knowledge of the facility. [redacted] has not heard any rumors relating to the incident.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012b6  
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[redacted] African-American male, date of birth (DOB) [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Security Police Officer for the Y-12 security force for approximately four years. In this position, [redacted] rotates to different Y-12 security posts on a monthly basis. On the morning of the July 28, 2012 incident, [redacted] was stationed at a post near the southwest corner of the building the protestors targeted. At the time, the area where the protestors targeted was not visible from the post because vehicles were parked in the way. [redacted] first heard about the incident via broken radio traffic and remained at his post. [redacted] is not sure if the protestors had help. He has heard a lot of rumors about how the protestors accomplished the intrusion, but has not heard anything specific.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security account number. [redacted] address, [redacted] telephone number [redacted] was interviewed at Y-12 Laboratory, 602 Scarboro Road Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information:

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b7C

[redacted] works at Wackenhut Security Services (WCI) as a Security Police Officer for ten years. When the incident occurred on the morning of July 28, 2012, [redacted] was working at the Highly Enriched Uranium Materials Facility (HEUMF). The Enunciator Panel went off at approximately 4:30 a.m. [redacted] was not able to see what was going on due to the security cameras malfunctioning. [redacted] stated the roof top cameras malfunctioned often. [redacted] realized he could not do anything from his post and went down one level to assist his supervisor with the security cameras. [redacted] can only speculate on the incident and is unsure how it could have happened.

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Investigation on 11/07/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted]  
social security account number. [redacted] address, [redacted]  
[redacted] Knoxville, Tennessee, telephone number [redacted] was  
interviewed at Y-12 Laboratory, 602 Scarboro Road Oak Ridge, Tennessee.  
After being advised of the identity of the interviewing Agent and the  
nature of the interview, [redacted] provided the following information:

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[redacted] has worked at Wackenhut Security Services  
(WCI) Security Police Officer, Access Control, for six years. When the  
incident occurred on the morning of July 28, 2012, [redacted] was working at Post  
10. [redacted] was called to the scene by his supervisor [redacted]  
arrived at the scene to assist in handcuffing the older man. [redacted] said  
they faced the three handcuffed individuals away from them and waited for  
upper management and police. [redacted] is shocked and does not understand how  
the incident could take place.

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Investigation on 11/07/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security  
account number. [redacted] address, [redacted]  
[redacted] telephone number [redacted] was interviewed at Y-12  
Laboratory, 602 Scarboro Road Oak Ridge, Tennessee. After being advised of  
the identity of the interviewing Agent and the nature of the interview,  
[redacted] provided the following information:

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[redacted] has worked at Wackenhut Security Services (WCI)  
Security Police Officer (SPO), Special Response Team (SRT) for three years.  
When the incident occurred on the morning of July 28, 2012, [redacted] was  
working at 92-12 Staging area. [redacted] followed protocol and followed  
orders to clear the perimeter of all of the buildings in the secured area.  
[redacted] does not understand how the incident could take place. [redacted]  
read an article in the paper in which the nun was quotes as saying the  
group went to a big white castle with HEUMF on it. [redacted] said there is  
no name on the building at all.

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b7CInvestigation on 11/07/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security  
account number. [redacted] address, [redacted]  
[redacted] telephone number [redacted] was interviewed at Y-12  
Laboratory, 602 Scarboro Road Oak Ridge, Tennessee. After being advised of  
the identity of the interviewing Agent and the nature of the interview,  
[redacted] provided the following information:

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b7C

[redacted] has worked at Wackenhut Security Services  
(WCI) Security Police Officer for three and a half years. When the incident  
occurred on the morning of July 28, 2012, [redacted] was working at Post 24 on  
the other side of the complex where the incident occurred. [redacted] followed  
protocol and remained at his post. [redacted] does not understand how the  
incident could take place.

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b7C

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Investigation on 11/07/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security  
account number [redacted] address [redacted]  
Tennessee was interviewed at Y-12 Laboratory at 602 Scarboro Road. After  
being advised of the identity of the interviewing Agent and the nature of  
the interview, [redacted] provided the following information:

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b7C

[redacted] has worked as a Wackenhut Services  
Incorporated (WSI) Security Police Officer (SPO) for approximately two years.  
When the incident occurred on the morning of July 28, 2012, [redacted] was  
working on the south side of the complex known as [redacted] prepared  
himself and put on his issued gear after the alarm went off. [redacted] was  
stunned but ready to apply his training to the incident. [redacted] followed  
protocol and did not leave his post. [redacted] was not aware of the nature of  
the incident until after it was over. [redacted] has no idea how an event like  
this could happen.

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Investigation on 11/07/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

Title: (U) [REDACTED]

Date: 11/16/2012

From: KNOXVILLE

KX-10

Contact: [REDACTED]

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Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
b7ESynopsis: (U) [REDACTED]  
[REDACTED] on 11/16/2012.Preliminary Investigation Initiated: 08/01/2012, set to expire  
02/01/2013

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## Details:

On 11/13/2012, [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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Title: (U)   
Re: 336I-KX-2433548, 11/16/2012



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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

Title: (U) [REDACTED]  
[REDACTED]

Date: 11/19/2012

From: KNOXVILLE

KX-10

Contact: [REDACTED]

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Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

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Synopsis: (U) [REDACTED] on 11/16/2012.

Preliminary Investigation Initiated: 08/01/2012, set to expire  
02/01/2013

**Details:**

On 11/16/2012, [REDACTED]

[REDACTED]

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[REDACTED]

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Title: (U) [REDACTED]  
Re: 336I-KX-2433548, 11/19/2012



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[REDACTED] On 11/19/2012, [REDACTED]

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UNCLASSIFIED

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/09/2012

[redacted] date of birth [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer 1 (SP01) since March of 2003. When the incident occurred on the morning of July 28, 2012, [redacted] was working in the protected area at building 9212 in stationary patrol. [redacted] stated she was with [redacted] [redacted] that morning. [redacted] advised she recalled hearing a level IV alarm come across the radio and then a short time later, a level II alarm was issued. [redacted] stated at that time, she knew something was up. [redacted] advised she geared up and to her position in the X-ray area of the building.

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[redacted] advised the supervisors came to her and said people had come in through the fence and sprayed painted the HEUMF. [redacted] advised she was shocked to learn this information. [redacted] stated since the incident she has thought about it and she hates to believe that someone would have assisted these three individuals, but that is what most people believe. [redacted] mentioned the fact that some of the alarm system employees were only there for a short time and this could have been a factor. [redacted] also talked about the employees who would have to sign off on the cameras may know something but she is not sure and does not have any specific information about who may have assisted the three individuals. [redacted] talked about the fact she is still not sure they came across to ridge to gain access. [redacted] felt the age of the individuals would have played a part in them physically being able to take that path, due to the rough terrain. [redacted] mentioned some of the employees have patrolled that ridge and return covered in ticks. [redacted] felt it was odd that these three individuals did not have any ticks on their person.

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[redacted] told agents she heard a rumor that the three individuals that breached the facility had planned this incident for over a year. [redacted] advised this was just gossip she had heard around at work.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/09/2012

by [redacted]

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# 266I-KX-2433548 Serial 115

FD-302a (Rev. 05-08-10)

336I-KX-2433548

Continuation of FD-302 of Interview of [REDACTED] on 11/08/12, On 11/08/2012, Page 2 of 2

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

(U) [ ] a white male, date of birth [ ] telephone number [ ] home address [ ] [ ] was interviewed on 11/07/2012 at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being introduced to the interviewing agents through a display of credentials and advised the nature of the interview concerned a security breach at the Y-12 Complex on 07/28/2012, [ ] voluntarily provided the following information:

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(U) [ ] has been employed as a Wackenhut Services Incorporated security police officer for ten years. At the time of the security breach, [ ] was working in the Material Access Area in Building 9212. [ ] stated the alarm to assume Level 2 threat condition sounded via radio communication between 4:30am and 4:45am. [ ] responsibilities associated with a Level 2 alarm involved securing an interior hall of Building 9212, which [ ] preformed until the next shift reported at 7:30am. From his position, [ ] did not witness any of the direct actions of the intruding protestors. He learned of the events via radio traffic and cell phone communication with his peers in different locations at Y-12.

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(U) [ ] believes there were too many coincidences surrounding the intrusion for the protestors to not have had assistance from within the Y-12 facility. [ ] pointed out the fact security equipment was inoperable at the point of entry. Further the location where protestors exited the wood-line is exactly adjacent to the specific building they targeted. [ ] speculated with pending security personnel lay-offs of security personnel, CAS employees or junior security police officers could have been motivated to assist the protestors. He could not, however, identify anyone specifically.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [ ] Date drafted 11/08/2012by [ ]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

(U) [ ] a white male, date of birth [ ] telephone number [ ] home address [ ] was interviewed on 11/07/2012 at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being introduced to the interviewing agents through a display of credentials and advised the nature of the interview concerned a security breach at the Y-12 Complex on 07/28/2012, [ ] voluntarily provided the following information:

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(U) [ ] has been employed as a Wackenhut Services Incorporated (WSI) security police officer for 14 years, and worked in the industry for over 25 years. At the time of the security breach, [ ] was working in the Material Access Area in building 9212. From his position inside building 9212 [ ] did not witness any of the direct actions by the intruding protestors. He learned of the events via radio traffic and cell phone communication with his peers in different locations at Y-12. In all his experience [ ] had never witnessed an intrusion and was surprised to learn the intruders were of an advanced age. [ ] noted his training was tailored against explosives and aggressive attacks.

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(U) [ ] strongly believes the protestors had assistance from within Y-12. He cited several concerns that led to this opinion. [ ] noted prior to the intrusion, the alarms, when tripped frequently enough, would default into by-pass mode permitting one to pass through a secure area undetected. He also believes the intruders may not have entered Y-12 from the north through the wood-line because around the time of the event, WSI personnel who had patrolled the ridge had been infested with tics. He questioned whether the protestors were found in a similar condition.

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(U) [ ] advised prior to the incident there had been notices distributed warning of an impending lay-off of security personnel. This lay-off would have affected junior security police officers and the "CAS personnel" who operate the ARGUS security system. He believes only persons

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [ ] Date drafted 11/08/2012by [ ]b3  
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# 266I-KX-2433548 Serial 117

FD-302a (Rev. 05-08-10)

336I-KX-2433548

Continuation of FD-302 of Interview of [REDACTED], On 11/07/2012, Page 2 of 2

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subject to lay-off would have had a motive to assist the protestors,  
although he could not identify anyone specific.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

(U) [ ] a white male, date of birth [ ] Social Security Account Number [ ] telephone number [ ] was interviewed on 11/07/2012 at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being introduced to the interviewing agents through a display of credentials and advised the nature of the interview concerned a security breach at the Y-12 Complex on 07/28/2012, [ ] voluntarily provided the following information:

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(U) [ ] has been employed as a Wackenhut Services Incorporated security police officer for five years, and he also provided security for two years at a nuclear facility in the US Air Force. At the time of the security breach, [ ] was working with the Special Response Team, Unit Lima 2. His position was to the South and East of the incident. He had no visual of the events occurring. [ ] was notified via radio to assume a Level 2 security posture and to secure his area of responsibility. In the process of conducting a search of the immediate area, [ ] learned through radio traffic security had been compromised. The incident was not a false alarm. [ ] noted false alarms were commonplace in his position. [ ] shocked by the breach. In his seven years of experience, nothing like this had ever occurred.

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(U) [ ] believes the protestors had help from someone from within Y-12. He is of the opinion there were too many coincidences for the protestors to not have had assistance. [ ] could not provide any specifics on who would have helped the protestors nor had he heard anything more than speculation. He also added security was ill-prepared for the event due to dysfunctional camera equipment and a complacent security atmosphere. [ ] noted security at Y-12 had dramatically changed for the better since the intrusion.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [ ] Date drafted 11/08/2012by [ ]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

(U) [ ] a white male, date of birth [ ] home address of [ ] telephone number [ ] [ ] was interviewed on 11/07/2012 at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge Tennessee. After being introduced to the interviewing agents through a display of credentials and advised that the nature of the interview concerned a security breach at the Y-12 Complex on 07/28/2012, [ ] voluntarily provided the following information:

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(U) [ ] has been employed as a Wackenhut Services Incorporated security police officer for five years. At the time of the security breach, [ ] was working with the Special Response Team, November Unit, in building 9212. From [ ] vantage point, he did not have line of sight to the incident. He was notified via his radio to proceed to Level 2 alert status, which includes donning protective gear and standing by for further instruction. [ ] knew the alarm was serious when he heard over the radio, "...everyone is in cuffs."

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(U) [ ] believes the intruders either had assistance from an employee with inside knowledge or were extremely lucky. He acknowledged that prior to the incident, a lax security environment existed at the facility. He cited examples including high value targets with minimal security measures, alarm equipment in poor working condition, security cameras out of order, and a generally relaxed security posture among security personnel. [ ] believes the protestors had been on a tour of the facility just prior to the incident. He opined the tour would have afforded the protestors unique insight of the facility.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [ ] Date drafted 11/08/2012by [ ]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] white male, date of birth (DOB) [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Security Police Officer for the Y-12 security force since January 2004. [redacted] currently works as part of the Bearcat Group, and has done so since November 2007. When the incident occurred on July 28, 2012, [redacted] was stationed in the northeast tower at the corner of building 9212. From that vantage-point, [redacted] could not see the events of the incident. [redacted] first learned of the incident via the radio at approximately 4:30 a.m. [redacted] remained at his post in the tower for the duration of the incident.

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Although there is an active rumor mill concerning the incident, [redacted] does not know of any specific facts to suggest the protestors had help, but noted that there is open source information about the Y-12 facility available to the public, such as Google Earth and old newspaper articles. Although he knew of no specific information about any insider help, [redacted] noted that this intrusion occurred during a period when Y-12 had transitioned to a new alarm system and was undergoing an armed force reconfiguration. He further speculated that the protestors had knowledge of the razor wire and what areas to approach to accomplish the intrusion.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] white male, date of birth (DOB) [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a member of the SRT team of the Y-12 security force for ten years. When the July 28, 2012 incident occurred, [redacted] was working as part of the SRT group. He learned of the incident at "3:30ish" via radio traffic. He responded to the area of the intrusion and eventually helped clear other areas of Y-12. [redacted] was surprised that the intrusion occurred, although he had heard that some fences were in disrepair and the alarm system, which was less than a year old, was not good. [redacted] did not believe the protestors received insider information from anyone at Y-12, but the maintenance people could have had knowledge of the disrepair. [redacted] further stated he does not believe it would have taken an insider to accomplish the intrusion. There were plenty of other places where alarms were not in repair. [redacted] opined that the Secret City tours, which allow members of the public into the facility, are a bad idea. He has reported people for suspicious behavior on the tours. He opined that the female protestor may have been on one of the tours two years ago. He attributes the protestors' knowledge of the grounds to a the amount of information available via open sources. [redacted] has not heard any specific rumors about the protestors receiving inside help.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted], white male, date of birth (DOB) [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Security Police Office for the Y-12 security force for five years. At the time of the July 28, 2012 incident, [redacted] was stationed near the west end of the Y-12 complex in a vehicle. He learned about the incident via radio traffic and was told to search a building near the west end where he was stationed. [redacted] reaction at hearing about the incident was confusion and surprise. When asked if he believed the protestors had help, [redacted] found it odd that the protestors knew exactly where to come once they entered Y-12. He has heard lots of rumors about the incident, but nothing specific with respect to whether the protestors had help.

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Investigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/20/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information:

[redacted] has worked at the Wackenhut Services Incorporated (WSI) Security Materials Access/Police Officer (SPO) for approximately seven years. When the incident occurred on the morning of July 28, 2012, [redacted] was working the Bravo 32, Bear Cat on the East side of Beta 2. [redacted] explains this post as a stationary post and a reactive type patrol. His partner that evening was Officer [redacted]. The two heard a level 2 alert tone and they responded to their pre-determined locations to await instructions. The two then heard radio traffic of SRT clearing buildings and the two Officers knew something had happened. It wasn't for several hours until the two were made aware of what actually occurred.

[redacted] did not think anything highly unusual at first, as he has heard level 2 alert tones before. But after learning of the situation and the circumstances surrounding the incident, [redacted] believes it to be "a little fishy". He could not comprehend how things happened "pretty perfect" for the three protestors to gain access onto the site. [redacted] has his suspicions, but does not have any information to corroborated them nor has he heard any rumors or gossip regarding the matter.

Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)

File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/20/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview [redacted] provided the following information:

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[redacted] has worked at the Wackenhut Services Incorporated (WSI) Security Materials Access/Police Officer (SPO) for approximately seven years. When the incident occurred on the morning of July 28, 2012, [redacted] was working as a vacation and sick relief officer. [redacted] with talking with Bravo 14 at the West end of the facility when he heard a level two tone over the radio. He then received a phone call from Bravo 1 who explained the situation and instructed him to patrol the ridges surrounding the facility.

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[redacted] does not believe the three protestors received any help from anyone to gain entry onto the facility, no has he heard any rumors or anything of relevance concerning the incident.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/20/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked at the Wackenhut Services Incorporated (WSI) Security Materials Access/Police Officer (SPO) for approximately seven years. When the incident occurred on the morning of July 28, 2012, [redacted] was working the "Bravo 12" unit with [redacted]. The two were at the South East corner of the Biology building in the zone. The two heard an alert tone at approximately 0440 hours. The tone was at first a level three, then a level one. The two put on their tactical gear and traveled to the North end of the parking lot until they received further instruction. [redacted] then received a call from his supervisor whom provided them with a brief of the situation and what had occurred. The two were then instructed to continue patrol.

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[redacted] stated that he believes the three protestors had help somehow somehow. He has no information to collaborate his suspicions, but believes circumstances were to perfect.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/20/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked at the Wackenhut Services Incorporated (WSI) Security Materials Access/Police Officer (SPO) for approximately seven and a half years. When the incident occurred on the morning of July 28, 2012, [redacted] was in the breakroom of the 9215 building with two other Officers, [redacted]. The officers heard an alert tone around 0449 hours. The tone was a level two, so the men collected their tactical gear and "held the line" while awaiting further instruction. Shortly after, they were instructed to patrol the area.

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[redacted] stated that he could not be convinced the three protestors did not receive assistance gaining access onto the facility. He stated, "the stars and moon aligned to much" for them not to have help. Although [redacted] believes the protestors had assistance, he has not heard any rumors or been involved in conversation that provided valid information supporting his suspicion.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/20/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked at the Wackenhut Services Incorporated (WSI) Security Materials Access/Police Officer (SPO) for approximately nine years. When the incident occurred on the morning of July 28, 2012, [redacted] was working the "63 patrol" and was by himself. Early in the morning, [redacted] heard Lima request assistance for help at "the hump". [redacted] immediately responded and saw some kind of painting on the building wall. He assisted another officer and handcuffed one of three subjects. He then waited for further assistance. [redacted] stayed at the location for approximately four hours while supervisors arrived and began to take control of the scene and situation.

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[redacted] believes the circumstances involving the entry of the three protestors as "very strange". He explained that only one of the three persons seemed to be dirty, and very sweaty, while the other two were meticulously clean and not sweating, they were "as clean as a whistle". He believes it would be very difficult to climb over the ridge in which they supposedly came over, and not be dirty and sweaty. He has not heard any rumors from any co-workers regarding whether or not the three protestors had assistance in gaining access to the facility.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information:

[redacted] has worked at the Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since June of 2008. When the incident occurred on the morning of July 28, 2012, [redacted] was working as a relief officer for post 11. This post is located on 3rd street by the rail road crossing. At approximately 0435 hours [redacted] heard a level 2 tone across the radio and then heard that [redacted] was going to assist in arresting some individuals. He did not think anything out of the ordinary at first, he thought maybe an argument amongst employees had occurred or something similar to that. He called his supervisor and offered his assistance if needed and that is when he was asked to patrol the roadway and look for anyone not belonging there. Officer [redacted] was working post 11 that evening, but [redacted] was initially by himself when the first alert tone came out.

In [redacted] opinion , the situation "seems very coincidental". "They knew so much and knew where to come in and hide." Although [redacted] believes the situation highly coincidental, he does not know of anyone who would assist the protestors, nor has he heard any rumors from others regarding them knowing possible inside help.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b6  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] [redacted] provided the following information:

[redacted] has worked at the Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for approximately 13 years. When the incident occurred on the morning of July 28, 2012, [redacted] was working an overtime shift in the building known as "Hotel 7". He was alone that evening. At approximately 0430 hours [redacted] heard "Hotel 5" over the radio alert that someone was hitting the side of the building with a hammer (this building was later identified as building 9720-82 on a map in the interview room). He stated he was in disbelief and pulled up security cameras to see if he could view any activity occurring in the area. He was able to view a unit pull up to the incident site and "put them on the ground and wait" while back up was en route. A level 2 tone was then sent out over the radio and [redacted] grabbed his tactical gear and reported to the catwalk, which is his assigned areas in a level 2 situation. [redacted] waited at the cat walk and inside of the building for the remainder of the incident.

[redacted] stated that, "in his heart, yes. They must have had help from someone inside" to gain entry onto the facility. He states that there were too many coincidences such as cameras being out, system bypass measures (which he states he had never heard of before the incident occurred), and other factors that seemed to fall into place for the entry to be successful. [redacted] has not heard any rumors or been privy to any conversations that would lead him to believe anyone knows of anyone actually helping the three protestors break into the facility and does not know of any persons himself.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

[redacted] date of birth (DOB) [redacted] social security account number. [redacted] address, [redacted] Tennessee, telephone number [redacted] was interviewed at Y-12 Laboratory, 602 Scarboro Road Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information:

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[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for five years. When the incident occurred on the morning of July 28, 2012, [redacted] was working at the fourth floor of the Highly Enriched Uranium Material facility (HEUMF). [redacted] was located just above the the incident location but was not able to see anything from his location. [redacted] heard some radio activity asking about a banging noise, description of the fence and wall. [redacted] thought the alarm was set off by construction workers. [redacted] noted that the alarms frequently go off and go into a bypass mode. [redacted] never left his position. [redacted] noted that he believes that the incident occurred due to equipment failure and luck.

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Investigation on 11/07/2012 at Knoxville, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/08/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/09/2012

[redacted] date of birth (DOB) [redacted] SSAN [redacted]

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[redacted] telephone

[redacted] was interviewed at WSI Protective Force Headquarters, Building 9710-3, Y-12 National Security Complex, Oak Ridge, TN. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

[redacted] had worked for WSI as a Security Police Officer (SPO) for approximately five years. On the July 28, 2012, [redacted] was working as Vacation Comp Relief (VCR), on the Security Recapture/Recovery Team (SRT), at Lima 4 Staging Area with his team, [redacted]. Prior to the radio traffic around 0430, he did not hear or notice anything unusual. When he heard radio traffic increasing to Level 2, he followed standard protocol for his position and put on his security gear. He and his team were instructed to clear a few buildings, including Beta 2 and building 9204. He was surprised by how long they stayed at Level 2. However, it was not uncommon for the security level to increase to Level 2 for a short while because of animals or branches tripping the security fences. [redacted] was split 50/50 between thinking: (1) that the protesters were very lucky to come in at the exact location the cameras were out, and (2) that they must have had inside information or help getting to the "HEUMF." He did not suspect anyone specifically either initially or after giving it considerable thought. He had not heard of a fellow employee sympathizing with the protestors. After the incident, [redacted] heard rumors that the protestors had been on a tour of the Y-12 complex just prior to the breach. There are no other credible rumors circulating about the incident, other than speculation.

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b7CInvestigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/09/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/13/2012

[redacted] date of birth (DOB) [redacted] SSAN [redacted]

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[redacted] cellular [redacted] was interviewed at WSI Protective Force Headquarters, Building 9710-3, Y-12 National Security Complex, Oak Ridge, TN. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

[redacted] had worked for WSI as a Security Police Officer (SPO) for approximately five years. On the July 28, 2012, [redacted] was assigned to Post 53, on the southeast side of the complex. At the beginning of his shift, he locked down his position as standard operation procedure (SOP). Therefore at 0430 when he first heard the security level increase to Level 2, his post was already secure, and he was in full gear. There were not many details over the radio. Prior to the alert, [redacted] had not seen anything unusual. He noticed that they remained at Level 2 longer than normal. He thought this was odd. The security complex occasionally increased the security alert to Level 2 but quickly determined the cause which was usually that natural elements or animals had tripped the sensors. He left his shift at 0600 after the protestors were already in custody. [redacted] could not envision how the protestors were successful at remaining undetected without help. In [redacted] opinion, he did not think they did it by themselves. However, he had not heard anything specific or any names of people helping the protestors. Other than speculation, [redacted] has not heard credible rumors circulating about the incident.

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b7CInvestigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/09/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/13/2012

[redacted] date of birth (DOB) [redacted] SSAN [redacted] telephone [redacted] was interviewed at WSI Protective Force Headquarters, Building 9710-3, Y-12 National Security Complex, Oak Ridge, TN. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

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[redacted] started working for WSI as a Security Police Officer (SPO) on 07/21/2008. On the July 28, 2012, [redacted] was working as Tower Relief at Check Post 23 main gate. He had left the tower to come to Building 9710-3, WSI Protective Force Headquarters, for a break to see the opening ceremony of the Olympics. He heard the security level increase to Level 2 over the radio at around 0430. He went to his vehicle to put on his gear. He was instructed to meet at the Jack Case building. There he met Post 8 K-9 [redacted] [redacted] to clear the building. All personnel were accounted for. Then he was instructed to go to Check Post 23 to keep traffic out. He did not know what happened until after he returned to Check Post 23. [redacted] thought it was very odd until he heard that the cameras were out for six months. [redacted] did not want to speculate on if the protestors had help with the breach.

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b7CInvestigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/09/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/13/2012

[redacted] date of birth (DOB) [redacted] SSAN [redacted]

[redacted] telephone [redacted]

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was interviewed at WSI Protective Force Headquarters, Building 9710-3, Y-12 National Security Complex, Oak Ridge, TN. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

[redacted] has been working for WSI as a Security Police Officer (SPO) for approximately 33 years, 29 years of them at the Y-12 National Security complex. On the July 28, 2012, [redacted] was at Post 11, Central Egress and Ingress gate. His duties were to let secured personnel in and out of the complex. When the alarm sounded and the security level increase to Level 2, he took his standard protocol (SOP) defensive position behind cover. It was about 300 yards over a hill. However, when vehicles approached the gate he returned from cover to let them in. At first [redacted] let in WSI personnel. Then more and more people came through the gate. One message over the radio was "they are supposed to be in cuffs." [redacted] thought there was a fight between guards. He called around to other posts to find out what was going on. After the incident, [redacted] heard that [redacted] searched the "female" protestor at the scene. [redacted] asked [redacted] if the protestors were dirty from the long walk from the ridge. [redacted] replied that they were not noticeably dirty or muddy. [redacted] thought this was extremely odd. In [redacted] opinion, if the protestors had traveled on foot across the hard terrain from the ridge at night, they would have been noticeably dirty. [redacted] believes that someone drove them from the fence. [redacted] heard that [redacted] had said, "there was no need to arrest them" when [redacted] saw the protestors at the Highly Enriched Uranium Manufacturing Facility (HEUMF). [redacted] had not heard that the cameras were out that day.

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b7CInvestigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/09/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/13/2012

[redacted] date of birth (DOB) [redacted] SSAN [redacted]

[redacted] telephone [redacted]

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was interviewed at WSI Protective Force Headquarters, Building 9710-3, Y-12 National Security Complex, Oak Ridge, TN. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

[redacted] had worked for WSI as a Security Police Officer (SPO) since April 2011, approximately 4 months. He was assigned as a Case Operator at Central Access/Alarm Building on the "access-side" housed with the "alarm-side." His duties included checking the appropriate clearances before allowing access to the facility. On July 28, 2012, [redacted] worked with [redacted] who manned the alarms. [redacted] overheard the radio traffic from the "alarm-side." [redacted] heard the security level increase to level 2. For prospective, [redacted] advised that there can be hundreds of alarms sounding in a day so he didn't think much about it at the beginning. [redacted] heard them express that there was no camera coverage at the alarm locations. This was not unusual either. However, as more and more people requested access to the facility, [redacted] knew that this was different. He rarely granted access to many people on this shift. Later, [redacted] [redacted] responded to assist with the alarms and cameras, as well. [redacted] did not have an opinion if the protestors were either lucky or had help. He did not know of anyone who might have helped them. [redacted] had not heard specific rumors circulating other than speculation.

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b7CInvestigation on 11/07/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [redacted] Date drafted 11/09/2012

by [redacted]

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Pre-trial motions hearing.**Date:** 11/20/2012**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 336I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) Pre-trial motions hearing on 11/20/2012.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**

For information of the file, on 11/20/2012, a pre-trial motions hearing was held before US District Court Magistrate Judge Shirley. The defense presented a motion to dismiss all charges on the grounds that nuclear weapons are illegal, and the property which the three trespassed on is illegal and that US law incorporates international statutes which prohibit the use of weapons of mass destruction. The defense also requested information on the amount of nuclear material developed at Y-12 and for records of the type of alarm calls and response times. Judge Shirley did not rule on any of the motions on this date.

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Title: (U) Pre-trial motions hearing.

Re: 336I-KX-2433548, 11/20/2012

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/13/2012

On November 08, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer

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[REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED]  
[REDACTED] DOB: [REDACTED] SSN: [REDACTED] residing at [REDACTED]  
[REDACTED] telephone number [REDACTED]

[REDACTED] The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last ten (10) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was assigned as part of the Materials Access Area (MAA) Group and she was in the staging area of Building 9212. [REDACTED] first learned of the security breach via the radio traffic indicating a Level 2 Alarm in the vicinity of the Highly Enriched Uranium Materials Facility (HEUMF). [REDACTED] immediately moved to her response position once the Level 2 alarm was broadcast over the radio.

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[REDACTED] believes the protestors must have had some type of assistance to breach the security perimeter at the Highly Enriched Uranium Materials Facility (HEUMF) because there is no way they could have known the status of the security cameras and the lack of razor wire near the HEUMF. [REDACTED] does not know who assisted the protestors but speculates that possibly a former employee may have provided assistance. [REDACTED] has heard various theories regarding the security breach through gossip at work but no specific person(s) has been identified.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)

File # 336I-KX-2433548, [REDACTED] Date drafted 11/13/2012

by [REDACTED]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/13/2012

On November 08, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer [REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED] [REDACTED] DOB: [REDACTED] SSN: [REDACTED] telephone number [REDACTED]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

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[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last seven (7) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was assigned to Vacation Sick Relief (VSR) but was conducting mobile security patrols with Patrol 84. [REDACTED] first heard the Level 2 alarm at approximately 4:00AM followed by a vague description of the incident. When [REDACTED] realized the scope of the security breach, he was absolutely shocked.

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[REDACTED] believes the protestors must have had some type of assistance to breach the security perimeter at the Highly Enriched Uranium Materials Facility (HEUMF) but he does not know who may have helped the protestors. [REDACTED] called into question the "coincidence" that the protestors knew exactly where to go with very little razor wire and inoperable security cameras. [REDACTED] admitted that rumors "fly around here all the time" but [REDACTED] had not heard any gossip as to who may have assisted the protestors prior to or during the security breach.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [REDACTED] Date drafted 11/13/2012by [REDACTED]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/08/2012

On November 08, 2012, Federal Bureau of Investigation (FBI) Special Agent (SA) [REDACTED] and Joint Terrorism Task Force Officer [REDACTED] (Tennessee Highway Patrol) conducted an interview of [REDACTED] [REDACTED] DOB: [REDACTED] SSN: [REDACTED] residing at 1760 Old Piney [REDACTED] telephone number [REDACTED]. The interview was conducted at the Y-12 National Security Complex, Building 9710-3, Protective Force Headquarters, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the purpose of the interview, [REDACTED] provided the following information:

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[REDACTED] has been employed as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) for the last ten (10) years. When the security breach incident occurred on the morning of July 28, 2012, [REDACTED] was conducting routine security patrols with Bearcat Team Bravo 26. [REDACTED] was in the vicinity of the Cold Chute Building when the level 2 alarm was broadcast over the vehicle radio. [REDACTED] was immediately dispatched to Post 10 where his Bearcat team could provide security over-watch of the Highly Enriched Uranium Manufacturing Facility (HEUMF).

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[REDACTED] did not learn of the details of the security breach until an hour after the initial Level 2 alarm. Information was not passed over the radio so one of [REDACTED] team-mates called Post 10 via cell phone and received details of the security breach. [REDACTED] was shocked to learn of the security breach and was upset regarding the lack of information flow via the radio when the incident occurred.

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[REDACTED] believes the protestors must have had some type of assistance from a third party to conduct a successful breach near the HEUMF. [REDACTED] is not aware nor did he speculate as to who may have provided support and/or assistance to the protestors. [REDACTED] believed that assistance may have come in the form of two-way radio communication or cell phone assistance where key security information was passed regarding security coverage of the HEUMF as the protestors' security breach was too precise for no additional help.

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Investigation on 11/08/2012 at Oak Ridge, Tennessee, United States (In Person)File # 336I-KX-2433548, [REDACTED] Date drafted 11/13/2012by [REDACTED]b3  
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336I-KX-2433548

Continuation of FD-302 of Interview of , On 11/08/2012, Page 2 of 2

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 11/26/2012

Title: (U) [REDACTED] jail call

Approved By: SSA [REDACTED]

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Drafted By: [REDACTED]

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb3  
b7E[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) Transcript of jail call [REDACTED]

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 11/26/2012

Title: (U) Jail call [REDACTED]

Approved By: [REDACTED]

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Drafted By: [REDACTED]

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb3  
b6  
b7C  
b7E[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) Jail call [REDACTED]

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 11/26/2012

Title: (U) [ ] jail call

Approved By: SSA [ ]

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Drafted By: [ ]

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[ ]  
DT - ENVIRONMENTAL EXTREMISM[ ]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
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Synopsis: (U) [ ] jail call

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 11/27/2012

Title: (U) Jail call [REDACTED]

Approved By: SSA [REDACTED]

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Drafted By: [REDACTED]

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb3  
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b7E[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) Jail call [REDACTED]

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 11/27/2012

Title: (U) Jail call [REDACTED]

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Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 336I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
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Synopsis: (U) Jail call [REDACTED]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/30/2012

[redacted] date of birth (DOB) [redacted] social security number (SSN) [redacted] telephone number [redacted] was interviewed at Y-12 National Security Complex Protective Force Headquarters Oak Ridge Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] [redacted] provided the following information:

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since November 1990 and serving the last fourteen years at the Y12 National Security Complex. When the incident occurred on the morning of July 28, 2012, [redacted] was assigned duties at Beta 2E building 9204 2E. [redacted] was notified of a level two alarm by radio communications and continued to perform duties as per policy.

[redacted] was contacted by his supervisor through cellular communications and maintained his post per policy. [redacted] was surprised that entry was made into the complex. [redacted] believes that the three people that broke into the Y-12 site possibly had help or prior knowledge of the facility although he has no idea who could or would have helped them. [redacted] has heard many theories that the three individuals that broke into the Y-12 site had help but did not have evidentiary facts to support the theories from his colleagues.

Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)

File # 266I-KX-2433548, [redacted] Date drafted 11/28/2012

by [redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/30/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] is a Security Police Officer 2 (SPO2) and has worked for Wackenhut Services Incorporated (WSI) for about nine years in Oak Ridge and prior to that was in Rocky Flats. When the incident occurred on the morning of July 28, 2012, [redacted] was in a Bear Cat and heard the level 3 alarm on the radio although radio coverage was shoddy. [redacted] didn't know what had happened until a supervisor called him on his cell phone and he was told to scan the North ridge. When he moved past the Highly Enriched Uranium Materials Facility (HEUMF), he saw the three protestors on the ground. He was shocked that people made it past the fences.

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[redacted] said that he didn't think the three protestors would need help because the internet has all of the information you need. If someone wanted to embarrass the government and thought they could use Y-12 as the location to do it, they could. [redacted] heard that their plan was to come in and put up sheets. He thought that after the lack of concertina wire, the camera and alarms not working, along with the complacency of the guard force, the three protestors didn't need help. According to [redacted] the three could have been in danger because of where they were.

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In terms of who may have helped them breach the fences, [redacted] said that perhaps it was someone who was facing being laid off or friends and family of the Plowshares themselves.

As far as the result, [redacted] thinks the incident has been a good thing because now the alarms, cameras and lights all work now. The SPOs are also getting overtime because they are having to work more hours. As a negative, the incident caused some of the guys to lose their jobs and impacts their families.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/30/2012by [redacted]b3  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/30/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] is a Security Police Officer 2 (SPO2) and has worked for Wackenhut Services Incorporated (WSI) for about ten years. When the incident occurred on the morning of July 28, 2012, [redacted] was in a Bear Cat in B-26 near 3rd Street. He heard the level 2 alarm on the radio and went to watch the south side of the Highly Enriched Uranium Materials Facility (HEUMF) but could not see anything. There are night vision cameras on the Bear Cat but the lights outside kind of drown out the picture. He was told to put emphasis on the HEUMF and heard "handcuff them" on the radio and knew something was happening. [redacted] called around to other Bear Cat teams but never heard anything official about what happened. [redacted] knew others were sent out to search buildings but nothing official was said.

b6  
b7C

[redacted] said that his relief saw spray paint on the wall of the HEUMF. [redacted] thought the three protestors had help and mentioned that a supervisor commented that it was like someone told them exactly what to do and how to get through the fences. [redacted] has heard others speculate about what happened, including that they were just very lucky. [redacted] didn't know about the alarm and camera systems not working at the time of the incident.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/30/2012by [redacted]b3  
b6  
b7C  
b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/30/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] is a Security Police Officer and has worked for Wackenhut Services Incorporated (WSI) for about ten years. When the incident occurred on the morning of July 28, 2012, [redacted] was at Protective Force Headquarters. He heard the level 2 alarm on the radio and went to the Central Alarm System (CAS) area to watch what was happening on the cameras. Upon his arrival to CAS, [redacted] was not sure if the three protestors were on the ground or not but remembers seeing them on the camera.

b6  
b7C

[redacted] doesn't think the three had help and he is not a conspiracy theorist. [redacted] thought they probably got lucky. He has heard speculation around the office that the break-in may have had something to do with the lay-offs but hasn't heard anything specific that peaked his interest.

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b7C

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/30/2012by [redacted]b3  
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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

Title: (U) [REDACTED] on 11/29/2012.

Date: 11/29/2012

From: KNOXVILLE

KX-10

Contact: [REDACTED]

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: [REDACTED]

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) [REDACTED]

[REDACTED] on 11/29/2012.

Preliminary Investigation Initiated: 08/01/2012, set to expire  
02/01/2013

Details:

On 11/29/2012, [REDACTED]

On 11/30/2012, [REDACTED]

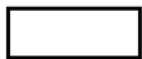
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UNCLASSIFIED

Title: (U) [REDACTED] on 11/29/2012.  
Re: 266I-KX-2433548, 11/29/2012

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UNCLASSIFIED

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/29/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has been a Special Response Team member for Wackenhut Services Incorporated (WSI) for about five years. When the incident occurred on the morning of July 28, 2012, [redacted] was in the L4 position at B2 on the south side of Y-12. [redacted] heard a level 2 on the radio and waited for further instructions as per protocol. After the event, [redacted] cleared buildings but was never in a position to see anything. [redacted] didn't know there was a breach in the facility until he was off-shift.

b6  
b7C

[redacted] doesn't believe in coincidences but thinks the three probably had help. The three could have gleaned public source information from the internet but they are lucky they ended up where they did. [redacted] said that he thought either the three were lucky or had help but did not have any specific information either way.

b6  
b7C

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/29/2012by [redacted]b3  
b6  
b7C  
b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/29/2012

[redacted] date of birth (DOB) [redacted] social security number (ssn) [redacted] telephone number [redacted] was interviewed at Y-12 National Security Complex Protective Force Headquarters Oak Ridge Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since November 2005. When the incident occurred on the morning of July 28, 2012, [redacted] was assigned duties at November station area building 9212. [redacted] was notified of a level three alarm by radio communications and continued to perform duties as per policy. [redacted] was contacted by his supervisor and ordered to assist in building searches per policy. [redacted] was surprised that entry was made into the complex and did not believe that this could occur. [redacted] believes that the three people that broke into the Y-12 site probably had help or prior knowledge of the facility although he has no idea who could or would have helped them. [redacted] has heard many theories that the three individuals that broke into the Y-12 site had help but did not have evidentiary facts to support the theories from his colleagues.

b6  
b7CInvestigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/28/2012

by [redacted]

b3  
b6  
b7C  
b7E



## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/29/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has been a Security Police Officer 2 (SPO2) for Wackenhut Services Incorporated (WSI) for just over five years. When the incident occurred on the morning of July 28, 2012, [redacted] was in Tower 2 in a stationary position. He heard the radio traffic and was also in contact with co-workers via cell phone but he was surprised to learn that a breach had occurred.

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b7C

[redacted] said that it wouldn't surprise him if the three protestors had help but he has no knowledge about who that might be. If they did know, they had to have knowledge about the camera system and the comp measures. [redacted] also stated that they could have gotten lucky.

b6  
b7C

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/29/2012by [redacted]b3  
b6  
b7C  
b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/29/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has been a Security Police Officer 1 (SPO1) for Wackenhut Services Incorporated (WSI) since January 28, 2008. When the incident occurred on the morning of July 28, 2012, [redacted] was inside B2E, which is a stationary, locked in post. When [redacted] heard the level 2 alarm on the radio, he responded to his set-up position. [redacted] really did not know what was going on until after everything was over.

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b7C

[redacted] said that it could have been a "perfect storm" with all of the events lining up perfectly for the three to make entry past the fences. [redacted] also said that it was amazing and yet suspicious how they picked the spot in the fence where the alarm was down but there is the possibility that they could have done it all on their own without help. [redacted] viewed the training video which only shows snippets of what happened so he really doesn't know how the three got in.

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b7C

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/29/2012by [redacted]b3  
b6  
b7C  
b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/29/2012

[redacted] date of birth (DOB) [redacted] social security number (ssn) [redacted] telephone number [redacted] was interviewed at Y-12 National Security Complex Protective Force Headquarters Oak Ridge Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] [redacted] provided the following information:

b6  
b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since November 1989. When the incident occurred on the morning of July 28, 2012, [redacted] was conducting duties at post 57 building 9212. [redacted] was notified of a level two alarm by radio communications and continued to perform duties as per policy. [redacted] was contacted by special response team (srt) and confirmed that unauthorized entry had been made. [redacted] believes that the three people that broke into the Y-12 site probably had help or prior knowledge of the facility. [redacted] bases this belief on information from open source media but has no evidentiary facts to support this theory. [redacted] has heard many theories that the three individuals that broke into the Y-12 site had help but did not have evidentiary facts to support the theories from his colleagues.

b6  
b7C

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/28/2012by [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/29/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has been a Security Police Officer 2 (SPO2) for Wackenhut Services Incorporated (WSI) since 2004. When the incident occurred on the morning of July 28, 2012, [redacted] was working on administrative duties at the Protective Force Headquarters Building. [redacted] heard the Level 2 on the radio and then he went to the breach point. Upon his arrival, the three protestors were in custody and in cuffs.

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b7C

[redacted] was shocked to learn that the three made it past the fences, especially because their entry point is where WSI has drills or exercises to practice for just such an occurrence. [redacted] said that they could have gotten lucky because of the equipment issues but that it was also possible that someone brought them in through the checkpoint. It was possible that someone told them that the entry point was a place to try although that is a general thought, and [redacted] had no information to back that up. [redacted] also said that it was possible that someone who was retired or who had been fired from WSI might have given the three information, but he did not know who would do that. It was [redacted] impression that Rice just tagged along for a media opportunity.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/29/2012by [redacted]b3  
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b7C  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/29/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has been a Security Police Officer 1 (SPO1) for Wackenhut Services Incorporated (WSI) for about five years. When the incident occurred on the morning of July 28, 2012, [redacted] was at home asleep. He did not find out about the incident until later that morning when he arrived for his shift at about 6:00am. [redacted] said that when he arrived at work, he was told about the breach and he did not believe them at first. Later, he had heard that the three intruders had done similar things at other Department of Energy (DOE) facilities.

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[redacted] said that it was possible the three got lucky but it was also possible that they had help or were told that the cameras were out. [redacted] said that the breach seemed coincidental with the timing of the layoffs at WSI but that it did save some jobs. [redacted] was not on the list of those who were scheduled to be laid off.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548 [redacted] Date drafted 11/29/2012

by [redacted]

b3  
b6  
b7C  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/29/2012

[redacted] date of birth (DOB [redacted] social security number (ssn) [redacted] telephone number [redacted] was interviewed at Y-12 National Security Complex Protective Force Headquarters Oak Ridge Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since November 2007. When the incident occurred on the morning of July 28, 2012, [redacted] was conducting stationary observation at post 14. [redacted] was notified of a level two alarm by radio communications and continued to perform stationary observation as per policy. [redacted] was contacted by 62 patrol and confirmed that unauthorized entry had been made. [redacted] believes that the three people that broke into the Y-12 site probably had help or prior knowledge of the facility. [redacted] bases this belief on the measures used to make entry but has no evidentiary facts to support this theory. [redacted] has heard many theories that the three individuals that broke into the Y-12 site had help but did not have evidentiary facts to support the theories from his colleagues.

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b7C

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/28/2012by [redacted]b3  
b6  
b7C  
b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/28/2012

[redacted] date of birth (DOB) [redacted] social security number (ssn) [redacted] telephone number [redacted] was interviewed at Y-12 National Security Complex Protective Force Headquarters Oak Ridge Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since July 2007. When the incident occurred on the morning of July 28, 2012, [redacted] was conducting stationary observation at post 14. [redacted] was notified of a level two alarm by radio communications and continued to perform stationary observation as per policy. [redacted] was not made aware of details of the incident until the following morning and was in disbelief. [redacted] believes that the three people that broke into the Y-12 site did not have help or prior knowledge of the facility. [redacted] has heard many theories that the three individuals that broke into the Y-12 site had help but did not have evidentiary facts to support the theories from his colleagues.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/28/2012by [redacted]b3  
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b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/28/2012

[redacted] date of birth (DOB) [redacted] social security number (ssn) [redacted] telephone number [redacted] was interviewed at Y-12 National Security Complex Protective Force Headquarters Oak Ridge Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since November 2002. When the incident occurred on the morning of July 28, 2012, [redacted] was not on site and off duty. [redacted] was notified of the incident by telephone from fellow employee [redacted]. Upon notification of the unauthorized entry [redacted] was in disbelief and thought it was a joke. [redacted] believes that the three people that broke into the Y-12 site had help or prior knowledge of the facility although he has no evidentiary facts to support this opinion. [redacted] has heard many theories that the three individuals that broke into the Y-12 site had help from guards, camera operators, or upper management. [redacted] did not have evidentiary facts to support the theories from his colleagues.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/28/2012by [redacted]b3  
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b7C  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/28/2012

[redacted] date of birth (DOB) [redacted] social security number (ssn) [redacted] telephone number [redacted] was interviewed at Y-12 National Security Complex Protective Force Headquarters Oak Ridge Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has worked as a Wackenhut Services Incorporated (WSI) Security Police Officer (SPO) since August 2004. When the incident occurred on the morning of July 28, 2012, [redacted]  
[redacted] was conducting administrative duties at building 9710-3. Upon notification of the unauthorized entry [redacted] followed policy to institute steps of a tactical plan in response to the unauthorized entry. [redacted] does not think that the three people that broke into the Y-12 site had help. [redacted] has heard many theories that the three individuals that broke into the Y-12 site had help but no evidentiary facts to support the theories from his colleagues. [redacted] did add that he had received a thirty day suspension due to his performance during the incident.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 11/28/2012by [redacted]b3  
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b7C  
b7E

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Request for travel to Washington, DC.**Date:** 11/30/2012

**To:** CT-Branch 3 (ASAC)  
WF-CT4 (SSA)  
WF-Counterterrorism Division (SAC)

**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]b6  
b7C  
b7E

**Approved By:** SSA [REDACTED]  
ASAC [REDACTED]  
A/SAC [REDACTED]

**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

b7E

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Request SAC concurrence for travel to Washington, DC to interview [REDACTED]

b6  
b7C

**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013

**Details:**

Writer respectfully requests Washington Field Office CT SAC concurrence to travel to Washington, DC to interview [REDACTED]  
[REDACTED] Travel will begin on 12/5/2012 and will end 12/6/2012. [REDACTED] was identified as a person of interest in captioned case. It is anticipated that no assistance from WFO will be needed.

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Title: (U) Request for travel to Washington, DC.  
Re: 266I-KX-2433548, 11/30/2012

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UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Name Check Summary File - [REDACTED]**Date:** 12/03/2012b6  
b7C**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 62F-HQ-C2300559

(U) NAME CHECK SUMMARY FILE 2012

62F-KX-C71763

(U) NATIONAL NAME CHECK PROGRAM

266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX

BREAK-IN;

OAK RIDGE, TENNESSEE

JULY 28, 2012

b7E

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U//~~FOUO~~) To report results of Knoxville file review regarding [REDACTED] born [REDACTED] with Social Security Account Number [REDACTED]

b6  
b7C**Details:**

A review of Knoxville's pending file 266I-KX-2433548 and communication with the case agent revealed no derogatory information concerning [REDACTED] born [REDACTED] with Social Security Account Number [REDACTED]

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UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 12/07/2012**Title:** (U) Knoxville News Sentinel Article**Approved By:****Drafted By:****Case ID #:**

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

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**Synopsis:** (U) Protesters release photos of Y-12 break-in.

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UNCLASSIFIED

Knox News Sentinel  
Oct 23, 2012  
Page 1A

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# Protesters release photos of Y-12 break-i

■ Slogans,  
blood splashes,  
holes in fences

By Frank Munger  
mungerf@knoxnews.com  
865-542-6329

The three Plowshares protesters who infiltrated the Y-12 nuclear weapons plant on July 28 have released a series of government photographs taken that morning outside the plant's storage facility for bomb-grade uranium.

The protesters, who face multiple federal charges, acquired copies of the government evidence through the pretrial discovery process. They said they were releasing the photographs publicly in order to draw more attention to the nu-



COURTESY OF TRANSFORM NOW PLOWSHARES

The Transform Now Plowshares group spray-painted "The Fruit of Justice is Peace" and poured human blood on a Y-12 building on July 28. This photo was released to the defendants by the U.S. government as part of pretrial discovery.

clear weapons work in Oak Ridge and plans to spend billions of dollars on a new uranium processing facil-

ity at Y-12.

The photographs depict the human blood that was splashed against the side

knoxnews.com

## Photo gallery:

Evidence photos of the protests by Transform Now Plowshares at Y-12  
Blog: "Atomic City Underground" by Frank Munger

of the plant's storage facility for bomb-grade uranium. There also are photos of the peace slogans that were spray-painted on the walls, as well as gaping holes in the security fences that the intruders cut in order to gain access to the Protected Area — where highly enriched uranium is stored and where work on nuclear warheads takes place.

The News Sentinel received copies of the photographs through a rep-

resentative of the protesters — Sister Gan Rice, Michael V and Greg Boertje-C — who collectively themselves Transform Now Plowshares.

In a statement, the said the intrusion planned and carried to draw attention to new Uranium Process Facility, citing reports the facility could cost \$7.5 billion.

"These photographs carry with them our message," the Plowshares protesters said. "We came to Y-12 in a spirit of hope, not fear. We were authorized — even required — to act by the responsibilities placed on us by citizens. The Nuremberg principles, codified by

See Y12

Knox News Sentinel  
Oct 23, 2012  
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b7c

## Y12 from 1A

United States after World War II, require citizens to refuse cooperation with unlawful government acts insofar as it is morally possible.

"We also felt called, as children of God, to act on behalf of all God's children, including and especially those who are threatened daily by the machines of war and the power of empire."

The July 28 intrusion by the three protesters was an unprecedented security breach at Y-12. The stunning incident prompted two congressional hearings and at least half a dozen government investigations. It also led to the termination of WSI-Oak Ridge's security contracts and a significant shuffling of federal and contractor security officials.

Boertje-Obed, in a telephone interview from Duluth, Minn., said the July 28 intrusion at Y-12 was about more than just breaching security at a government installation.

"We want to emphasize not just the security issue, but the actual production of nuclear weapons," he said.

Rice, a Catholic nun who is 82 years old, said the country needs to better understand the work that takes place at Y-12. The Oak Ridge plant enriched uranium for the atomic bomb dropped on Hiroshima, Japan, and during the Cold War produced parts for every weapon in the U.S. nuclear arsenal.

"We feel like this has been kept secret now for 70 years," Rice said in a telephone interview from Pennsylvania. "The real-

the country. The secrecy of what the place looks like and what is happening there."

Steven Wyatt, a spokesman for the National Nuclear Security Administration, declined comments on the release of the Y-12 photos.

Rice said the protesters are not concerned about possible ramifications for releasing evidence in the federal case. Nor, she said, are they worried about possibly spending time in prison if convicted on the federal charges.

"Our concern is to expose the truth as we now have learned," she said.

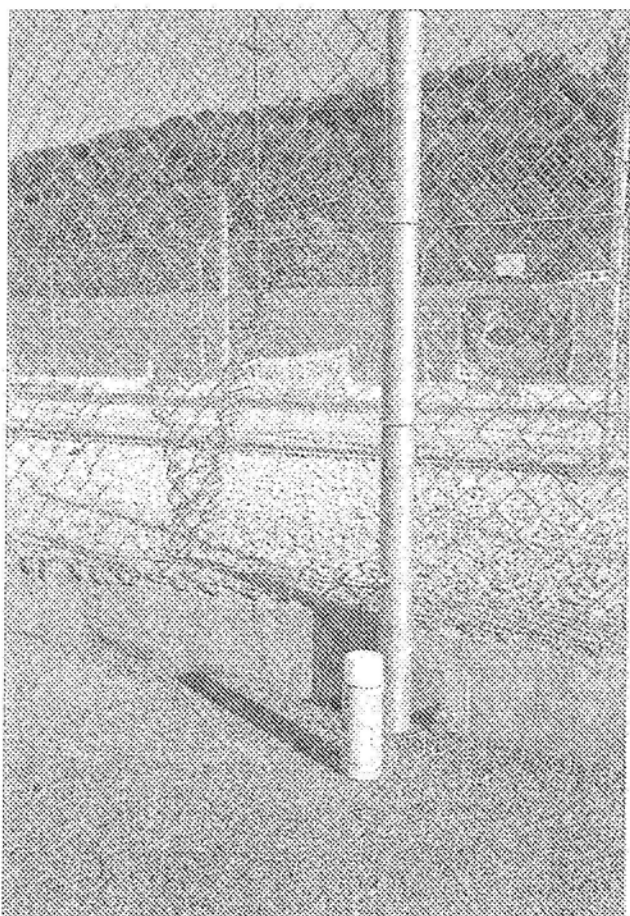
Rice said recent reports that Y-12 had already spent \$500 million designing the new Uranium Processing Facility and now plans to redesign it because of a space shortage is "indicative of the general laxity, which has enveloped" the nuclear weapons industry.

Boertje-Obed said, "We would hope the Congress people would sit up and realize (the waste of money). ... This is such a boondoggle."

The UPF is supposed to replace multiple production facilities at Y-12, including some that date back to the World War II Manhattan Project. The project is a cornerstone of government plans to modernize U.S. nuclear weapons facilities, including Y-12. The official government cost range for the UPF is \$4.2 billion to \$6.5 billion.

Trial for the protesters is scheduled Feb. 26 in U.S. District Court in Knoxville. The three face federal charges of trespassing and destruction and depredation of government property, with a maximum sentence of 16

NEWS SENTINEL « Tuesday, October 23, 2012 « 9A



COURTESY OF TRANSFORM NOW PLOWSHARES

The Transform Now Plowshares group used bolt cutters on security fences to gain access to buildings in the Y-12 nuclear weapons plant on July 28. Michael R. Walli, Sister Megan Rice and Greg Boertje-Obed were protesting the ongoing production of nuclear weapons components.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/03/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has been a Security Police Officer 1 (SPO1) for Wackenhut Services Incorporated (WSI) for about four years. He was hired as a permanent employee on April 20, 2009. When the incident occurred on the morning of July 28, 2012, [redacted] was off duty and at home asleep in bed. Prior to the incident, his last shift was day shift, Thursday July 26th, 2012. After the incident, his first shift back to work was on August 02, 2012. Sometime later, the morning of the incident, [redacted] (Beta 9) called to tell him that something bad had happened at work, and asked if he could come in to work a shift. [redacted] could not believe that three elderly people got through security, all the way to the HUMF. Although he knew some of the security cameras were out and that some of the exterior patrols had been cut back due to budget cuts.

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[redacted] feels like the intruders had inside knowledge of the alarm system, but doesn't know who might have helped them. He said it was also possible that they just got really lucky. [redacted] doesn't want to believe that anyone helped them, as the whole incident is very embarrassing to the security force and Y-12. [redacted] has never had any contact with anyone from the Plowshares movement.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 12/03/2012by [redacted]b3  
b6  
b7C  
b7E



## FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/03/2012

[redacted]  
date of birth (DOB) [redacted] social security number [redacted]  
telephone number [redacted] was interviewed at Building 9710-3,  
Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge,  
Tennessee. After being advised of the identity of the interviewing agents  
and the nature of the interview, [redacted] provided the following information:

b6  
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[redacted] has been a Security Police Officer 1 (L1 SPO 2) for Wackenhut  
Services Incorporated (WSI) for about seven years. He now has [redacted]  
[redacted] When the incident occurred on the morning of July 28, 2012, [redacted] was  
sitting in his office about 300 yards from the site. There are no cameras  
where he was sitting. His [redacted] called and told  
him that [redacted] has something on the north side of the HUMF. [redacted]  
immediately drove to that location. Upon arrival, he saw something smeared  
or painted on the wall of the building and three people talking to SPO  
[redacted] He exited his vehicle and verbally pruned out the three  
individuals. He asked [redacted] to cover him while he went back to his  
vehicle to vest up and grab his rifle. [redacted] then called for more back-up  
to assist with securing the area. After securing the three individuals, he  
observed a breach in the interior fence. [redacted]  
[redacted] to have Oak Ridge Police Department check the other side of the ridge  
for vehicles or other trespassers.

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Within 30-45 minutes, [redacted] had chairs brought out to the three  
individuals. The three were transported within a couple of hours by the day  
shift SRT. [redacted] stayed on scene to help with the evidence recovery. He was  
assisted by [redacted] stated before leaving he  
saw the DOE Inspector General (IG) at the crime scene. After being relieved  
by day shift, [redacted] drove to the PSS office where he was debriefed by [redacted]  
[redacted]

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[redacted] believes multiple factors were to blame for the intrusion.  
Several pieces of security equipment had been inoperable for several  
months. [redacted] also believes the three individuals did their own research  
were extremely lucky to have gotten as far as they did. He believes Y-12  
has made too much information open to the public over the years with the  
public tours.

b6  
b7CInvestigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 12/03/2012by [redacted]b3  
b6  
b7C  
b7E

# 266I-KX-2433548 Serial 165

FD-302a (Rev. 05-08-10)

266I-KX-2433548

Continuation of FD-302 of Interview of [redacted] on 11/27/2012, On 11/27/2012, Page 2 of 2

b6  
b7c

[redacted] does not believe that any Y-12 employee provided any information or help to the three intruders. Prior to the intrusion, [redacted] did not have any contact with anyone from the Plowshares group.

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/03/2012

[redacted] date of birth (DOB) [redacted] social security number [redacted] telephone number [redacted] was interviewed at Building 9710-3, Protective Force Headquarters, Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

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[redacted] has been a Security Police Officer 1 (SPO1), for Wackenhut Services Incorporated (WSI) since July 2005. He now holds [redacted]. When the incident occurred on the morning of July 28, 2012, [redacted] was at the Shift officers trailer (Beta 14) on H Road. He was notified by [redacted] of a level II alarm in the HUMF area, and to respond. [redacted] geared up, jumped in his Tahoe and responded to the west side of building 9212, looking toward the HUMF. [redacted] continued to hear radio traffic. [redacted] heard [redacted] were responding to the HUMF for a Level II. Then he heard Unit 63 call back saying there were 3 persons in custody. [redacted] then called him to come to the HUMF. When [redacted] got to the HUMF he saw three person in custody, then began looking for breach points in the fences. He saw some binoculars hanging on the center fence and a cuts (breaches) in the center and inner fences. [redacted] was then asked to help with fire checks on building 9212. He held containment until the day shift relieved him.

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[redacted] stated that they get approximately 100 false alarms per shift on the PITAS alone. The system has been messed up since it switched from Legacy to Argus. [redacted] thinks the intruders had inside help to pull it off, but has not heard any names mentioned, and does not know of anyone that would have helped. [redacted] does not know why someone would have given any information other than to maybe save their job. [redacted] has never had contact with anyone from the Plowshares group.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 12/03/2012by [redacted]b3  
b6  
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b7E

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

**Title:** (U) Superseding indictment filed on  
12/4/2012.

**Date:** 12/07/2012

**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]

**Approved By:** SSA [REDACTED]

**Drafted By:** [REDACTED]

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

**Synopsis:** (U) A superseding indictment was filed with the Grand Jury on 12/4/2012.

**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013

**Enclosure(s):** Enclosed are the following items:

1. (U) Superseding indictment filed 12/4/2012

**Details:**

A superseding indictment was filed on 12/4/2012 in the Eastern District of Tennessee. The new charge is Title 18 USC Section 2155 for all three defendants: [REDACTED] Megan Rice [REDACTED]  
[REDACTED] The misdemeanor count was removed from the indictment but the other two counts, Title 18 USC Sections 1361 and 1363, remain. A copy of the indictment is attached hereto.

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UNCLASSIFIED

Title: (U) Superseding indictment filed on 12/4/2012.  
Re: 266I-KX-2433548, 12/07/2012

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UNCLASSIFIED

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF TENNESSEE  
AT KNOXVILLE

FILED

2012 DEC -4 P 5:46

UNITED STATES OF AMERICA,

Plaintiff,

v.

MEGAN RICE, and

Defendants.

CASE NO. 3:12-CR-107  
JUDGES PHILLIPS/SHIRLEY

U.S. DISTRICT COURT  
EASTERN DIST. TENN.

DEPT. OF JUSTICE

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**SUPERSEDING INDICTMENT**

**COUNT ONE**

The Grand Jury charges that, on or about July 28, 2012, within the Eastern District of Tennessee, the defendants, [REDACTED] MEGAN RICE, and [REDACTED] [REDACTED] aiding and abetting each other, with the intent to injure, interfere with, and obstruct the national defense of the United States, did willfully injure, destroy, and contaminate, and attempt to injure, destroy and contaminate national-defense premises, specifically, buildings and grounds of the Y-12 National Security Complex, in violation of Title 18, United States Code, Sections 2155(a), 2151 and 2.

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**COUNT TWO**

The Grand Jury further charges that, on or about July 28, 2012, within the Eastern District of Tennessee, the defendants, [REDACTED] MEGAN RICE, and [REDACTED] [REDACTED] aiding and abetting each other, at a place within the special maritime and territorial jurisdiction of the United States, namely, the United States Department of Energy, National Nuclear Security Administration, Y-12 National Security Complex, did willfully and maliciously

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destroy, injure, and attempt to destroy and injure, a structure, and other real and personal property within the Y-12 National Security Complex, in violation of Title 18, United States Code, Sections 1363 and 2.

**COUNT THREE**

The Grand Jury further charges that, on or about July 28, 2012, in the Eastern District of Tennessee, the defendants, [REDACTED] MEGAN RICE, and [REDACTED] [REDACTED] aiding and abetting each other, willfully and by means of cutting, painting and defacing, did injure and commit a depredation against property of the United States and of the United States Department of Energy, National Nuclear Security Administration, Y-12 National Security Complex, and the resulting damage was in an amount exceeding \$1,000.00, in violation of Title 18, United States Code, Sections 1361 and 2.

A TRUE BILL: \_\_\_\_\_

**SIGNATURE REDACTED**

\_\_\_\_\_  
GRAND JURY FOREPERSON

WILLIAM C. KILLIAN  
UNITED STATES ATTORNEY

By:

[REDACTED]

Assistant United States Attorney

[REDACTED]

Assistant United States Attorney

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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: OTHER

Date: 12/10/2012

Title: (U) 1A

Approved By:

Drafted By:

b6  
b7C

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

b6  
b7C  
b7E

Synopsis: (U) Original notes re interviews of

Enclosure(s): Enclosed are the following items:

1. (U) Original notes re interviews of

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b7C

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Physical 1A/1C Cover Sheet for Serial Export

**Created** 266I-KX-2433548

**From:**

Serial 168

**Package:** 1A37

**Stored** None

**Location:**

**Summary:** (U) Original notes re interviews of

b6  
b7C

**Acquired By:**

**Acquired On:** 2012-11-07

**Acquired** (U) WSI Y-12 National Security Complex

**From:**

Oak Ridge, Tennessee

**Attachment:** (U) Original notes re interviews of

b6  
b7C

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 12/10/2012

Title: (U) Jail call [REDACTED]

b6  
b7C

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb3  
b6  
b7C  
b7E[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) Jail call [REDACTED] transcript.

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** LABRPT

**Date:** 12/12/2012

**Title:** (U) LPOU lab rpt; lab #120925023

**Approved By:**

b6  
b7C

**Drafted By:**

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

b7E

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) latent print exam

**Enclosure(s):** Enclosed are the following items:

1. (U) LPOU 1A lab #120925023

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Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548  
Serial 170  
**Package:** 1A38  
**Stored** None  
**Location:**  
**Summary:** (U) lab #120925023 latent case  
supporting records  
**Acquired By:**   
**Acquired On:** 2012-12-12  
**Attachment:** (U) LPOU 1A lab #120925023

b6  
b7C

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** LABRPT

**Date:** 12/12/2012

**Title:** (U) FBI Lab Number 120925023 TEU

**Approved By:**

**Drafted By:**

b6  
b7C

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

b7E

**Synopsis:** (U) FBI Lab Number 120925023 TEU

**Enclosure(s):** Enclosed are the following items:

1. (U) Notes and Administrative Material for FBI Lab Number 120925023  
Hairs and Fibers

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Physical 1A/1C Cover Sheet for Serial Export

**Created** 266I-KX-2433548

**From:**

Serial 171

**Package:** 1A39

**Stored** None

**Location:**

**Summary:** (U) Notes and Administrative Material for  
FBI Lab Number 120925023 Hairs and Fibers

**Acquired By:**

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b7C

**Acquired On:** 2012-12-13

**Attachment:** (U) Notes and Administrative Material for  
FBI Lab Number 120925023 Hairs and Fibers

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** LABRPT

**Date:** 12/13/2012

**Title:** (U) Nuclear DNA Analysis 120925023 PF ACJ

**Approved By:**

**Drafted By:**

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

b6  
b7C  
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**Synopsis:** (U) Nuclear DNA Report 120925023 PF ACJ

**Enclosure(s):** Enclosed are the following items:

1. (U) 1A materials, lab report 120925023 PF ACJ

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Physical 1A/1C Cover Sheet for Serial Export

**Created** 266I-KX-2433548

**From:**

Serial 172

**Package:** 1A40

**Stored** None

**Location:**

**Summary:** (U) Chain of custody, communication and activity log, notes, instrument print outs, charts, graphs, ecs search slip, and data cd.

**Acquired By:**

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b7C

**Acquired On:** 2012-12-13

**Attachment:** (U) 1A materials, lab report 120925023 PF  
ACJ



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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 12/17/2012

**Title:** (U) Knoxville News Sentinel article

**Approved By:**

**Drafted By:**

b6  
b7C  
b7E

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Y-12 protesters face new charges

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UNCLASSIFIED

mail: news@knoxnews.com

Thursday, December 6, 2012

# Y-12 protesters face new charge

## ■ 3 indicted under federal Sabotage Act

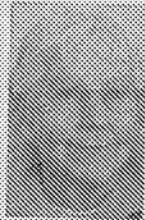
By Frank Munger  
munger1@knoxnews.com  
865-362-6329

knoxnews.com

Blog: More on Frank  
Munger's "Atomic City  
Underground"

defense premises, which comes under the federal Sabotage Act, carries a maximum sentence of 20 years in prison.

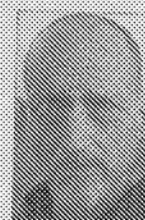
The protesters — Sister Megan Rice, Greg Boertje-Obed and Michael Walli, who call themselves the Transform Now Plowshares — could face up to 35 years in prison if convicted on all three of the charges. Besides the sabotage charge, which replaces a misdemeanor trespassing charge, the other charges are destroying property within a federal installation and depredation against government



Megan Rice



Greg Boertje-Obed



Michael Walli

the statement said. "So the government had added serious new charges which expose us to an additional 20

years in prison for our peacemaking actions."

The Plowshares group previously had vowed not to plea-bargain with the government or admit guilt to any charges.

"We remain convinced that making and refurbishing nuclear weapons at Y-12 is both illegal under U.S. and international law and it is also immoral," the protesters said Wednesday. "Ultimately we are required to follow the law of love and our consciences."

Their trial is scheduled for Feb. 26 in U.S. District Court in Knoxville, but the U.S. attorney's office acknowledged Wednesday that the new charges could result in a new trial date.

According to information released by the government, the new charge accuses the three protesters of "aiding and abetting each other, with intent to injure, interfere with, and obstruct the national defense of the United States, did willfully injure, destroy and contaminate, and attempt to injure, destroy and contaminate national-defense premises, specifically, buildings and grounds of the Y-12 National Security Complex."

Assistant U.S. Attorneys Jeff Theodore and Melissa Kirby are prosecuting the case.

SSA [redacted]

SAC lm

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

**Title:** (U) Conversation with [REDACTED] on  
12/5/2012.

**Date:** 12/07/2012

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**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]

**Approved By:** SSA [REDACTED]

**Drafted By:** [REDACTED]

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b7E

**Case ID #:** [REDACTED]

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

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b7C  
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**Synopsis:** (U) Conversation with [REDACTED] on 12/5/2012 in Washington, DC.

**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013

**Details:**

On 12/05/2012, [REDACTED] date of birth [REDACTED] social  
security number [REDACTED] was approached at his workplace, [REDACTED]  
[REDACTED] was advised  
of the identity of the agents and after being asked if he would speak  
about the events at Y-12 on 07/28/2012, he said that he would not speak  
without the presence of his lawyer, [REDACTED]  
[REDACTED] Task Force Officer [REDACTED] was present for  
the discussion and Megan Rice was also present at [REDACTED]

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UNCLASSIFIED

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Title: (U) Conversation with [ ] on 12/5/2012.  
Re: 266I-KX-2433548, 12/07/2012

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[ ] was contacted telephonically by writer and [ ] said he would speak with [ ] and call writer back. [ ] called back to say that he was in New Orleans and that he was not in a position to meet with agents at that time. He would, however, be in the Knoxville area in a few weeks to discuss the superseding indictment on the Y-12 matter, and would be amenable to arranging a meeting between [ ] and writer. [ ] then thanked writer for her professionalism in dealing with his client.

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/14/2012

[redacted]  
date of birth (DOB) [redacted] social security number [redacted]  
telephone number [redacted] was interviewed at his previous residence,  
[redacted] Special Agent [redacted]  
[redacted] Office of Inspector General (OIG), Department of Energy (DOE),  
accompanied Special Agent [redacted] and participated in this interview. After  
being advised of the identity of the interviewing agents and the nature of  
the interview, [redacted] provided the following information:

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[redacted] was employed as a Security Police Officer 1 (SP01), for  
Wackenhut Services Incorporated (WSI) from January 2008 until he was  
terminated in September 2012. [redacted] now works as [redacted] for  
[redacted] When the Y-12 incident occurred on the  
morning of July 28, 2012, [redacted] was on duty at his assigned post, TSA 4,  
second floor, inside the Highly Enriched Uranium Materials Facility  
(HEUMF). Prior to the intrusion, [redacted] estimates that he had accessed  
approximately 12-15 false alarms during the shift. About ten hours into his  
twelve hour shift, [redacted] got an audible alert for PITAS zone 63/64. He  
silenced the alarm, and looked at the 19 inch, black and white monitor that  
shows all camera positions, to try to access the alarm. The closest fixed  
camera to that zone was out of service. [redacted] then checked a secondary  
pan, tilt, zoom camera. He zoomed in on the alarmed area. He did not see  
anything out of the ordinary. He called [redacted] and told him  
the area was all clear. [redacted] then switched the monitor back to another  
zone that he usually monitors. A few minutes later, he heard a banging or a  
hammering sound on the exterior of the building. He opened a gun port and  
looked outside the building. In disbelief, he saw two individuals moving  
around inside the protected area. One individual was right up against the  
wall of the HEUMF and the other was coming thru a breach in the interior  
fence. He could not believe what he was seeing. He immediately called for  
back up security officers.

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[redacted] does not believe the intruders had any "insider" help to  
accomplish their crime. He said it might be possible, but was unlikely.  
[redacted] has heard that the intruders had wallets in their pockets, possibly

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b7CUNCLASSIFIED//~~FOUO~~

Investigation on 12/08/2012 at [redacted]  
File # 266I-KX-2433548, [redacted] Date drafted 12/14/2012  
by [redacted]

b3  
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b7C  
b7E

UNCLASSIFIED//~~FOUO~~

266I-KX-2433548

12/08/2012 Interview of [REDACTED]

Continuation of FD-302 of [REDACTED], On 12/08/2012, Page 2 of 2

expecting not to survive their actions. He believes if they had inside help, they would not have planned to possibly lose their lives.

Prior to the incident, [REDACTED] had never heard of the Plowshares group and never had any contact with anyone associated with that group. He doesn't know any BWI employee that had any contact with the group. [REDACTED] added, that integrity is a big deal at Y-12 and is not taken lightly. He can't imagine any Y-12 employee wanting this intrusion to occur.

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b7CUNCLASSIFIED//~~FOUO~~

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Contact with Probation/Parole.**Date:** 12/17/2012**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
b7E**Synopsis:** (U) Contact with Probation/Parole.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**

On 12/10/2012, writer contacted Probation Officer [REDACTED]  
[REDACTED] in regards to Megan Rice. [REDACTED] stated  
that Rice has permission to be in Washington, DC and that US District  
Court Judge Shirley was aware that Rice may travel from New York to  
Washington, DC and may work in Washington, DC.

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b7C

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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) [REDACTED] on 12/11/2012.**Date:** 12/17/2012**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) [REDACTED] on 12/11/2012.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**

On 12/11/2012, [REDACTED]

UNCLASSIFIED

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b7Eb3  
b7Eb6  
b7C  
b7E



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Title: (U)  on 12/11/2012.  
Re: 266I-KX-2433548, 12/17/2012

b7E

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: EMAIL

Date: 12/18/2012

Title: (U) Conv re fingerprints and DNA w/ USAO

Approved By: SSA [REDACTED]

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b7C  
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Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb3  
b7E[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) Email regarding fingerprints and DNA evidence with AUSA

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From: [REDACTED] (USATNE) [REDACTED]  
Sent: Tuesday, December 18, 2012 9:04 AM  
To: [REDACTED]  
Cc: [REDACTED] (USATNE) [REDACTED] (USATNE);  
[REDACTED]  
Subject: Re: Fingerprints

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[REDACTED]  
We do not need any further testing of those items for trial. Identity is not an issue in this case since the defendants were apprehended at the scene and have made public admissions about the incident. Intent will be the primary issue at trial.

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b7C

Thanks.

[REDACTED]  
Sent from my iPad

On Dec 17, 2012, at 10:54 AM, [REDACTED]  
[REDACTED] wrote:

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The lab has returned reports from the Latent Print Unit as well as from the nDNA Unit. No latents were detected on the hammers, flashlights or gloves. DNA suitable for comparison was found on the gloves but this would require DNA from the subjects.

[REDACTED] If this is something needed for trial, please let me know so that we can start the process now in time for comparisons to be made at the lab. If any of you would like copies of the reports, please let me know and I will forward them to you.

Thanks,

[REDACTED]  
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[REDACTED]  
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**FEDERAL BUREAU OF INVESTIGATION****Evidence Log**

**Event Title:** (U) Acquired 12/20/2012 @ 11:00AM  
from FBI Lab

**Date:** 12/20/2012

**Approved By:**

**Drafted By:**

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013

**Acquired By:** on 12/20/2012

**Acquired From:** (U) FBI Lab

**Receipt Given?:** No

**Holding Office:** KNOXVILLE

**Details:** *No Details Provided*

**Item Type**

1B General

**Description**

(U) 6 tubes of secondary nuclear DNA evidence.  
Acquired On: 12/20/2012  
Special Handling Codes: Refrigerate  
Located By:   
Location Area: n/a  
Specific Location: n/a

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b7C

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Title: (U) Acquired 12/20/2012 @ 11:00AM from FBI Lab  
Re: 266I-KX-2433548, 12/20/2012

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UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U//~~FOUO~~) Name Check Summary File - [REDACTED] **Date:** 12/27/2012b6  
b7C**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]**Approved By:** [REDACTED]b6  
b7C  
b7E**Drafted By:** [REDACTED]

**Case ID #:** 62F-HQ-C2300559 (U) NAME CHECK SUMMARY FILE 2012  
62F-KX-C71763 (U) NATIONAL NAME CHECK PROGRAM  
266I-KX-2433548 (U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U//~~FOUO~~) To report results of Knoxville file review concerning [REDACTED] born [REDACTED] with social security account number [REDACTED]

b6  
b7C  
b7E**Reference:** 62F-HQ-C2300559 Serial 22580**Details:**

A review of Knoxville's pending file 266I-KX-2433548 and communication with the case agent revealed no derogatory information concerning [REDACTED] born [REDACTED] with social security account number [REDACTED]

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b7CUNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~

Title: (U//~~FOUO~~) Name Check Summary File -   
Re: 62F-HQ-C2300559, 12/27/2012

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) [REDACTED] on 12/21/2012.**Date:** 12/21/2012**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
b7E**Synopsis:** (U) [REDACTED] on 12/21/2012.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**On 12/21/2012, [REDACTED]  
[REDACTED]b6  
b7C  
b7E

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UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U//~~FOUO~~) Name Check Summary File - [REDACTED] **Date:** 12/27/2012b6  
b7C**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** [REDACTED]**Drafted By:** [REDACTED]

**Case ID #:** 62F-HQ-C2300559 (U) NAME CHECK SUMMARY FILE 2012  
62F-KX-C71763 (U) NATIONAL NAME CHECK PROGRAM  
266I-KX-2433548 (U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb6  
b7C  
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**Synopsis:** (U//~~FOUO~~) To report results of Knoxville file review  
regarding [REDACTED] with  
social security account number [REDACTED]

**Reference:** 62F-HQ-C2300559 Serial 22759**Details:**

A review of Knoxville's pending file 266I-KX-2433548 and  
communication with the case agent revealed no derogatory information  
concerning [REDACTED] with  
social security account number [REDACTED]

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b7CUNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~

Title: (U//~~FOUO~~) Name Check Summary File -   
Re: 62F-HQ-C2300559, 12/27/2012

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) [REDACTED] on 1/2/2013.**Date:** 01/02/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) [REDACTED] on 1/2/2013.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**On 1/2/2013, [REDACTED]  
[REDACTED]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/07/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] [redacted] provided the following information:

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[redacted] has worked for the Wackenhut Services Incorporated (WSI) Security for approximately five years. The last two years [redacted] has held [redacted]. When the incident occurred on the morning of July 28, 2012, [redacted] was working as the first line supervisor in the HEUMF building. Six other security employees were working in the HEUMF that evening with [redacted]. Throughout the evening, [redacted] was aware of several PITA alarms. This is not out of the ordinary for alarms to be received throughout a shift. At approximately 0420 hours an alarm was received and WSI Security Officer [redacted] responded to the alarm by viewing the cameras to see if a threat could be detected. Officer [redacted] stated the alarm was cleared by visual inspection of a pan-tilt camera. A few minutes after Officer [redacted] cleared the alarm at the HEUMF building, [redacted] overheard radio traffic stating that there were persons outside of the HEUMF building. [redacted] stated that initially the persons were thought to have been maintenance since they also heard knocking on the building, but almost immediately dismissed that and knew there to be a problem. [redacted] [redacted] received a call from [redacted] and was asked what was occurring outside the HEUMF building. [redacted] was still unsure of specifically what was going on, but began a check of the inside of the HEUMF to detect any security threats inside the building. [redacted] [redacted] called a second time and [redacted] was informed of the security breach by the three individuals.

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[redacted] stated that numerous false PITA alarms are triggered each shift because of faulty or inadequate equipment. If all of the cameras had been working properly the evening of the incident, he believes there is no way the three individuals would not have been detected by the electronic security devices. When [redacted] learned of the breach, he personally viewed cameras and could see red paint (or something resembling that) smeared on the facility. He could not see any breaches in the fences or

b6  
b7CInvestigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 12/07/2012by [redacted]b3  
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b7C  
b7E

266I-KX-2433548

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Continuation of FD-302 of Interview of [REDACTED], On 11/27/2012, Page 2 of 2

identifying details of the three persons. While he was watching the video, he witnessed WSI Officers [REDACTED] respond to the outer perimeter of the HEUMF building and encounter the three protestors/trespassers. The three were placed into custody by [REDACTED] and other responding officers.

[REDACTED] does not believe that the trespassers received inside help to assist them in their breach of the facility. Rather, [REDACTED] believes that too much information regarding the facility is now open to the public and easily accessed. He stated that visitors enter the site continually and hundreds come through on buses. He stated he felt it "far fetched" that they just got lucky, and reiterated it would have been easy to plan for a breach. [REDACTED] has not been privy to any conversations alleging that the trespassers received assistance from someone with inside knowledge of the facility. [REDACTED] ended the interview by stating CAS Operators, HEUMF Officers and some select maintenance personnel would be the only persons with knowledge of which cameras were not operating correctly.

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

**Title:** (U) Conversation with DOE IG re hole in  
perimeter fence at Y-12.

**Date:** 01/04/2013

**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]

**Approved By:** SSA [REDACTED]

**Drafted By:** [REDACTED]

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

**Synopsis:** (U) Conversation on 12/20/2012 with DOE IG Agent [REDACTED]  
regarding a News Sentinel article wherein a hole in the perimeter fence  
at Y-12 was discovered and photographed.

**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013

**Enclosure(s):** Enclosed are the following items:

1. (U) Knox News Sentinel article dated 12/20/2012 re hole in  
perimeter fence at Y-12

**Details:**

On 12/20/2012, a newspaper article in the Knoxville News  
Sentinel showed a photograph of a hole in the perimeter fence at Y-12.

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Title: (U) Conversation with DOE IG re hole in perimeter fence at Y-12.  
Re: 266I-KX-2433548, 01/04/2013

In the article, [REDACTED] said that he was sure that was where he, Megan Rice [REDACTED] entered the Y-12 property. The hole was closed with a plastic zip tie so as to conceal the hole.

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On 12/20/2012, writer spoke with Department of Energy Office of Inspector General (DOE OIG) Special Agent [REDACTED] who said that they found the hole in the fence and the fence was mended by DOE personnel.

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PHOTO BY SAUL YOUNG, 2012 KNOXVILLE NEWS SENTINEL // BUY THIS PHOTO

A cable tie holds together a section of the outer perimeter fencing of the Y-12 National Security Complex on Wednesday. The separated section of fencing is where Greg Boertje-Obed says he and fellow Transform Now Plowshares members Michael Walli and Sister Megan Rice entered the facility. (Saul Young/News Sentinel)

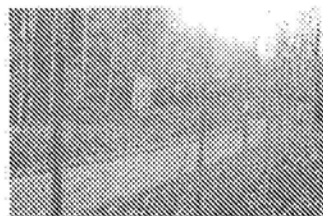


PHOTO BY SAUL YOUNG, 2012 KNOXVILLE NEWS SENTINEL // BUY THIS PHOTO

A fence surrounds the Y-12 National Security Complex along Pine Ridge on Wednesday, December 19, 2012. According to officials, this is the area near where members of Transform Now Plowshares entered the facility. (Saul Young/News Sentinel)

**OAK RIDGE** — Four and a half months after Plowshares protesters broke into the Y-12 nuclear weapons plant, it appears that government contractors have yet to repair the fence where the initial entry took place.

On Wednesday, the News Sentinel visited the plant's perimeter fence line on Pine Ridge and photographed a section where the chain-link fence apparently had been cut — about 4½ feet vertically. There also was what may be telltale evidence — a white plastic tie and a piece of string — of the protesters' handiwork.

Greg Boertje-Obed, one of three protesters charged in the high-profile federal case, viewed copies of the News Sentinel photographs Wednesday evening and said he was sure that was the site where he, Sister Megan Rice



## Atomic City Underground

Frank Munger's blog that covers the Dept. of Energy's Oak Ridge facilities and other things nuclear

and Michael Walli entered the Y-12 property. He said they used the plastic tie and string to hold the fence together after they'd cut it open and passed through at about 2:30 a.m. on July 28.

Boertje-Obed said they wanted to conceal the hole in the fence, at least temporarily. He said the protesters — known collectively as the Transform Now Plowshares — were concerned that a security patrol might come by, note the breach in the fence, and sound alarms before

they reached their destination.

As it turned out, the protesters were able to traverse Pine Ridge, cut through three high-security fences, and ultimately splash blood and otherwise deface Y-12's storehouse for bomb-grade uranium before being arrested at the scene.

Y-12 historically has been known as a virtually impenetrable installation, often touted as the "Fort Knox of Uranium." The penetration of the plant's vaunted security spawned a number of federal investigations and two congressional hearings. The National Nuclear Security Administration and its contractors have since cited a long list of improvements in Y-12 security.

Asked about the hole in the fence that remains, NNSA spokesman Steven Wyatt said Wednesday he could not comment because he had not seen it or received any verification that it exists.

However, Wyatt emphasized that the perimeter fence, which defines the plant's boundaries, is not a high security area.

"There's no barbed wire, it's just a demarcation of the property line," he said. "I know there have been some repairs, and that area is patrolled regularly."

A patrol road runs along the interior of the fence, which stretches for miles around the complex — known officially as the Y-12 National Security Complex.

Boertje-Obed, Rice and Walli are facing three felony charges, including sabotage at a national defense facility. If convicted on all counts, the protesters could face up to 35 years in prison.

There apparently is a discrepancy over where the protesters actually entered the federal plant.

Boertje-Obed, who is serving as his own legal counsel, said he realized there was an issue when he saw the government photographs distributed to defense attorneys as part of the discovery process in the case, and he had shared that information with others. He said the photo of the reputed entry site did not look like the area where they cut the fence.

Two members of the Oak Ridge Environmental Peace Alliance were the first to identify the hole in the fence and later shared the site information with the News Sentinel. Two of the defense lawyers in the case reportedly visited Y-12 last week to get a firsthand look at some of the plant sites and reportedly were shown a different site that Y-12 investigators had determined was the protesters' entry point.

Ralph Hutchison, coordinator of the peace alliance, said the lingering hole in the fence is significant for multiple reasons.

"First, of course, we should not have large holes in the fence that establishes the first line of defense for our nuclear weapons facilities," Hutchison said in a statement. A greater concern is how the NNSA and B&W Y-12 are doing their job at the site, he said.

"Since July 28, the public has heard assurances from the Secretary of Energy (Steven Chu) on down that the Plowshares action was a wake-up call, that security lapses were intolerable, that attention to detail was paramount, that all steps were being taken to address the lessons learned — and yet a hole in the fence had not been discovered, nor had it been repaired," Hutchison said. "There is no excuse."

The trial of Y-12 protesters is set for May 7 in U.S. District Court.



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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 01/07/2013

[redacted] date of birth [redacted] social security number [redacted] was telephonically interviewed at cellular telephone number [redacted]. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

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[redacted] is a Security Police Officer 1 (SP01) and has worked for Wackenhut Services Incorporated (WSI) for eight years. When the security breach occurred at Y-12 on July 28, 2012, [redacted] was working overtime inside Beta 2E and because it was a night shift, he was locked inside the building. The first radio traffic [redacted] remembers was that someone said to get 'em in handcuffs. [redacted] said that it was so long ago that he doesn't remember any details about the alarm or the radio but remembers that the handcuffs comment caught his attention. He really didn't know what was going on at the time and only found out later, either after that shift or possibly later the next day.

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In regards to whether or not the protestors had help, [redacted] said that at first he didn't think so but after a time he thought it was possible because they knew right where to go and it seemed like too much of a coincidence. At the time, [redacted] didn't know about all of the problems with the cameras and the alarms and it seems odd that the protestors went in at the exact spot as where the camera wasn't working. [redacted] hasn't heard any specific information about who might have helped the protestors, if they had any help at all. Prior to the incident, [redacted] had not heard of the Plowshares, but he had heard that the nun had been arrested for a similar thing prior to July 28, 2012.

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Investigation on 01/07/2013 at Knoxville, Tennessee, United States (Phone)File # 266I-KX-2433548, [redacted] Date drafted 01/07/2013by [redacted]b3  
b6  
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b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 01/04/2013

[redacted] date of birth [redacted] Social Security Number [redacted] cellular telephone number [redacted] was telephonically interviewed at his workplace, telephone number [redacted]. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

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[redacted] is a Security Police Officer 1 (SP01) and has worked for Wackenhut Services Incorporated (WSI) for almost eight years. When the security breach occurred on July 28, 2012, [redacted] was in TSA 3, the tower in the southwest corner of the Highly Enriched Uranium Materials Facility (HEUMF). Although it has been a while since the incident and [redacted] said that he didn't remember some specific things, he did remember that an alarm panel went off but initially [redacted] didn't see anything. [redacted] said that he looked out the window and didn't see anything. He then tried to pull up three separate cameras: the first two didn't work and the third camera was on top of the tower so that all he could see was the fence. A few minutes later, [redacted] said that he heard [redacted] either on the radio or on the intercom and he said that someone was out there and he heard banging on the wall. At that point, everyone responded where they were supposed to and [redacted] went back and forth between the windows and the cameras, trying to see what was happening. [redacted] heard [redacted] say that there was someone in the PITUS. [redacted] was shocked and remembers someone saying that there were workers who test the cement but he thought, no, not at 4am. He remembered somewhat of a debate regarding whether the people were workers or some hostile forces and that half the time they aren't notified if and when there are going to be workers in the area. [redacted] thought it was ridiculous that [redacted] was let go because he is professional and a good guy and it wasn't his fault.

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In regards to whether or not the protestors had help, [redacted] said that he didn't see how they could have had help but obviously they knew how to get thru so perhaps they did some internet research. [redacted] has not seen the video but was told that it appears the protestors moved methodically thru the PITUS. [redacted] has heard that there were some sectors in disrepair so maybe they were lucky to choose the spot they went in. [redacted] did not know of anyone who would have given information, except for possibly a disgruntled employee but there aren't many of those and [redacted] wouldn't

b6  
b7CInvestigation on 01/04/2013 at Knoxville, Tennessee, United States (Phone)File # 266I-KX-2433548, [redacted] Date drafted 01/04/2013by [redacted]b3  
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b7C  
b7E

266I-KX-2433548

Continuation of FD-302 of Interview of [REDACTED] on 1/4/2013 , On 01/04/2013 , Page 2 of 2

know who specifically. [REDACTED] would be shocked if a US citizen helped the protestors gain entry to the facility, and he didn't think that would happen. [REDACTED] thought that it was an unfortunate set of events and it was Murphy's Law that if something could go wrong it did as the cameras were broken and the alarms were in disrepair. [REDACTED] thought that if you made a movie, anyone watching would think, no way that would ever happen, and then it did. He said that it was just one of those things and that it was a wake-up call for sure.

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[REDACTED] couldn't remember if he had heard about the Plowshares prior to the July 28, 2012 incident but he sure knows who they are now.

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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 01/07/2013**Title:** (U) Knoxville News Sentinel article, 12/10/2012**Approved By:****Drafted By:**b6  
b7C  
b7E**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

b7E

**Synopsis:** (U) Federal trial of Y-12 protesters reset for May 7.

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UNCLASSIFIED

**knoxnews.com**Printer-friendly story  
Read more at [knoxnews.com](http://knoxnews.com)

## Federal trial of Y-12 protesters reset for May 7

By Frank Munger

Monday, December 10, 2012

Because of new charges in the high-profile case, the federal trial of three Y-12 protesters has been reset for May 7.

The trial of Michael Walli, Greg Boertje-Obed and Sister Megan Rice — known as the Transform Now Plowshares group — had been scheduled to begin Feb. 26. However, things changed after a federal grand jury returned a superseding indictment earlier month, adding a felony sabotage charge that carries a maximum sentence of 20 years in prison.

Federal Magistrate Judge C. Clifford Shirley Jr. held a conference call Monday morning with government prosecutors and defense attorneys to discuss the situation and rearrange the legal calendar for the case.

Even though the superseding indictment contained a new charge of injuring national-security premises, an arraignment on the federal charges won't be necessary because — according to court documents — the defendants' have waived their right to appear in court to have the charges read and explained.

U.S. District Judge Thomas W. Phillips will preside at the May trial.

A plea cut-off date has been set for April 7, although the defendants have repeatedly vowed not to plead guilty in the case or bargain for reduced sentences.

The Plowshares protects have openly acknowledged that they broke into the Y-12 nuclear weapons plant in the early hours of July 28, cutting through four security fences in order to plant's highly sensitive Protected Area — where nuclear warheads are manufactured and special nuclear materials are stored. They've also have taken credit for defacing the plant's storage facility for bomb-grade uranium, dousing a wall with human blood, spray-painting protest messages, adorning the site with crime-scene tape and symbolically hammering a corner of the edifice.

However, the three have maintained they are innocent of any crime, claiming their presence was required to address the evil work on weapons of mass destruction.

If convicted on all three counts, the Plowshares protesters could face up to 35 years in prison. Boertje-Obed, 57, is the youngest of the three protesters. Walli is 64, and Rice, a Catholic nun, will turn 83 year old in January. Each of the three has spent time in jail previously for anti-nuclear protests.

SAC *LM*

SSA



226I-KX-2433548

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 01/07/2013

**Title:** (U) Knoxville News Sentinel article, 12/20/2012

**Approved By:**

**Drafted By:**

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b7C  
b7E

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Y-12's fence still not repaired.

◆◆

UNCLASSIFIED



# Y-12's fence still not repaired

## Hole made by protesters remains

By Frank Munger  
mungerf@knoxnews.com  
865-342-6329

OAK RIDGE — Four and a half months after Plow-

knoxnews.com

Blog: More on Frank Munger's "Atomic City Underground"

shares protesters broke into the Y-12 nuclear weapons plant, it appears that government contractors have yet to repair the

fence where the initial entry took place.

On Wednesday, the News Sentinel visited the plant's perimeter fence line on Pine Ridge and photographed a section where the chain-link fence apparently had been cut — about 4½ feet vertically. There also was what may be telltale evidence

— a white plastic tie and a piece of string — of the protesters' handiwork.

Greg Boertje-Obed, one of three protesters charged in the high-profile federal case, viewed copies of the News Sentinel photographs Wednesday evening and said he was sure that was the site where he, Sister Megan Rice and

Michael Walli entered the Y-12 property. He said they used the plastic tie and string to hold the fence together after they'd cut it open and passed through at about 2:30 a.m. on July 28.

Boertje-Obed said they wanted to conceal the

See Y-12, 11A

### Y-12 from 1A



hole in the fence, at least temporarily. He said the protesters — known collectively as the Transform Now Plowshares — were concerned that a security patrol might come by, note the breach in the fence, and sound alarms before they reached their destination.

As it turned out, the protesters were able to traverse Pine Ridge, cut through three high-security fences, and ultimately splash blood and otherwise deface Y-12's storehouse for bomb-grade uranium before being arrested at the scene.

Y-12 historically has been known as a virtually impenetrable installation, often touted as the "Fort Knox of Uranium." The penetration of the plant's vaunted security spawned a number of federal investigations and two congressional hearings. The National Nuclear Security Administration and its contractors have since cited a long list of improvements in Y-12 security.

Asked about the hole in the fence that remains, NNSA spokesman Steven Wyatt said Wednesday that he could not comment because he had not seen it or received any verification

A cable tie holds together a section of the outer perimeter fencing of the Y-12 National Security Complex on Wednesday. The separated section of fencing is where Greg Boertje-Obed says he and fellow Transform Now Plowshares members Michael Walli and Sister Megan Rice entered the facility.

that it exists.

However, Wyatt emphasized that the perimeter fence, which defines the plant's boundaries, is not a high-security area.

"There's no barbed wire, it's just a demarcation of the property line," he said. "I know there have been some repairs, and that area is patrolled regularly."

A patrol road runs along the interior of the fence, which stretches for miles around the complex — known officially as the Y-12 National Security Complex.

Boertje-Obed, Rice and Walli are each facing three felony charges, including sabotage at a national defense facility. If convicted

on all counts, the protesters could face up to 35 years in prison.

There apparently is a discrepancy over where the protesters actually entered the federal plant.

Boertje-Obed, who is serving as his own legal counsel, said he realized there was an issue when he saw the government photographs distributed to defense attorneys as part of the discovery process in the case, and he had shared that information with others. He said the photo of the reputed entry site did not look like the area where they cut the fence.

Two members of the Oak Ridge Environmental Peace Alliance were the first to

identify the hole in the fence and later shared the site information with the News Sentinel. Two of the defense lawyers in the case reportedly visited Y-12 last week to get a firsthand look at some of the plant sites and reportedly were shown a different site that Y-12 investigators had determined was the protesters' entry point.

Ralph Hutchinson, coordinator of the peace alliance, said the lingering hole in the fence is significant for multiple reasons.

"First, of course, we should not have large holes in the fence that establishes the first line of defense for our nuclear weapons facilities," Hutchinson said in a statement. A greater concern is how the NNSA and B&W Y-12 are doing their job at the site, he said.

"Since July 28, the public has heard assurances from the secretary of energy (Steven Chu) on down that the Plowshares action was a wake-up call, that security lapses were intolerable, that attention to detail was paramount, that all steps were being taken to address the lessons learned — and yet a hole in the fence had not been discovered, nor had it been repaired," Hutchinson said. "There is no excuse."

The trial of Y-12 protesters is set for May 7 in U.S. District Court.

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SAC  
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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 01/07/2013

[redacted] date of birth [redacted] social security number [redacted] was telephonically interviewed at cellular telephone number [redacted]. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

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b7C

[redacted] is a Security Police Officer 3 (SPO3) Sergeant and has worked for Wackenhut Services Incorporated (WSI) for nine years. When the security breach occurred at Y-12 on July 28, 2012, [redacted] was getting ready for the shift change inside his office. He learned about the incident once it was already underway as someone called him on his work cell phone. [redacted] was in charge of a response team and got the team mobilized and ready to search all of the buildings in the Protected Area, including the Highly Enriched Uranium Materials Facility (HEUMF).

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When he heard that someone was in the Protected Area [redacted] was quite shocked. He has prior military experience so he thought a lot about whether or not the protestors had help, and in his opinion they didn't because they got in at the only spot they possibly could have due to the problems with the cameras and alarms. [redacted] said that folks at that age physically couldn't get in anywhere else and it was an easy location and a quick hit due to the compromised systems. [redacted] said that he couldn't see someone knowing about all of the inoperable systems, as he himself and many of his co-workers didn't know about all of the issues, and then passing that information on to the protestors. [redacted] said that it was certainly a one in a million opportunity. Prior to the incident, [redacted] said that it was possible he had heard of the Plowshares, but he was not sure. He knew that the nun had prior trouble at Y-12 but had never heard of the other two protestors.

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Investigation on 01/07/2013 at Knoxville, Tennessee, United States (Phone)File # 266I-KX-2433548, [redacted] Date drafted 01/07/2013by [redacted]b3  
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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) [REDACTED] on 1/9/2013.**Date:** 01/09/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) [REDACTED] on 1/9/2013.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**On 1/9/2013, [REDACTED]  
[REDACTED]

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b7C  
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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 01/09/2013

**Title:** (U) Knoxville News Sentinel article, 1/4/2013

**Approved By:**

**Drafted By:**

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Judge won't dismiss charges against Y-12 protesters

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## LOCAL/STATE

# Judge won't dismiss charges against Y-12 protesters

## ■ Defense team for trio plans appeal

By Frank Munger  
munger@knoxnews.com  
865-242-0328

In a newly released report, Federal Magistrate Judge C. Clifford Shirley Jr. recommends that a motion to dismiss charges against three Y-12 protesters be denied.

Attorneys for three Plowshares protesters, Greg Boertje-Obed, Michael Walli and Sister Megan Rice, argued for dismissal of charges in a Nov. 20 motion hearing before Shirley in U.S. District Court in Knoxville. A superseding indictment was returned in early December, elevating the earlier charges brought against the protesters — who face up to 35 years in prison if convicted on all three felony charges.

Loyola University New Orleans law professor William Quigley, who is part of the defense team, said Thursday that the defendants would appeal Shirley's recommendation.

The defendants have repeatedly admitted breaking into the Y-12 nuclear weapons plant July 28, cutting through security fences and defacing the site with paint and human blood. However, they said the trespassing, property

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Blog: More on Frank Munger's "Atomic City Underground"

destruction and sabotage charges should be dropped because their nonviolent activities were justified — and even required. They said the work taking place at Y-12 is illegal under U.S. and international laws and threatens the lives of many. They cited the on-going production, processing, and storage of nuclear weapons and materials used in their making. The defense attorneys argued that work at Y-12 actually constitutes a war crime.

"Basically," Shirley wrote in his report, "it strikes the court that the defendants seek the dismissal ... because they contend that some other group or entity — either those operating Y-12 or perhaps the United States government — is committing a separate and distinct crime, which they describe as the production, storage, or threatened use of nuclear weapons."

Although that may provide a possible justification defense for the trial (currently scheduled for May 7), Shirley said he did not find that to be a basis for dismissing an indictment that was properly issued and "legally sufficient."

The magistrate also found that the defendants had no legal standing to challenge U.S. policy on nuclear weapons because "the propriety of nuclear weapons is a political and, therefore, nonjusticiable question." Individual citizens cannot litigate "generalized grievance" such as this, he said.

The defense attorneys used a statement from University of Illinois law professor Francis F. Boyle to support their argument that the weapons work at Y-12 amounts to preparation or conspiracy to

"commit a crime against peace, a crime against humanity or a war crime."

Shirley responded that an expert witness may not testify as to what constitutes law, its meaning or its interpretation. Instead, he wrote, such experts are restricted to testimony that "will help the trier of fact to understand the evidence or to determine a fact in issue."

Furthermore, Shirley said that even if he were to consider Boyle's opinion on the matter, he does not find that production or refurbishment of nuclear

weapons by workers at Y-12 to be "tantamount to the use of nuclear weapons by the United States." The possession of nuclear weapons in order to deter other countries from using weapons against the United States is not the equivalent of "planning or preparation ... to commit a crime against peace," the magistrate wrote.

He added this statement:

"When weapons are possessed to deter others, the precise intent is not to use them. The court considers the situ-

ation to be analogous to the junkyard owner who posts a sign saying 'mean dog' inside his junkyard. The purpose of the sign is not to threaten or commit violence. Instead, the junkyard owner posts the sign to deter acts on the part of others so that the dog will not have to bite."

Quigley took offense at the judge's comparison.

"I think the characterization of nuclear weapons as not a threat to the peace but more like a junkyard dog will come back to bite the government in the end," he said.

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/07/2012

[redacted] date of birth (DOB) [redacted] telephone number [redacted] and who's social security number is [redacted] was interviewed at building 9710-3, Protective Force Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] [redacted] provided the following information:

b6  
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[redacted] has worked at the Wackenhut Services Incorporated (WSI) as a Security Police Officer (SPO) for approximately ten years. [redacted] currently holds [redacted] When the incident occurred on the morning of July 28, 2012, [redacted] was working the "November 1" unit and was specifically on H-road inside of the facility. November 1 is an SRT team that responds to possible threatening situations. In the early morning hours, [redacted] heard radio traffic and level two alarms. He knew something was happening but was unsure of any specific information. He phoned [redacted] supervisor for the November squad and asked what was happening. The first phone call to [redacted] provided no specific information, but a follow up phone call revealed that three persons had breached the complex and were in custody at the HEUMF building. [redacted] then took measures to "sweep" the outer perimeters of the buildings in his area of responsibility. He did not respond to the HEUMF at any time during the incident.

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[redacted] believes the breach was coincidental, but does not think the protestors/trespassers received inside help. He stated the protestors could have prepared for the breach by getting publicly accessible information. He stated that some persons have expressed that the incident was "too coincidental", but [redacted] has not heard of any substantial facts or even rumors regarding insider assistance.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 12/07/2012by [redacted]b3  
b6  
b7C  
b7E

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U//~~FOUO~~) Name check for [REDACTED]  
[REDACTED]**Date:** 01/11/2013b6  
b7C**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** [REDACTED]**Drafted By:** [REDACTED]

**Case ID #:** 62F-HQ-C2300559 (U) NAME CHECK SUMMARY FILE 2012  
62F-KX-C71763 (U) NATIONAL NAME CHECK PROGRAM  
266I-KX-2433548 (U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb6  
b7C  
b7E

**Synopsis:** (U//~~FOUO~~) To report results of Knoxville file review  
regarding [REDACTED]  
with Social Security Account Number [REDACTED]

**Reference:** 62F-HQ-C2300559 Serial 24626**Details:**

A review of Knoxville's file 266I-KX-2433548 and communication with  
the case agent revealed no derogatory information concerning [REDACTED]  
[REDACTED] with Social Security  
Account Number [REDACTED]

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UNCLASSIFIED//~~FOUO~~

Title: (U//~~FOUO~~) Name check for   
Re: 62F-HQ-C2300559, 01/11/2013

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/17/2012

[redacted] date of birth (DOB) [redacted]  
telephone number [redacted] and who's social security number is  
[redacted] was interviewed at building 9710-3, Protective Force  
Headquarters Y-12 National Security Complex, Oak Ridge, Tennessee. After  
being advised of the identity of the interviewing Agents and the nature of  
the interview, [redacted] provided the following information:

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[redacted] has worked for the Wackenhut Services Incorporated  
(WSI) Security for approximately twenty five years. The last seven years  
[redacted] has held the rank of [redacted]. When the incident occurred  
on the morning of July 28, 2012, [redacted] was working in the Wackenhut  
headquarters building when he heard alert tones on the radio. He followed  
the radio traffic closely and understood that someone in the HEUMF building  
inquiring whether construction or maintenance crews were working outside  
the HEUMF building. A short time later he overheard a lockdown type  
situation in which everyone was on high alert and he now understood there  
to be a breach in security at the facility. He arranged for SRT to begin  
clearing buildings. He eventually responded to the scene where the breach  
occurred and learned of the three individuals trespassing.

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[redacted] cannot imagine how the three trespassers made their way so  
deep onto the protected facility without having help of some kind. He has  
worked at the facility for approximately 23 years and has never witnessed  
anything like this. Although his gut tells him the protestors had some sort  
of help, he has not heard any gossip, rumors, nor does he have any  
substantial reasoning as to who, if anyone, did assist the trespassers.

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Investigation on 11/27/2012 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 12/07/2012by [redacted]b3  
b6  
b7C  
b7E

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Name Check Summary File - [REDACTED]**Date:** 01/17/2013b6  
b7C**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 62F-HQ-C2300559

(U) NAME CHECK SUMMARY FILE 2012

62F-KX-C71763

(U) NATIONAL NAME CHECK PROGRAM

266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX

BREAK-IN;

OAK RIDGE, TENNESSEE

JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM**Synopsis:** (U//~~FOUO~~) To report results of Knoxville file review  
regarding [REDACTED]

born [REDACTED] with social security account number

b6  
b7C  
b7E**Details:**A review of Knoxville's file 336I-KX-2433548 and communication with  
the case agent revealed no derogatory information concerning [REDACTED][REDACTED]  
[REDACTED] with social security account number [REDACTED]b6  
b7C

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Title: (U) Name Check Summary File -   
Re: 62F-HQ-C2300559, 01/17/2013

b6  
b7C

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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) ECU 1A: LAB#120925023**Date:** 01/18/2013**From:** LABORATORY

D7-ECU

**Contact:** **Approved By:** **Drafted By:****Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX

BREAK-IN;

OAK RIDGE, TENNESSEE

JULY 28, 2012

  
DT - ENVIRONMENTAL EXTREMISMb6  
b7C  
b7E

b7E

**Synopsis:** (U) ECU 1A: LAB#120925023**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Enclosure(s):** Enclosed are the following items:

1. (U) ECU 1A: Lab#120925023

**Details:**

ECU did not create a Lab Report for this case.

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Physical 1A/1C Cover Sheet for Serial Export

**Created** 266I-KX-2433548

**From:**

Serial 197

**Package:** 1A42

**Stored** None

**Location:**

**Summary:** (U) Lab#120925023 Description of  
Enclosures: 24 Total pages Chain of  
Custody Communication and Activity Log  
Notes ECS Search Slip Shipping Invoice

**Acquired By:**

**Acquired On:** 2013-01-18

**Attachment:** (U) ECU 1A: Lab#120925023

b6  
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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Evid forms to DOE IG.**Date:** 01/18/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) Evidence forms to DOE IG.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**

On 1/17/2013, Department of Energy Office of Inspector General Special Agent [REDACTED] informed writer that Special Agent [REDACTED] would not be working captioned case due to a transfer. [REDACTED] also requested copies of all of the evidence forms entitled, U.S. Department of Energy Office of Inspector General Office of Investigations Property and Document Receipt, because the forms were not in the file. The forms 1 - 14 were faxed on 1/18/2013. [REDACTED] emailed that he was in receipt of the forms.

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Title: (U) Evid forms to DOE IG.

Re: 266I-KX-2433548, 01/18/2013

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 01/22/2013**Title:** (U) CODIS Hit Letter 120925023 PF ACJ**Approved By:****Drafted By:****Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012



DT - ENVIRONMENTAL EXTREMISM

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**Synopsis:** (U) CODIS Hit Letter Q30-maj 120925023 PF ACJ**Enclosure(s):** Enclosed are the following items:

1. (U) 1A material, hit letter 120925023 PF ACJ

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UNCLASSIFIED



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Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548  
Serial 199  
**Package:** 1A43  
**Stored** None  
**Location:**  
**Summary:** (U) Notes  
**Acquired By:**   
**Acquired On:** 2013-01-22  
**Attachment:** (U) 1A material, hit letter 120925023  
PF ACJ

b6  
b7c

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 01/23/2013

[redacted] date of birth [redacted] social security number [redacted] was telephonically interviewed at phone number [redacted] After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] is a Security Police Officer 1 (SPO1) and has worked for Wackenhut Services Incorporated (WSI) since January 2008. When the security breach occurred on July 28, 2012, [redacted] was a SPO2 and was assigned to random patrols and spot checks on the North Ridge. He heard the alarm on the radio but didn't know exactly what was happening. [redacted] was recalled to WSI headquarters and was given instructions to man the Portal 5 checkpoint with [redacted] They were to verify credentials of incoming investigators.

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[redacted] said that he was initially shocked that there were people in the Protected Area but said that the approach that they apparently took through the tree line, allowed them to observe the best place to try to get in, particularly because that's where there was no razor wire and no lights. [redacted] said that it was possible the three protestors had external help from other activists who are in the Oak Ridge area but as far as getting help from inside, he did not know about that. [redacted] wondered how they carried all of their gear and thought they might want to video what they were doing because that is what activists usually do, and they may want a record in the event that they were hurt. He wondered why they would attempt to get in and do all that they did without trying to get a video too.

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[redacted] has limited knowledge about the Plowshares and has heard the name before. WSI gives the employees an annual debrief about violent and non-violent activists, especially before the anniversary of Hiroshima, and the Plowshares was probably a topic then.

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Investigation on 01/11/2013 at Knoxville, Tennessee, United States (Phone)File # 266I-KX-2433548, [redacted] Date drafted 01/23/2013by [redacted]b3  
b6  
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b7E

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 01/23/2013

[redacted] date of birth [redacted] social security number [redacted] telephone number [redacted] was interviewed at the Y-12 Visitor's Center, 602 Scarboro Road, Oak Ridge, Tennessee. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] has worked for Wackenhut Services Incorporated (WSI) for approximately eight years and is a Security Police Officer 2 (SPO2.) When the incident occurred on the morning of July 28, 2012, [redacted] was on shift in a Bear Cat on the south side of the Protected Area. He heard some activity on the radio and then learned that three people were in custody. He did not know the entire details of what happened until later because [redacted] initially thought that the people were from inside, like employees or someone associated with the facility. After hearing the alarm, [redacted] followed procedure for the alarm 2.

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[redacted] said that he believed the three protestors had help because in all instances in the past, attempts at gaining entry to the facility had always been thwarted and the security personnel had always been prepared. [redacted] did not have any specific information about who might have helped the three, all he had heard were rumors about them having help. [redacted] thought that the break-in might possibly be related to corporate sabotage but had no evidence to support that.

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[redacted] said that prior to the incident on July 28, 2012, he had not heard of the Plowshares.

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Investigation on 01/16/2013 at Oak Ridge, Tennessee, United States (In Person)File # 266I-KX-2433548, [redacted] Date drafted 01/23/2013by [redacted]b3  
b6  
b7C  
b7E

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) [REDACTED] on 1/24/2013.**Date:** 01/24/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) [REDACTED] on 1/24/2013.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013**Details:**On 1/24/2013, [REDACTED]  
[REDACTED]

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b7Eb6  
b7C  
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UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 01/25/2013

**Title:** (U) Codis Hit Letter 120925023 PF ACJ

**Approved By:**



b6  
b7C

**Drafted By:**

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012



b7E

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Nuclear DNA Unit CODIS Hit letter 120925023 Q28 PF ACJ

**Enclosure(s):** Enclosed are the following items:

1. (U) 1A Material, Codis Notes 120925023 Q28 PF ACJ

◆◆

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Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 203

**Package:** 1A44

**Stored** None

**Location:**

**Summary:** (U) CODIS Notes

**Acquired By:**

b6  
b7C

**Acquired On:** 2013-01-25

**Attachment:** (U) 1A Material, Codis Notes 120925023  
Q28 PF ACJ

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

**Title:** (U) Y-12 National Security Complex  
Break-in [REDACTED] DT -  
ENVIRONMENTAL EXTREMISM

**Date:** 01/18/2013

b7E

**To:** DG-OPS2\_DTOS DTOU1

**From:** KNOXVILLE

**Contact:** [REDACTED]

**Approved By:**

SSA [REDACTED]

CDC [REDACTED]

ASAC [REDACTED]

A/SAC [REDACTED]

b6  
b7C  
b7E

**Drafted By:** [REDACTED]

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

b3  
b7E

**Synopsis:** (U) SAC authorization for a 6 month extension of captioned Preliminary Investigation.

**Preliminary Investigation Initiated:** 08/01/2012, set to expire 02/01/2013

**Details:**

To document SAC authorization to extend the captioned Preliminary Investigation (PI) for 6 months. This is the first extension of the captioned PI.

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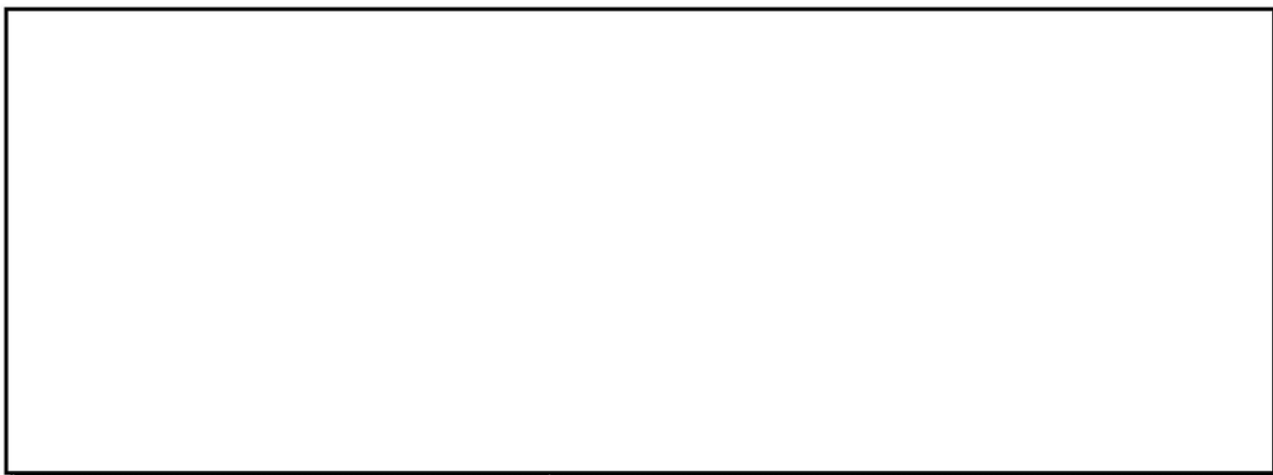
Title: (U) Y-12 National Security Complex Break-in [REDACTED] DT -  
ENVIRONMENTAL EXTREMISM  
Re: 266I-KX-2433548, 01/18/2013

b7E

(U) Knoxville opened captioned Preliminary Investigation based on information that on 07/28/2012, at approximately 4:40am, Y-12 facility security perimeter was breached by three members of the "Transform Now Plowshares." The three USPERS, Megan Rice, [REDACTED] [REDACTED] cut through four fences and were able to gain entry to the Protected Area of the Y-12 National Security Complex (NSC) in Oak Ridge, Tennessee. The three spray-painted the wall of the Highly Enriched Uranium Materials Facility (HEUMF) which contains bomb-grade uranium. The messages read, "Woe to the empire of blood," "The fruit of justice is peace," "Work for peace not war," and "Plowshares pleases Isaiah." They also poured blood on the wall of the facility.

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(U) Rice, [REDACTED] were arrested without incident and were initially charged federally with Trespassing and Damage to Government Property. Since the case was opened, there have been additional charges added to the indictment which, as of 12/4/2012, includes charges of Title 18 Sections 1361, 1363 and 2155. Trial is scheduled for 05/07/2013.

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**Summary of Investigative Actions:**

(U) More than 100 interviews have been conducted, primarily of Wackenhut Services Incorporated (WSI) employees, and none have provided evidence of assistance provided to the three protestors.

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Title: (U) Y-12 National Security Complex Break-in [REDACTED]  
ENVIRONMENTAL EXTREMISM  
Re: 266I-KX-2433548, 01/18/2013

DT -

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(U) Evidence has been sent to the FBI Laboratory for fingerprint and DNA analysis. There were two CODIS hits on the gloves, one for Megan Rice and one for [REDACTED]

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(U) Telephone records have been analyzed with respect to WSI employees, the protestors and protestor supporters. There has not been anything to show that there was any telephonic contact between employees and the protestors.

(U) The video depicting the events of July 28, 2012 has been sent to the Forensic Audio Video Image Analysis Unit for enhancement. The video has not yet been returned.

(U) Based on the above information SAC Knoxville grants a 6 month extension for captioned matter from 01/28/2013 to 07/28/2013.

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UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U//~~FOUO~~) Name Check Summary File - [REDACTED] **Date:** 01/31/2013b6  
b7C**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** [REDACTED]**Drafted By:** [REDACTED]

**Case ID #:** 62F-HQ-C2300559 (U) NAME CHECK SUMMARY FILE 2012  
62F-KX-C71763 (U) NATIONAL NAME CHECK PROGRAM  
266I-KX-2433548 (U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U//~~FOUO~~) To report results of Knoxville file review  
regarding [REDACTED] with social  
security account number [REDACTED]

b6  
b7C  
b7E**Reference:** 62F-HQ-C2300559 Serial 27118**Details:**

A review of Knoxville's pending file 266I-KX-2433548 and  
communication with the case agent revealed no derogatory information  
concerning [REDACTED] with social  
security account number [REDACTED]

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b7CUNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~

Title: (U//~~FOUO~~) Name Check Summary File -   
Re: 62F-HQ-C2300559, 01/31/2013

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**FEDERAL BUREAU OF INVESTIGATION****Evidence Log**

Event Title: (U) 1b

Date: 02/06/2013

Approved By: [REDACTED]

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b7C

Drafted By:

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

b7E

Preliminary Investigation Initiated: 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013

Acquired By: [REDACTED] on 02/06/2013

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Acquired From: (U) FBI lab

Receipt Given?: No

Holding Office: KNOXVILLE

Details: No Details Provided

**Item Type****Description**

1B General

(U) TEU Secondary evidence from items in 1b10

Acquired On: 02/06/2013

Located By: [REDACTED]

Location Area: n/a

Specific Location: n/a

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) CDC semi-annual DT review**Date:** 02/06/2013**To:** [REDACTED]b6  
b7C  
b7E**From:** KNOXVILLE

KX-1

**Contact:** [REDACTED]**Approved By:** CDC [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012b6  
b7C  
b7E[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM**Synopsis:** (U) Semi-annual CDC DT case file review.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013**Details:**CDC [REDACTED] conducted a semi-annual DT case file review on  
02/06/2013. No legal objections were noted.b6  
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Title: (U) CDC semi-annual DT review

Re: 266I-KX-2433548, 02/06/2013

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 02/11/2013**Title:** (U) Article in the Tennessean**Approved By:****Drafted By:****Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Nun broke into Oak Ridge to bring "truth".

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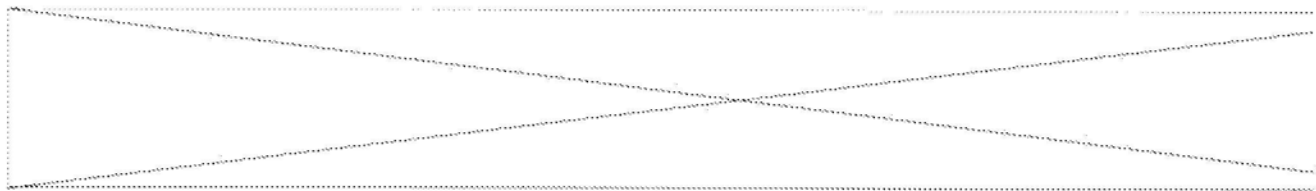
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[X]

## Nun broke in to Oak Ridge to bring 'truth'

Feb 3, 2013 | 9Comments

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Anti-nuclear activist sister Megan Rice: Sister Megan Rice talks about the break-in at the Y-12 complex in Oak Ridge.

Written by  
S.L. Alligood | For The  
Tennessean

About 2:30 a.m., the unlikely trio of interlopers approach the first fence, aided by flashlights and, as they would later boast, Divine Guidance. They hear no alarms, no shouts from the armed guards,

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266I-KX-2433548



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just the hum of a Tennessee night, chirping insects in the nearby woods, backlit by a not quite full moon above their heads.

The two men, one, 63, and the other, 57, and the woman, 82, do not pause to reconsider their first act of federal trespass. They are present on this July night to do a job — in their eyes, a holy service.

The 57-year-old retrieves a pair of bolt cutters from a backpack and begins snipping at the heavy-gauge fence. Link by link, the braided wire yields to the cutting tool's bite, until a triangular section at ground level is pushed open. The trio listens for sirens, flashing lights, guards running, surely some response, but it doesn't come.

So Greg Boertje-Obed, a "nondenominational Christian" activist from Minnesota, Michael Walli, who has spent decades participating in Catholic Worker communities, and Sister Megan Rice, a nun, crawl through the breach in the perimeter fence at the Y-12 National Security Complex. The campus is home to America's supply of weapons-grade uranium.

Just after 3 a.m. on the morning of Saturday, July 28, 2012, the threesome clear the compound's outer fence.

By the end of the day the anti-nuclear activists will be famous and infamous, their moxie lionized and pilloried. Ripples of consternation will flow in all directions from Washington, D.C., as elected leaders demand to know how an 82-year-old nun and two middle-aged companions outwitted the security system at, supposedly, one of the most secure sites of the United States government. Meanwhile, anti-nuclear



Sister Megan Rice and two other activists broke into a uranium storage facility in Oak Ridge last summer. 'I had prepared myself, if I was called upon, to die for this truth,' she says. / Joe Brier

#### About Y-12

The Y-12 National Security Complex in Oak Ridge specializes in the processing and storage of uranium.

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Oak Ridge security breakdown was wake-up call

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organizations will hail the Y-12 transgressors as heroes.

But on a balmy July night in the once-secret city of Oak Ridge, the trio moves forward, too focused on their task at hand to fret.

In the glow of security lights, they reach a second fence.

And begin cutting.

## Coming to understand

Megan Rice is seated at the corner of a makeshift dining table in a room suffused with the light of a winter day streaming in from a pair of tall windows to her left. On the window sill, Gato the cat, an ancient gray and black-striped tabby, reigns.

Rice is 5-foot-5, or thereabouts. Her short graying hair features curving bangs that dip to just above her eyebrows. She has a friendly visage, a face creased by wrinkles that seem to imply a life of smiles.

The setting is the Dorothy Day Catholic Worker House in northwest Washington, D.C. It is a place of respite for activists working on a variety of social issues. (The D.C. house's namesake was an early protester of nuclear proliferation. Dorothy Day, who died at the age of 83 in 1980, was arrested numerous times.) The house, light blue with a tidy small yard and a narrow stoop, is on the corner of a tree-lined block. Rice, who lives nearby, says she comes to the house nearly every day for morning prayers. Walli, one of her two indicted co-conspirators in the Y-12 break-in, lives at the Day House, which he has called home for most of 26 years.

With a chain of delicate peace cranes (origami, the gift of child visitors) hanging over the table and framed images of famous

OPEN

activists, including Mahatma Gandhi and Martin Luther King Jr., posing on the wall behind her, Rice begins her story.

She was born and raised in Manhattan, a short distance from Barnard College and Columbia University. Her father, Frederick, was an obstetrician and gynecologist who maintained a busy practice and also taught at New York University. Her mother, Madeleine, received an undergraduate degree from Barnard, where Margaret Mead was a classmate, and then advanced to Columbia for a master's and doctorate in history.

Both of her parents were progressives whose oft unpopular stances on racial equality and treatment of the poor pre-dated the civil rights era. Her mother's doctoral thesis was a survey of American Catholics and slavery, a controversial subject for its time, to be sure.

Neither her mother nor father was afraid to challenge the status quo, Rice says. Their example of serving the unfortunate and fighting injustices was accepted unquestioningly by her and her two older sisters.

"We just took it for granted at the time," Rice told an interviewer in 2005.

She was 15 when the Enola Gay dropped the atomic bomb on Hiroshima, Aug. 6, 1945, followed three days later by the bombing of Nagasaki, but says her family did not initially understand the implications of nuclear deployment. Her mother's first reaction was relief that her brother, Walter, would not have to invade Japan with his Army unit. Rice remembers only the joy of knowing peace was at hand.

Her uncle, who did go into Nagasaki with the American occupation force, reshaped her thinking.

"He spent more than four months seeing what had happened. In the ensuing decades we were made aware of the terror that was wrought on the people of Nagasaki," Rice says.

"We knew that this was something that could never be used again."

### **Moving ahead**

Boertje-Obed makes quick work of the second fence, creating a flap in the wire they can squeeze through. While he cuts the fence, Walli posts a sign that reads: "Swords into Plowshares," a reference to *Isaiah* 4, verse 2, and also to the Plowshares anti-nuclear movement, which Rice, Boertje-Obed and Walli support.

Again, they pause to listen. The trio assumes that video cameras are recording their progress, that ground sensors are noting their location. Yet, more than one hour into their task, they again hear no alarms or sirens. To their relief and surprise, a platoon of security guards do not appear.

### **The attraction**

Her calling to holy service did not come in dramatic fashion, no dreams, visions or voices, Rice says.

"I felt many moments of being invited, of being attracted. I wasn't analyzing it: Is this what God is saying to me? But I certainly was responding to an attraction. The attraction of being able to do something to repay the unfair treatment allocated on African-Americans," she says, no doubt the influence of her mother's dissertation, which was published in book form in 1944.

"Naturally, she would tell us when she would find out these things that were happening to African-American people. It was just a natural (reaction) that this is unfair, this is wrong," she recalls.

When the nun/teachers at her high school shared the need for teachers at new schools in Africa, Rice recalls her immediate reaction: "Go there and help." At 17, she began the process to enter the Society of the Holy Child Jesus, an order whose 400 or so sisters serve around the globe, teaching and caring for the poor.

"There were 12 years of preparation. They were important years because I learned how to teach," she says.

Rice received a bachelor's degree in biology from Fordham University in 1957, then a master's in biology from Boston College five years later. Afterward she departed for Nigeria. The conditions there were austere: no electricity, no running water, and she had to sleep in the classroom. Even so, she relished the work with the students, girls, for whom many were the first in their families to attend high school.

Yet, nuclear proliferation both in the United States and abroad continued to be a concern even as she was living in a remote Nigerian village. Her uncle, Walter Hooke, was involved in "nuclear vets" organizations, which advocated for veterans like himself who had entered Nagasaki and Hiroshima in the fall of 1945. Some soldiers had already died from diseases believed to have been caused by fallout exposure.

"I always kept up with the literature like the Bulletin of Atomic Scientists and Defense Monitor," she notes. Uncle Walt sent her copies.

From her outpost in Nigeria, Rice says she began to formulate an opinion that America's and the world's intransigence to stop nuclear armament must be corrected, particularly in light of pervasive poverty.

"The connection between putting so much money and emphasis into a country's security and, shall we say, trying to become the most prominent nation in the world, has had a huge cost to many of its citizens," she says, hands folded in her lap.

"It just seemed the priorities were totally askew."

Near the end of a respite visit home to New York City in 1982, Rice participated in her first demonstration.

She remembers it was Good Friday. A group of 60 to 80 people walked from the United Nations to the headquarters of Riverside Research Institute, a large military contractor. Police vans were waiting there.

"We stood there watching some of the people who were prepared to do civil resistance walk into Riverside Research," she recalls. Among those who trespassed was Father Daniel Berrigan, a Catholic priest and founder of the Plowshares Movement, who has been arrested dozens of times and spent more than a decade incarcerated for anti-nuclear activism.

"After a while these people were begun to be carried out to the police vans that were waiting," she says.

"I said to myself, I said it out loud: How can they do this? Someone carrying these people away to be arrested, trying to express the truth on a day like Good Friday, in which the leader of Christianity gave up his life for speaking the truth."

She returned to Africa, knowing she would join the front line of activism in the future. Four years later, in 1986, she left Nigeria to care for her aging mother and soon thereafter, as she recalls, "became fully involved."

She was arrested numerous times at anti-nuclear and anti-poverty demonstrations in New York, but the charges were always dismissed in court. On several occasions, Rice's mother, then in her 80s, joined her.

"Both of us felt this is one way we could say this is wrong and try to express the truth, that we should not be doing this to the people, the land, the air, the water, all that lives there," the nun says resolutely.

In 1987, she took her activism to a new level, traveling to the desert for protests at the vast Nevada Test Site, where the government conducted hundreds of nuclear weapon tests from the 1950s until the mid-1990s. She returned to the desert demonstrations for many years.

"We would go out to the test site in the middle of the Nevada desert. We were first called out on the anniversary of Dorothy Day's



90th birthday. About 200 people from all over the country," Rice remembers.

On one occasion, she and others sat in the road to block a truck they believed to be carrying components for an underground nuclear test. Security officers picked them up, placed them in a holding area and later released them.

With her mother's situation improved, Rice returned to Africa in 1990 for a six-year stint in Ghana, a period of her career she recalls with fondness. But she disliked that progressive issues waned in America. When she came home in 1996, Rice continued to attend protests in Nevada, but also joined a protest at the School of the Americas at Fort Benning in Columbus, Ga.

SOA is a training program for military officers from Latin America. SOA has a nickname among its detractors: "school of assassins." Rice remembers her outrage from 1980 when three nuns and a female lay missionary were raped and killed in El Salvador. Several of the officers involved in the killings were graduates of the SOA program, according to news reports from the day.

In 1998, Rice and two dozen others were arrested during a protest at Fort Benning. Rice was given a six-month jail term, which she served at the Danbury Federal Prison Camp in Connecticut.

Two years later, another SOA protest was held at Benning. This time she was one of 10 who trespassed onto the sprawling Army post. At a sentencing hearing in federal court, most of the other protesters received sentences of three months in jail and fines of \$1,000. However, because she was a repeat offender, Rice received six months in prison plus a \$5,000 fine. When she defiantly told the judge she could not pay the fine and would not "self-surrender" at a correctional facility, the judge ordered her into custody that day.

Rice found incarceration to be uniquely liberating.

"To me when one is in prison, one is with the huge number of people in this country, the largest number of people in prison of any country in the world. It's positive and it's good to share that with those people."

Cell bars and estrangement from society do not intimidate her, she says.

Neither does dying in a jail cell.

### **The planning**

Greg Boertje-Obed (pronounced Bort-juh O-bed) lives in Duluth, Minn.

"We're having a warm day today. It's 37," says Boertje-Obed via a recent phone interview. "It was 20 below a couple of days ago."

Photos of him show a man, 5-foot-7, with a full beard heavily flecked with white and gray. He has spent most of his adult life serving several activist communities, in Louisiana, Maryland and, now, Minnesota.

It was in Maryland, at Jonah House near Baltimore, that he met Rice.

"We learned that the government was planning to build three new nuclear weapons factories across the U.S., even though we signed treaties saying we were going to stop building nuclear weapons," Boertje-Obed says.

"So Megan and I searched and prayed. We consulted with others, and we felt Oak Ridge was the place God wanted us to go," he says.

Like his compatriots, Boertje-Obed believes life is a spiritual mission and, sometimes, that mission leads to uncomfortable challenges.

Like breaking into Y-12 at the risk of being shot.



"We talked about it in advance on many occasions, and we all felt our consciences were leading us to risk our lives. We didn't feel that if we were shot that they would be taking (our lives)," he says.

Instead, the activist says, dying by deadly force would be giving of their lives.

"We think that's a distinction that Jesus made and the greatest love a person can have, according to the New Testament, is to lay down your life for others. That's what was motivating us, the love we had for others," he notes.

### **The muscle**

Michael Walli says his name then spells his surname: "It's 'wall' with an 'i' at the end of it. W-a-l-l-i."

His voice offers the opposite timbre of Rice's, which modulates in soft tones, even when she's making her most determined point. Walli is louder. He isn't diplomatic or reserved, frequently referring to Y-12 as a "terrorist site." He often stretches individual syllables, like so much rhetorical taffy being pulled. In addition, he is a man of one-liners.

Question: Where are you from?

Answer: "I'm from heaven, my future home."

Walli sits at the makeshift dining room table in the Day House, where he has lived for more than the past two decades. He says he first met Rice and Boertje-Obed last year.

"He and Sister Megan were animated, enlightened by the Holy Spirit to act upon Jesus' counsel about being blessed peacemakers. So they decided to do a peacemaking work in a very needy area of the vineyard of the Lord, at the Y-12 Oak Ridge, Tennessee, nuclear weapons of mass destruction site," Walli says.

He notes that Rice and Boertje-Obed "scoured the country" seeking helpers and supporters.

"When they approached me, they needed someone to carry the backpack. So I thought I would assist them," Walli says.

He has no regrets about his visit to Tennessee.

"As a Christian, I pray often, you know, that 'Our Father prayer,' that I want God's will to be done here on Earth as it is in heaven. ... I am absolutely, positively convinced, without any question in my mind, Jesus as an administrator of policy does not have nuclear warheads in heaven," he says.

That's not a one-liner, Walli notes. He believes man has strayed from God's plan for humanity.

The risks of breaking into Y-12 were apparent from the outset, he adds. "We knew that we were getting into the area inside the various fences and electronic sensors and so forth. ... We knew it was a lethal force zone and conducted our missionary work with the knowledge that we could potentially die."

Question: It didn't scare you?

Walli: "Well, it was a very emotive experience. But, uh, we proceeded with the work at hand."

### **Of candle flame, bread and blood**

The trio reaches their objective: the Highly Enriched Uranium Manufacturing Facility. In a little more than two hours, four fences are breached. At the third fence, Walli purposely cut a wire believed to be a motion sensor. Still, nothing seems amiss. No flashing blue lights.

Rice gathers the elements for the service the trio plans to observe: a quantity of human blood, donated by others friendly to the cause, a loaf of bread and two candles.

The blood is splashed at head height by all three onto the white wall of the enrichment plant, creating a splatter of crimson dots from which streaks of red tentacles trickle to the ground. Boertje-Obed and Walli spray paint messages: "The fruit of justice is peace"

and "Woe to the empire of blood." Meanwhile, Rice stretches a length of red "crime scene" tape between metal stanchions. All three take turns hammering away a layer of concrete on a corner of the structure.

The last time Rice glances at her watch, the time is 4:45 a.m. Still no guard in sight.

### **Prepared to die**

Much has been made of the nun and her two buddies who went low-tech to make a mockery of the high-tech security at Y-12. Why not picket outside the gate on public right of way? Why not do anything but trespass and, in so doing, avoid the risk of, in Rice's case, a life sentence in prison?

The sister ponders the question for a moment.

Her answer is simple: Just as she was powerfully attracted to ministry in Africa, she is equally committed to exposing what she views as the folly of nuclear proliferation. If her means of distributing her message are unorthodox, she offers no apologies.

"I felt I was at a stage when I could try to make a louder message, to express a louder message," she says.

Oak Ridge was chosen because she had learned of activities there through a 2010 conference at Maryville College when activists celebrated the 30th anniversary of the first Plowshares demonstration and the 30th anniversary of two newspapers, The Nuclear Resister and Nuke Watch.

"We felt this was our obligation to make known" what was happening at Y-12, she says.

The date, July 28, was chosen both for convenience and because it was near the anniversary dates of the bombing of Hiroshima and Nagasaki.

"So much blood has been shed or would be shed by any of the (nuclear) weapons. ... I had prepared myself, if I was called upon,

to die for this truth. I would certainly be willing to die for such a message," she says.

Rice bristles when the events of last July are called an act of civil disobedience. She believes America's nuclear weapons program is at cross purposes with providence's plan for humanity and peace on earth.

"I can't accept the expression of civil disobedience when we were obeying the stated laws of this country and of international laws and treaties. When a citizen knows of a crime being committed, that person has an obligation to oppose it and to reveal it. I would call it civil resistance, or civil obedience, clearly."

### **Breaking bread**

The blood is on the wall. The spray painting is done. Still, there's no sight of any guard.

According to Rice, about 20 minutes pass.

A security car approaches, stops and a man exits. He's talking on a phone. She hears him say: "They are peace protesters. You better send people."

He approaches closer to the trio. They take turns reading the prepared statement written for the occasion. The guard doesn't stop them.

They begin to sing. "This Little Light of Mine" is first, with lyrics changed to "let it shine all around Y-12." Next they launch into "Down by the Riverside," also with adapted lyrics.

After reading the statement and singing, Rice breaks the bread, a symbol of "our common humanity," among the three of them. She invites the guard to partake. He stays put.

The threesome light candles, their symbol of truth.

Other security guards arrive.

The nun and her partners are handcuffed and questioned. By the time they are carted off for booking, the rising sun has crested the mountains. The Highly Enriched Uranium Manufacturing Facility becomes a true crime scene, an irony not lost on the Y-12 three.

### **Waiting**

Rice and Walli are now a year older. Walli turned 64 soon "after the three of us protested at the U.S. government terrorist site in Oak Ridge, Tennessee."

Rice was 83 on Jan. 31.

Boertje-Obed won't be 58 until a few days after their day in court in May.

Since the summer, a federal grand jury in Knoxville returned an additional indictment against the three. In addition to being charged with destroying property and depredation against U.S. property, the trio has been indicted for "injuring national defense premises," which carries a maximum prison term of 20 years.

All say their pleas will remain "not guilty."

They remain unrepentant, confident, justified.

"We didn't do what we did for the sake of getting elected into political office or to get our names in the paper, or (for) name recognition in the media sense," Walli says.

"We were mission oriented."

Boertje-Obed remembers a moment of awe after throwing the blood and painting the signs. "The predominant thought for me was that God helped us. God had led us through. God had blinded their eyes somehow. They didn't shoot us. We had done everything we really wanted to," he says.

Their defense at trial, the trio says, will include an examination of nuclear treaties the U.S. has allegedly broken.

Also, they were directed by God.

Rice says Tennesseans should “wake up” and demand a halt to creating and stockpiling more fissionable material.

“It’s not too late to rise up and invite the appropriations given to maintain Oak Ridge National Laboratory to transform their use into projects, into buildings, into industries we all need ... that are fulfilling real needs of real people. That can be done. Let us transform this facility and (produce) creative new projects that are important, that will produce far more jobs and a far more sustainable economy.”

Swords into plowshares.

All things are possible, the sister says.

Look what happened last July.

Tweet 4

0

[View Comments \(9\)](#) | [Share your thoughts »](#)

#### TOP VIDEO PICKS



School bus fight captured on video, recorder sus...  
Feb 7, 2013



Couple faces jail for nursing a fawn back to ...  
Jan 31, 2013

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U//~~FOUO~~) Name Check for [REDACTED]  
[REDACTED]**Date:** 02/12/2013b6  
b7C**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** [REDACTED]**Drafted By:** [REDACTED]

**Case ID #:** 62F-HQ-C2300559 (U) NAME CHECK SUMMARY FILE 2012  
62F-KX-C71763 (U) NATIONAL NAME CHECK PROGRAM  
266I-KX-2433548 (U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb6  
b7C  
b7E

**Synopsis:** (U//~~FOUO~~) To report results of Knoxville file review  
regarding [REDACTED] born

[REDACTED] with social security account number  
[REDACTED]**Reference:** 62F-HQ-C2300559 Serial 28545**Details:**

A review of Knoxville's pending file 266I-KX-2433548 and  
communication with the case agent revealed no derogatory information  
concerning [REDACTED] born

[REDACTED] with social security account number  
[REDACTED]b6  
b7CUNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~

Title: (U//~~FOUO~~) Name Check for   
Re: 62F-HQ-C2300559, 02/12/2013

b6  
b7c

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UNCLASSIFIED//~~FOUO~~



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**FEDERAL BUREAU OF INVESTIGATION****Evidence Log**

Event Title: (U) 1b

Date: 02/12/2013

Approved By:

Drafted By:

b6  
b7C

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

Preliminary Investigation Initiated: 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013b6  
b7C  
b7E

Acquired By: on 02/07/2013

Acquired From: (U) FBI Lab, OTD, 1b9

Receipt Given?: No

Holding Office: KNOXVILLE

Details: No Details Provided

**Item Type**

1B CART

**Description**(U) Derivative evidence from OTD:  
1 DVD-R labeled DE2, 1 CDR labeled DE1, 1 envelope  
labeled one set of eight processed image prints.  
Acquired On: 02/07/2013  
Located By:  
Location Area: n/a  
Specific Location: n/a  
Device Type: Mass Storage Device  
Number of Devices Collected: 3b6  
b7C

UNCLASSIFIED

UNCLASSIFIED

Title: (U) 1b

Re: 266I-KX-2433548, 02/12/2013

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Meeting with AUSAs 2/14/2013.**Date:** 02/15/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) Meeting with AUSAs 2/14/2013.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013**Details:**

On 2/14/2013, writer met with AUSAs [REDACTED]

[REDACTED] DOE Special Agents [REDACTED]

SA [REDACTED] and writer plan to meet on 2/22/2013 to discuss

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b6  
b7C  
b7Eb3  
b7Eb5  
b6  
b7C

UNCLASSIFIED

Title: (U) Meeting with AUSAs 2/14/2013.

Re: 266I-KX-2433548, 02/15/2013

dividing the media documentation.

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 02/15/2013

Title: (U) [ ] Corrected

b6  
b7C

Approved By: SSA [ ]

Drafted By: [ ]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[ ]

DT - ENVIRONMENTAL EXTREMISM

b6  
b7C  
b7E

Synopsis: (U) Transcript of Jail call [ ] corrected.

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UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

**Title:** (U//~~FOUO~~) Review of evidence returned from  
Lab.

**Date:** 02/19/2013

**From:** KNOXVILLE  
KX-10

**Contact:** [REDACTED]

**Approved By:** SSA [REDACTED]

**Drafted By:** [REDACTED]

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U//~~FOUO~~) A review of evidence returned from lab was  
conducted on 02/19/2013.

**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013

**Details:**

On 02/19/2013, SA [REDACTED] reviewed copies of all items  
contained in 1b17, Lab number 120925253. These items included still  
photographs, a DVD and a CD-R of the captioned Y-12 Intrusion. The  
original video recordings were sent to the lab for video enhancement.

FBI Knoxville received and accepted the original video back into  
custody on 02/07/2013. This video will be maintained there as evidence  
for trial.

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b6  
b7C  
b7E

b7E

b6  
b7C

UNCLASSIFIED//~~FOUO~~

Title: (U//~~FOUO~~) Review of evidence returned from Lab.  
Re: 266I-KX-2433548, 02/19/2013

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UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 02/19/2013

Title: (U) [REDACTED] Corr

b6  
b7C

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb3  
b6  
b7C  
b7E[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNSynopsis: (U) Transcript of jail call [REDACTED] corrected  
version.

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 02/27/2013

Title: (U) Jail call [REDACTED] Corr

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) Jail call [REDACTED] Corrected version

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b6  
b7C  
b7Eb3  
b6  
b7C  
b7E

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UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U//~~FOUO~~) Name Check Summary File - [REDACTED]  
[REDACTED]**Date:** 03/01/2013b6  
b7C**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** [REDACTED]**Drafted By:** [REDACTED]

**Case ID #:** 62F-HQ-C2300559 (U) NAME CHECK SUMMARY FILE 2012  
62F-KX-C71763 (U) NATIONAL NAME CHECK PROGRAM  
266I-KX-2433548 (U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U//~~FOUO~~) To report results of Knoxville file review  
regarding [REDACTED]  
with social security account number [REDACTED]

b6  
b7C  
b7E**Reference:** 62F-HQ-C2300559 Serial 30777**Details:**

A review of Knoxville's file 266I-KX-2433548 and communication with  
the case agent revealed no derogatory information concerning [REDACTED]  
[REDACTED] with social  
security account number [REDACTED]

b6  
b7CUNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~

Title: (U//~~FOUO~~) Name Check Summary File -   
Re: 62F-HQ-C2300559, 03/01/2013

b6  
b7c

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UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: EMAIL

Date: 03/01/2013

Title: (U) [REDACTED]

Approved By: A/SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) [REDACTED]

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b6  
b7C  
b7Eb3  
b6  
b7C  
b7EUNCLASSIFIED//~~FOUO~~

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 02/28/2013

b6  
b7C  
b7E

Title: (U) Jail call [REDACTED]

Approved By: A/SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
b6  
b7C  
b7E

Synopsis: (U) Jail call [REDACTED]

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 02/28/2013

Title: (U) Jail call [REDACTED] Corrected

Approved By: A/SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) Jail call [REDACTED] Corrected

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b6  
b7C  
b7E

b3  
b6  
b7C  
b7E

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UNCLASSIFIED//~~FOUO~~

## FEDERAL BUREAU OF INVESTIGATION

Date of entry 03/01/2013

[redacted] the new protective force at Y-12, telephone number [redacted] was telephonically interviewed. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b6  
b7C

[redacted] said that she has worked for the last three years in [redacted] and just moved to Knoxville for [redacted] at Y-12. [redacted] did not say that [redacted] date of birth [redacted] social security number [redacted] said that he assisted Megan Rice. What [redacted] did tell [redacted] was that he was sympathetic to the cause of Megan Rice and the other two who broke into Y-12. [redacted] knew details about how the three got into the complex, how they used blood on the walls, and that their cause was to expose the United States wrongdoing in actively building nuclear weapons at Y-12. [redacted] said that [redacted] told her he knew Rice, [redacted] and that they were opposing the United States being in violation of the international arms treaties.

b6  
b7C

[redacted] said that she only met [redacted] in person twice and that he seemed like the kind of person who likes to talk and make himself sound like he knows more than he does.

b6  
b7CUNCLASSIFIED//~~FOUO~~Investigation on 03/01/2013 at Knoxville, Tennessee, United States (Phone)File # 266I-KX-2433548, [redacted] Date drafted 03/01/2013by [redacted]b3  
b6  
b7C  
b7E

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** DEL-REX

**Date:** 03/05/2013

**Title:** (U) lab #120925253 ADO

**Approved By:**

b6  
b7C

**Drafted By:**

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

b7E

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) re: 9/20/12

**Enclosure(s):** Enclosed are the following items:

1. (U) lab #120925253 ADO, retained at ERF

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Physical 1A/1C Cover Sheet for Serial Export

**Created** 266I-KX-2433548

**From:**

Serial 221

**Package:** 1A45

**Stored** None

**Location:**

**Summary:** (U) lab #120925253 ADO, [REDACTED] retained at ERF - 2 pgs lab report, 1 pg coc, 1 pg comm log, 7 pgs case notes, 1 pg search slip, 2 pgs shipping invoice, 1 pg review form, 4 pgs request documentation, 1 DVD-R file copy of images, 1 DVD-R file copy of processed video with highlighted area of interest

b6  
b7C

**Acquired**

**By:**

**Acquired** 2013-02-28

**On:**

**Attachment:** (U) lab #120925253 ADO, retained at ERF



Federal Bureau of Investigation  
Digital Evidence Laboratory

Operational Technology  
ERF Building 27958A  
Quantico, Virginia 22135

**REPORT OF EXAMINATION**

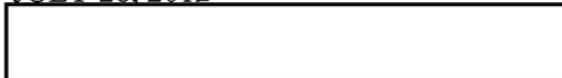
To: Knoxville  
KX-10  
Attention:   
Date: February 28, 2013  
Case ID No.: 336I-KX-2433548  
Lab No.: 120925253 ADO

b6  
b7C

Reference: Communication dated September 20, 2012

Ref. No.: Serial 38

Title: Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012



DT - ENVIRONMENTAL EXTREMISM

b7E

Date specimen received: September 25, 2012

Specimens:

Q21 One (1) DVD marked in part "Master #2697 11min 55sec video Security Camera Clips" (1B9)

Request:

SA  of the Knoxville Field Office requested through an electronic communication dated September 20, 2012 that the Forensic Audio, Video and Image Analysis Unit enhance the video contained on specimen Q21.

b6  
b7C



Opinions and Conclusions:

None

Summary of Examination:

Specimen Q21 was reviewed to locate the areas of interest. The Q21 video was electronically processed and eight images and one video were produced. The processed images were recorded to a CD-R and labeled DE1 and the processed video was recorded to a DVD-R and labeled DE2.

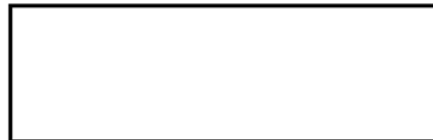
Details of Examination:

Specimen Q21 was reviewed and found to contain one video file. The areas of interest were located, electronically processed and eight images as well as one video were produced. The processed images were recorded to a CD-R and labeled DE1 and the processed video was recorded to a DVD-R and labeled DE2. Two copies of DE1 were produced and labeled working copy. Three copies of DE2 were produced of which two were labeled working copy and one was labeled file copy. Two sets of eight video image prints were prepared using the processed image files. One DVD-R was produced containing the Q21 video as well as the exported and processed images and labeled file copy. The file copies will be retained in the file.

Disposition of Evidence:

Specimen Q21, DE1, DE2, the DE1 working copies, the DE2 working copies, and the two sets of image prints were forwarded to your office via FedEx on February 6, 2013.

Examiner:



b6  
b7C

Operational Technology Division  
Forensic Audio, Video and Image Analysis Unit

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 03/05/2013

Title: (U) Jail call [REDACTED]

b6  
b7C

Approved By: A/SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012  
[REDACTED]DT - ENVIRONMENTAL EXTREMISM  
[REDACTED]b3  
b6  
b7C  
b7EY-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) Jail call [REDACTED]

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UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 03/05/2013

Title: (U//~~FOUO~~) [REDACTED] Jail call

Approved By: A/SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNSynopsis: (U//~~FOUO~~) [REDACTED] Jail call

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UNCLASSIFIED//~~FOUO~~b3  
b6  
b7C  
b7Eb3  
b6  
b7C  
b7E

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Attempt to locate [REDACTED]**Date:** 03/01/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** A/SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
b6  
b7C  
b7E**Synopsis:** (U) Attempt to locate [REDACTED]with DOE IG Agent [REDACTED]  
[REDACTED]**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013**Details:**

On 3/1/2013, writer met with DOE IG Special Agent [REDACTED] to  
locate and interview [REDACTED] social  
security number [REDACTED]  
[REDACTED] name was not on  
[REDACTED] nor was he located at [REDACTED]  
[REDACTED] and writer will attempt to interview [REDACTED] at his residence at a  
later date.

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b7CUNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~

Title: (U) Attempt to locate   
Re: 266I-KX-2433548, 03/01/2013

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b7C

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UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Meeting with USAO and DOE.**Date:** 03/11/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
b7E**Synopsis:** (U) Meeting with USAO and DOE on 3/11/2013 for trial prep.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013**Details:**On 3/11/2013, writer met with DOE Special Agent [REDACTED] and  
Assistant United States Attorneys [REDACTED]b5  
b6  
b7CUNCLASSIFIED//~~FOUO~~



UNCLASSIFIED//~~FOUO~~

Title: (U) Meeting with USAO and DOE.

Re: 266I-KX-2433548, 03/11/2013

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UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 03/20/2013**Title:** (U) Knox News Sentinel article "Y-12 protesters tripped alarms"  
3/13/13**Approved By:** **Drafted By:** **Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012  
b6  
b7C  
b7E

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Knox News Sentinel article "Y-12 protesters tripped  
alarms" 3/13/13

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UNCLASSIFIED

# Y-12 protesters tripped alarms

## Unveiled reports shed light on breach

By Frank Munger  
mungerf@knoxnews.com  
865-342-6329

OAK RIDGE — As three protesters moved deeper and deeper into "Zone 63," the last buffer before

knoxnews.com

Blog: Read more at Frank Munger's "Atomic City Underground."

they reached the inner sanctum of the Y-12 nuclear weapons plant, their movements were triggering alarms like crazy, documents released to the News Sentinel show.

Even though a critically important camera wasn't working the morning of July 28, 2012, when the unprecedented security breach occurred, three different types of motion sensors and detectors were active and sending continuous alerts to the plant's Central Alarm Station as the protesters — collectively known as Transform Now Plowshares — cut

through fences in the pre-dawn darkness.

"During the Security Event, the intruders entered the PIDAS (perimeter intrusion detection and assessment system) by cutting through the fences at Zone 63," B&W Y-12, the managing contractor, said in a Sept. 10 response to the government's "show-cause" order that threatened to remove

the company's contract in the wake of the incident.

"Alarms sounded from four microwave sensors (after the intruders cut through the first fence), then second and third types of alarms sounded shortly thereafter from fiber optic and electromagnetic sensors. The triggering of multiple alarms,

See Y-12, 10A

## Y-12 from 1A

especially the fiber optic alarms signaling the cutting of the middle PIDAS fence, suggested that a pathway was being followed."

B&W's 102-page document was released to the News Sentinel in response to a Freedom of Information Act request filed last year. Although some sections were redacted for national security reasons, the document provides the most detailed report of the incident yet to be made public.

According to procedures in place, the alarms should have resulted in a patrol team being dispatched to the break-in location before the Plowshares protesters reached the plant's so-called Protected Area. But that didn't happen for a variety of reasons, including a monitoring system that was new and difficult to read and a supervising lieutenant who got distracted by questions about an upcoming shift change and an alarm at another location in the plant, according to the report.

includes a long list of its accomplishments, both before and after the July break-in by Sister Megan Rice, now 83, and accomplices Michael Walli, 64, and Greg Boertje-Obed, who face multiple felony charges for their actions at Y-12.

The report accounts for repairs to security equipment, including a broken camera that would have given a clear view of notorious Zone 63 had it been working at the time.

But the most intriguing parts of the report deal with the break-in itself and how the trio of faith-based protesters gained access to Y-12's 150-acre Protected Area, often termed the Fort Knox of Uranium and once considered among the world's most secure operations.

By the time the WSI team finally dispatched a security police officer to check out the situation at the Highly Enriched Uranium Materials Facility, the protesters had already done their damage — splashing blood on the walls of the uranium facility, spray-painting peace messages, and using small hammers to symbolically chip away at the massive

The Y-12 contractor, a partnership of Babcock & Wilcox and Bechtel National, blamed many of the security failures on WSI-Oak Ridge, which was the protective force contractor at the time of the incident. Following the breach, WSI was demoted to a subcontractor, reporting to B&W, and ultimately lost its Y-12 contract entirely.

B&W Y-12 did not have its contract revoked, although the National Nuclear Security Administration said it was not fully satisfied with the contractor's initial response to the show-cause order.

Babcock & Wilcox later lost its bid to keep the Y-12 contract as part of a competition that combined the contracts for managing Y-12 and the Pantex nuclear weapons facilities. B&W Y-12, however, is still the plant's managing contractor because the new contract — awarded earlier this year to a team headed by Bechtel and Lockheed Martin — is under protest.

The show-cause response put together by the longtime Y-12 contractor

building's corner.

Some of the report's strongest criticism was leveled at the first officer who arrived at the scene. The individual was referred to as "62 Patrol," but it's apparently a reference to Kirk Garland, a 52-year-old guard who was fired following the incident.

B&W Y-12 provided this description from an internal report by WSI:

"We will not defend the indefensible. The ineffective response of 62 Patrol upon arriving at the HEU-MF and finding the intruders is beyond defense. It is painful and embarrassing for us to view the video images of 62 Patrol pulling up to the protesters in his vehicle, engaging them in seemingly casual exchanges through the window of his vehicle, failing to put the intruders on the ground or handcuff them, and then leaning on his vehicle and even turning his back on the intruders as he awaited the arrival of his supervisor. ... His actions clearly did not reflect the fact that he was protecting vital government interests."

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 03/20/2013**Title:** (U) Knox News Sentinel article "Worries about Y-12 copycat intruders" 3/13/13**Approved By:****Drafted By:****Case ID #:**

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

b6  
b7C  
b7E

**Synopsis:** (U) Knox News Sentinel article "Worries about Y-12 copycat intruders" 3/13/13

◆◆

UNCLASSIFIED

Knox News-Sentinel  
3/13/2013

266I-KX-2433548-

b6  
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3/19/13

## Worries about Y-12 copycat intruders

The penetration of Y-12's vaunted security last year by three protesters, one of whom was 82 at the time and all of them eligible for AARP, made it look all too easy, and that has some folks worried about copycats.

Among those worried is Randy Lawson, the president of the International Guards Union of America, Local 3, who talked about the issue following a couple of recent incidents at the site.

In one case, a bicyclist was arrested and charged with trespassing after he allegedly tried to ride through Y-12's off-limits property on a patrol road. A day later, a peace activist attending a Sunday vigil near Y-12's entrance was reported to have inadvertently crossed the federal plant's boundary line while looking at roadside signs. He later was released with a warning.

"My concern is somebody's eventually going to get shot or hurt," Lawson said. "Because curiosity killed the cat. ... These people keep coming to get a look or come by, and God forbid if somebody tries to see how far they can get."

The guards union official was talking about the possibility that someone would again attempt to enter Y-12's Protected Area, which is ringed with (newly repaired and upgraded) sensors and cameras and signs that warn intruders that deadly force can and will be used against those who enter the high-security zone.

There's no question that nerves are still frayed from the July 28, 2012, incident, in which the three Transform Now Plowshares protesters — Michael Walli, Greg Boertje-Obed and Sister Megan Rice — cut through multiple fences and reached the plant's storehouse for bomb-grade uranium without being detected. They splashed blood, spray-painted messages and otherwise defaced the exterior of the Highly Enriched Uranium Materials Facility.

Some folks believe that gunshots would have been justified at that time. Indeed, the first security guard to encounter the protesters in the pre-dawn darkness was later fired because he reportedly treated the three intruders too casually and kindly.



The Y-12 contractor later termed a video of that encounter painful to watch and an embarrassment to the security force.

Critics said if three not-so-young protesters could make their way in, that didn't bode well for the plant's defense against trained terrorist teams. They also warned that a sophisticated intrusion could use mock protesters as a decoy for the real things. Given those scenarios, Lawson, and perhaps others, too, worry what would happen if such an encounter inside Y-12's security zone should take place again.

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 03/20/2013

**Title:** (U) Knox News Sentinel article "Panel faults nuke agency" 3/14/13

**Approved By:**

**Drafted By:**

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Knox News Sentinel article "Panel faults nuke agency"  
3/14/13

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# Panel faults nuke agency

## ■ Critics: Y-12 break-in part of bigger problem

By Michael Collins  
collinsm@knoxnews.com  
202-408-2711

WASHINGTON—Federal investigators said Wednesday that a security breach last summer at the Y-12 nuclear weapons complex exposed a larger pattern of cultural and management problems at the federal agency that oversees the nation's nuclear stockpile.

The National Nuclear Security Administration is marred by a deeply ingrained culture in which holding down costs takes priority over nuclear security and by a convoluted and ineffective management structure that left the agency incapable of watching over the federal contractors that provide security at nuclear facilities, investigators concluded.

"The events at Y-12 illustrate how far the pendulum has swung in the wrong direction," said Air Force Brig. Gen. Sandra Finan, who led a task force that studied the nuclear agency's organizational structure in the aftermath of the Y-12 break-in.

Members of a congressional oversight panel said the security breach at the Y-12 complex in Oak Ridge demonstrated a serious failure not only at that facility, but also a failure of management at the national security agency and the Department of Energy.

"It appears that, due to a 'hands off' federal contracting policy, we had ineffective federal security oversight at Y-12 — and potentially at other sites around the complex," said U.S. Rep. Tim Murphy, R-Pa., and chairman of the House Energy and Commerce Committee's subcommittee on oversight and investigations.

The break-in at Y-12 and the broader problems it underscored were the focus of a hearing before Murphy's subcommittee on Wednesday.

Three peace activists, including a then-82-year-old nun, managed to cut through several layers of fencing around the Oak Ridge complex July 28 and spray-paint messages, hang banners and pour human blood on the site. The

### Y-12 from 1A

three — Sister Megan Rice, Michael Walli and Greg Boertje-Obed — face multiple federal felony charges and are scheduled to stand trial May 7.

At Wednesday's congressional hearing, Deputy Energy Secretary Daniel Poneman told lawmakers the department is making progress in correcting



Sister Megan Rice



Michael Walli

the security deficiencies identified by Y-12, which is where warhead parts are manufactured and the nation's stockpile of bomb-grade uranium is stored.

"The incident at Y-12 was unacceptable, and it served as an important wake-up call for our entire complex," Poneman said.

Finan, commander of the Air Force Nuclear Weapons Center and former acting chief of defense for nuclear security at the NNSA, told the panel her investigation revealed significant deficiencies throughout the agency. She described a management system in which there were no clearly

defined lines of authority over security contractors and in which contractors in essence were responsible for assessing their own performance.

A separate report by the Government Accountability Office also concluded that the "hands off, eyes on" oversight approach to security management is "insufficient and unwarranted" until the department can demonstrate improvement.

U.S. Rep. Henry Waxman,

D-Calif., noted there has been a push over the past year to give the nuclear security agency more autonomy.

"Given what we have seen in the last seven months, that approach makes absolutely no sense," he said. "The Y-12 breach made it abundantly clear that NNSA is not doing enough on its own."

Two of the Y-12 protesters, Rice and Walli, attended the hearing but did not testify. But Rice,

now 83, said afterward that lawmakers are too focused on how to secure nuclear facilities.

"They're missing the point," she said. "It's very simple: The only way to secure nuclear weapons is to dismantle them. ... We have to create a culture where the things people are doing are for humanity and the planet. Nobody is going to succeed as long as they are trying to destroy humanity and destroy the planet."

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 03/20/2013**Title:** (U) Knox News Sentinel article, "Ky. judge to hear Y-12 trio's trial" 3/16/13**Approved By:****Drafted By:****Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012b6  
b7C  
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DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Knox News Sentinel article, "Ky. judge to hear Y-12 trio's trial" 3/16/13

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Knox-News-Sentinel  
3/16/2013

266I-KX-2433548



b6  
b7c

3/19/13

## Ky. judge to hear Y-12 trio's trial

News Sentinel staff

The trial of three Y-12 protesters has been reassigned to a federal judge in Kentucky, apparently because of the impending retirement of U.S. District Judge Thomas W. Phillips.

Phillips was to preside at the trial, scheduled to begin May 7, but a court document filed Friday indicated the case has been reassigned to Judge Annul R. Thapar, a U.S. District judge for the Eastern District of Kentucky.

The case reassignment was among several court documents, but there was no indication of where the trial of Sister Megan Rice, Greg Boertje-Obed and Michael Walli will be held or whether the current trial date will be moved.

Meanwhile, U.S. Magistrate Judge Clifford Shirley denied the defense motion to dismiss sabotage charges against the three protesters who broke into the Y-12 nuclear weapons plant July 28.

Rice, Boertje-Obed and Walli each face three felony charges related to the break-in and defacing of government facilities at the Oak Ridge site.

UNCLASSIFIED

**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 03/20/2013**Title:** (U) Knox News Sentinel article, "B&W touts improved security"  
3/17/13**Approved By:****Drafted By:****Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Knox News Sentinel article, "B&W touts improved security" 3/17/13

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3/19/13

# B&W touts improved security

■ Y-12 contractor [knoxnews.com](http://knoxnews.com)

**Blog:** More at Frank Munger's Atomic City Underground.

■ Y-12 contractor still on the job

**By Frank Munger**  
[mungerf@knoxnews.com](mailto:mungerf@knoxnews.com)  
865-342-6129

**OAK RIDGE** — Within two days of the July 28 security breach at the Y-12 nuclear weapons plant, the federal government's contractor had fixed a strategically located camera that could have had it been operable at the time — allowed security officials to take a look at the intruders when the first alert came into the Central Alarm Station.

At least seven of the 38 cameras installed along the security fences were disabled or not working properly during the break-in. By the middle of August, all the broken cameras had been repaired.

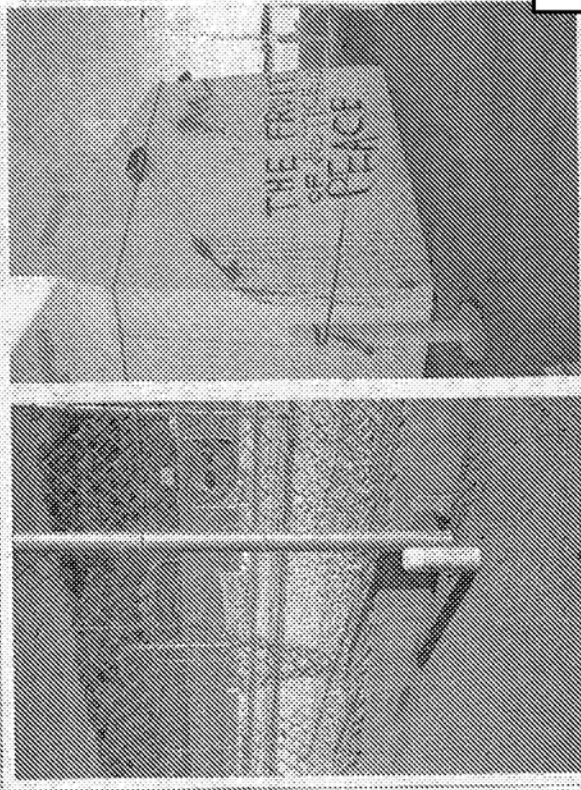
The same was true of a suite of motion sensors and other

security equipment that had been unusable for months in some instances.

These were just some of the actions that B&W Y-12, the managing contractor at Y-12, made in the short-term to shore up the plant's ailing security systems and to try to hold on to its lucrative contract.

The National Nuclear Security Administration issued a "show-cause" notice Aug. 10, threatening to revoke B&W's contract if the contractor didn't provide good reasons why it shouldn't. In B&W's 102-page response, which the News-Sentinel obtained through a Freedom of Information Act request, the contractor

See Y-12, 2B



Photographs released to the defendants by the U.S. Government as part of pretrial discovery show damage to a security fence, left, and the building that stores bomb-grade uranium at the Y-12 nuclear weapons plant. Anti-nuclear activists Michael R. Walli, Sister Megan Rice and Greg Boertje-Obed are facing federal charges in connection with the July 28 intrusion.

Knox News-Sentinel

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## Y-12 from 1B

went to great lengths to explain what improvements had been made since the incidents.

Changes were made to security systems, security infrastructure, security personnel (including several top executives) and security attitudes, B&W said.

"B&W Y-12 has implemented substantial changes within management and within its security and maintenance functions since the Security Event, both in direct response to that event and as a result of a comprehensive review of security operations," the contractor said in the Sept. 10 report to the National Nuclear Security Administration.

B&W said it tried to use the incident as a catalyst for re-examining how many operations are handled at the national security facility in Oak Ridge. Among the other

corrections made following the breach:

- Retraining of the plant's protective force, with a new emphasis on "lesser threats" — such as protest events, rather than full-scale terrorist assaults — and response to alarms.
- Restoring all "critical system elements" to working order. At the time of the July intrusion, there were 56 inoperable items.
- In addition to fixing the security cameras already installed, the contractor purchased an additional 20 as backup to cover for future maintenance problems.
- Instituted an order to perform all security-related maintenance tasks within 24 hours of identifying a problem.
- Significantly increased the number of security patrols around the perimeter intrusion detection and assessment system that rings the plant's 150-acre protected areas, where the most sensitive operations — building and dismantling nuclear

warhead parts and storage of bomb-grade uranium — are.

- In addition to the refresher training that took place with all security personnel, the guards assigned to the Highly Enriched Uranium Materials Facility, where stocks of uranium are housed, received additional training that was specific to protecting the weapons-capable materials.

In the early morning hours of July 28, three veteran protesters — Sister Megan Rice, Michael Walli and Greg Boertje — broke into the national security installation by cutting through a perimeter fence and scaling a wooded ridge on the plant's north side. After reaching the production area, the three cut through three high-security fences that were laden with sensors and reached the uranium storage site.

Once there, they were able to carry out their mission — spray-painting peace slogans and

anti-weapons messages, as well as splashing human blood and performing other symbolic rituals — before they were approached by any security personnel, detained and arrested.

B&W blamed the break-in on a poor response by WSI-Oak Ridge, which was the protective force contractor at the time. That contract has since been terminated.

B&W said WSI failed to order the correct response following the first alarm and didn't "elevate" the response even after multiple alarms in the same area provided evidence of an intrusion, the report said. B&W also blamed WSI for improper use of equipment to assess alarms and taking way too long to arrive at the scene of the intrusion.

While B&W acknowledged that it had overall responsibility for events and should have done more in terms of maintaining the security equipment, the Y-12 contractor asked the National Nuclear Security

Administration to consider its longtime record of achievement at Oak Ridge. "When B&W Y-12 was first entrusted with responsibility for the management and operation of Y-12 in 2000, the site was widely viewed as one of the worst-performing sites within the Nuclear Security Enterprise," the B&W response to the show-cause letter stated. "Its facilities were among the oldest and most decrepit. Technology was outdated. Production was sluggish, functioning at only 20 percent capacity. The maintenance costs to support the few operations that were running were exorbitant. Even the site's physical footprint had become oversized and unworkable, far too large for Y-12's modern-day mission."

"B&W Y-12 implemented an aggressive, strategic vision for the future and pursued a revived, positive partnership with the NNSA that continues to this day. Over the course of the past 12 years, B&W

Y-12 has vastly exceeded the essential terms, conditions, and expectations of its M&O contract. While the Security Event (of July 2012) certainly does not comport with B&W's core values nor its standards of performance, that event, standing alone, does not diminish B&W Y-12's proven track record of success in the past, nor its capacity to effectively address this issue now to ensure it never recurs."

The National Nuclear Security Administration did not revoke B&W Y-12's contract, but Babcock & Wilcox — the lead partner in B&W Y-12 — failed in its bid to win a new \$22 billion contract, which combines the management of the Oak Ridge plant with the Pantex warhead assembly/disassembly plant at Amarillo, Texas.

That contract award, however, is under protest, and B&W Y-12 remains the managing contractor at Y-12 until that protest is settled by the Government Accountability Office.



UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) New judge for trial.**Date:** 03/20/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
b7E**Synopsis:** (U) Judge reassigned for trial.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013**Details:**

Due to the impending retirement of U.S. District Judge Thomas W. Phillips, captioned case has been reassigned to U.S. District Judge Amul Thapar, Eastern District of Kentucky. According to AUSA [REDACTED] [REDACTED] trial for Megan Rice, [REDACTED] is still currently set for May 7, 2013 in Knoxville, Tennessee.

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UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 03/20/2013

Title: (U) Jail call [REDACTED]

b6  
b7C

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012  
[REDACTED][REDACTED] DT - ENVIRONMENTAL EXTREMISM  
[REDACTED]Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TNb3  
b6  
b7C  
b7E

Synopsis: (U) Jail call [REDACTED]

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UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: Transcript

Date: 03/20/2013

Title: (U) Jail call [REDACTED]

b6  
b7C

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb3  
b6  
b7C  
b7E[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

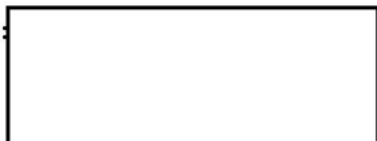
Synopsis: (U) Jail call [REDACTED]

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 03/21/2013**Title:** (U) Knox News Sentinel article, "Y-12 breach cued  
finger-pointing" 3/20/2013**Approved By:****Drafted By:**b6  
b7C  
b7E**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

b7E

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Knox News Sentinel article, "Y-12 breach cued  
finger-pointing" 3/20/2013

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NEWS SENTINEL ■ Wednesday, March 20, 2013 ■ 3B

## SHARE YOUR THOUGHTS

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# Y-12 breach cued finger-pointing

There were various, unofficial reports of testy relations between B&W Y-12, the managing contractor at the Y-12 nuclear weapons plant, and WSI-Oak Ridge, Y-12's protective force contractor, long before the July 28, 2012, security breach. But it would seem the gloves really came off after that event.

There is evidence of that in B&W's response to the federal government's show-cause order, which threatened to remove the company as Y-12's managing contractor following the break-in by Plowshares protesters. Actually, both contractors were under threat of termination, but B&W Y-12's Sept. 10 response covered for both because by the time the response was due to the National Nuclear Security Administration, WSI had been subordinated to a subcontractor role — reporting to B&W instead of directly to NNSA.

Anyway, each of the contractors pointed fingers at the other following the incident in which three protesters somehow managed to penetrate Y-12's high-security Protected Area and sully the exterior

of the plant's storage facility for weapons-grade uranium. There apparently was plenty of blame to go around.

For instance, much has been made about the security equipment that failed to work in the early-morning hours of July 28. Dozens of pieces of security hardware — motion detectors, surveillance cameras, etc. — were inoperable at the time of the break-in, according to investigation reports. There have been suggestions that if a key camera in Zone 62 of the plant's perimeter intrusion detection and assessment system had been working at the time, it would have allowed security guards to identify the protesters at an early stage of their intrusion and nab them before they ever reached the inner sanctum. The fault for that problem has been bandied about.

B&W Y-12, the managing contractor, was responsible for maintaining facilities and equipment at the national security installation. In order to keep things working, however, B&W needed to know what wasn't working out in the field.



FRANK  
MUNGER

WSI's officers reportedly knew a bunch of equipment wasn't working, reported it to superiors, and the inoperable equipment made its way onto a list of stuff that needed to be repaired. In the meantime, "compensatory measures" were supposed to make up for the reduced capabilities in the electronic security systems. Those included extra patrols to check out alarms in areas where sensors might not be working properly or where a camera was unavailable.

Over time, repairs got put off indefinitely. And whose fault was that?

"WSI has asserted that it persistently submitted reports of problems with the PIDAS cameras to B&W Y-12, which allegedly 'routinely ignored' them," B&W's show-cause response stated. "While B&W Y-12 has been unable to verify this allegation, WSI's history at Y-12 demonstrates that it knew how to elevate issues when it deemed necessary and — by WSI's own admission — when it did elevate issues, B&W Y-12 effectively resolved those issues."

Whoever was right on such disagreements, both contractors lost. WSI's subcontract was terminated, and Babcock & Wilcox, the lead partner in B&W Y-12, failed to win the new Y-12 management contract.

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**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) [REDACTED] on 3/22/2013.**Date:** 03/25/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U) [REDACTED] on 3/22/2013.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013**Details:**On 3/22/2013, at the direction of ASAC [REDACTED] writer  
provided a copy of the 12/04/2012 [REDACTED]

[REDACTED]

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b7Eb6  
b7C  
b7E

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication**

**Title:** (U) Info provided to and received from DOE IG.

**Date:** 03/25/2013

**From:** KNOXVILLE  
KX-10

**Contact:** [REDACTED]

**Approved By:** SSA [REDACTED]

**Drafted By:** [REDACTED]

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

**Synopsis:** (U) Information provided to and received from DOE IG and the USAO.

**Preliminary Investigation Initiated:** 08/01/2012, set to expire 02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013

**Enclosure(s):** Enclosed are the following items:

1. (U) 3 CDs of video of fence; 1 CD of photos of fence

**Details:**

On 03/01/2013, Department of Energy Office of Inspector General DOE-IG Special Agent [REDACTED] provided writer with a copy (three CDs) of the video taken of the entire fence line perimeter of Y-12. [REDACTED] also provided writer with a copy (one CD) of photos taken of the Y-12 fence and the hole secured with a zip tie and some string. These will be saved in the 1A section of the file.

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UNCLASSIFIED//FOUO

Title: (U) Info provided to and received from DOE IG.

Re: 266I-KX-2433548, 03/25/2013

On 03/25/2013, writer provided [ ] with a copy (one CD) of the photos taken by the FBI of evidence received from DOE-IG. Writer also provided [ ] with a copy (one CD) of the transcripts of the ten jail calls made by Megan Rice, [ ] on 7/28/2012 - 8/3/2012.

b6  
b7C

On 03/25/2013, writer provided AUSA [ ] with chain of custody forms with descriptions of evidence for each item maintained by the FBI. This was provided via fax number [ ] stated she was in receipt of the fax copies.

b6  
b7C  
b7E

On 03/25/2013, writer spoke with [ ] assistant to AUSA [ ] regarding getting judgments from other districts where Rice, [ ] were previously convicted. [ ] will put together a list of case numbers and districts and the list of contacts to be made will be divided evenly between writer and [ ]

b6  
b7C

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Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 236

**Package:** 1A46

**Stored** None

**Location:**

**Summary:** (U) 3 CDs with video of the Y-12  
perimeter fence; 1 CD with Y-12 fence  
photos

**Acquired By:**

b6  
b7C

**Acquired On:** 2013-03-01

**Acquired**

(U)

**From:**

DOE-IG

**Attachment:** (U) 3 CDs of video of fence; 1 CD of  
photos of fence

UNCLASSIFIED

b6  
b7C  
b7E**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Interview of [REDACTED]**Date:** 04/05/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb6  
b7C  
b7E**Synopsis:** (U) Telephonic interview of [REDACTED] on 4/5/2013.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013**Reference:** 266I-KX-2433548 Serial 224**Details:**

On 3/26/2013, writer met with DOE IG Special Agent [REDACTED] in an attempt to interview [REDACTED] at his residence, [REDACTED]. [REDACTED] A previous attempt to interview [REDACTED] met with negative results. Upon arriving at the intersection of [REDACTED] [REDACTED] agents could not access the road due to it being a gated community.

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On 4/5/2013, writer telephonically contacted [REDACTED] at [REDACTED]

After being advised of the identity of the interviewing [REDACTED]

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Title: (U) Interview of [REDACTED]  
Re: 266I-KX-2433548, 04/05/2013

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agent and the nature of the interview, [REDACTED] provided the following information:

[REDACTED] date of birth [REDACTED] social security number [REDACTED] was not at Y-12 on July 28, 2012. [REDACTED] said that he didn't know Megan Rice, [REDACTED] prior to the incident, and he doesn't know them now although he might have said hello to them. He has heard of the Plowshares as an old group and knows Transform Now Plowshares because of what happened last July. [REDACTED] did not know about any plans for breaking in to Y-12 prior to the incident.

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 04/08/2013

**Title:** (U) Knox News Sentinel 4/4/2013

**Approved By:** SSA

b6  
b7C  
b7E

**Drafted By:**

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Knox News Sentinel "Protestors' trial stays on May 7" on  
4/4/2013

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UNCLASSIFIED

# Protesters' trial stays on May 7



MICHAEL PATRICK/NEWS SENTINEL

Transform Now Plowshares activists, from left, Sister Megan Rice, Greg Boertje-Obed and Michael Walli speak as they leave the Howard H. Baker Jr. U.S. Courthouse in Knoxville on Wednesday. They are facing federal destruction of property and sabotage charges for the July 28, 2012, intrusion at Y-12.

# New judge to weigh allowing 'necessity' defense

By Frank Munger

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Blog: More at Frank Munger's  
"Atomic City Underground."

The judge is changing, but the trial date for three Plowshares protesters who have admitted breaking into the Y-12 nuclear weapons plant in Oak Ridge last summer remains set for May 7.

On Wednesday morning, U.S. District Judge Amul R. Thapar presided over his first hearing in the case and set an itinerary — with input from the defense attorneys and Assistant U.S. Attorney Melissa Kirby — that would allow the trial to start at the previously scheduled date.

The trial is expected to last about four days, based on court discussions Wednesday.

Thapar, a federal judge who serves in the Eastern District of Kentucky, recently inherited the high-profile case in Knoxville

because of the impending retirement of U.S. District Judge Thomas Phillips.

He called for Wednesday's hearing to discuss the future proceedings and set deadlines for additional motions and responses, with another status hearing set for April 23. At that time, Thapar has agreed to let the defense team bring in a number of experts to provide limited testimony on the legality of nuclear weapons, the importance of civil disobedience and other issues. That testimony apparently will help the judge determine whether to allow attorneys for the protesters to use so-called "necessity"

defenses in the trial. Such legal defenses are based, in part, on arguments that a greater good was accomplished by breaking the law to prevent imminent harm to the population.

The three protesters — Sister Megan Rice, 83; Greg Boertje-Obed, 56; and Michael Walli, 64 — are known collectively as the Transform Now Plowshares, and they were in the courtroom Wednesday, as requested by Thapar. They were arrested and charged July 28, 2012, after cutting through multiple fences to reach the inner security core of the Oak Ridge nuclear weapons installation.

As part of their protest actions, they spray-painted messages on concrete barriers and walls, strung crime-scene tape at the site, and splashed human blood on the exterior of the plant's storage center for

bomb-grade uranium.

The faith-based protesters have stated that the production of nuclear weapons at Y-12 is a crime against humanity. They said they were trying to draw more attention the government's plans to construct a new \$6.5 billion weapons facility at the Oak Ridge site.

Federal Magistrate Judge C. Clifford Shirley Jr. previously had recommended against some of the motions filed by defense attorneys in the case, including an attempt to get the most serious charge, sabotage, dismissed. But there are still a number of issues being argued back and forth as to what type of evidence and arguments can ultimately be presented at the trial.

The protesters face up to 35 years in prison if convicted on all counts.

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**FEDERAL BUREAU OF INVESTIGATION**

**Import Form**

**Form Type:** OTHER

**Date:** 04/11/2013

**Title:** (U) Memorandum and Order Mar 26 2013

**Approved By:** SSA

**Drafted By:**

**Case ID #:** 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Memorandum and Order Mar 26 2013

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UNCLASSIFIED

IN THE UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF TENNESSEE  
AT KNOXVILLE

UNITED STATES OF AMERICA,	)	
	)	
Plaintiff,	)	
	)	No. 3:12-CR-107
v.	)	
	)	(PHILLIPS / SHIRLEY)
MICHAEL R. WALLI,	)	
MEGAN RICE, and	)	
GREG BOERTJE-OBED	)	
	)	
Defendant.	)	

**MEMORANDUM AND ORDER**

All pretrial motions in this case have been referred to the undersigned pursuant to 28 U.S.C. § 636(b) for disposition or report and recommendation regarding disposition by the District Court as may be appropriate. This case is before the Court on the Government's Motion to Preclude Defendants from Introducing Evidence in Support of Certain Justification Defenses [Doc. 45] and the following pretrial motions by the Defendants:

- (1) Motion for Discovery [Doc. 46], filed on November 2, 2012;
- (2) Motion for Specific Discovery [Doc. 47], filed on November 2, 2012;
- (3) Motion for Bill of Particulars [Doc. 48], filed on November 2, 2012;
- (4) Joint Motion for Discovery [Doc. 73], filed on January 18, 2013;
- (5) Motion to File Supplemental Brief [Doc. 74], filed on January 18, 2013;
- (6) [Alternative] Motion . . . for Bill of Particulars [Doc. 75], filed on January 18, 2013; and



(7) Motion for Permission to Withdraw Discovery Motion [Doc. 87],  
filed on March 19, 2013.

The parties appeared for hearings on these motions on November 20, 2012, and February 7, 2013. Assistant United States Attorneys Jeffrey E. Theodore and Melissa M. Kirby represented the Government at both hearings. Attorneys Christopher Scott Irwin and William P. Quigley represented Defendant Michael Walli in November, and Mr. Irwin appeared at the February hearing. Attorney Francis L. Lloyd, Jr., represented Defendant Rice at both hearings. Defendant Greg Boertje-Obed represented himself at both hearings, with the assistance of Assistant Federal Defender Bobby Hutson as elbow counsel. Defendants Walli and Rice were also present at both hearings. The Court heard the arguments of the parties and took the motions under advisement. The Court now addresses the discovery, notice, and evidentiary issues raised by the parties in their motions.

## **I. DISCOVERY MOTIONS**

The Defendants have filed three motions [Docs. 45, 46 and 73] asking the Court to require the Government to provide certain information in discovery. Specifically, the Defendants request

- (1) Information on the nuclear weapons and components thereof produced or refurbished at the Y-12 National Security Complex [Doc. 46];
- (2) Information on the jurisdiction of the National Park Service and its role in the investigation of this matter [Doc. 46];
- (3) The latest environmental assessment of Y-12 [Doc. 46];
- (4) Brady materials, particularly any investigation, observation, and surveillance of the Defendants, the Plowshares Movement, Jonah House, or the Oak Ridge Environmental Peace Alliance and/or information from interviews of local anti-nuclear activists by federal

agents [Doc. 46];

(5) The Defendants' prior criminal records [Doc. 46];

(6) The results of any reports or tests pursuant to Federal Rule of Criminal Procedure 16(a)(1)(F) [Doc. 46];

(7) Information on the training provided to Y-12 personnel on the United States Constitution, human rights, and the legality of producing, processing, and storing nuclear weapons under United States and international law [Doc. 46];

(8) Transcripts of a September 12, 2012 congressional hearing on Y-12 security and an October 2, 2012 Nuclear Facilities Safety Board hearing, as well as a copy of the International Atomic Energy Agency's final inspection report regarding Y-12 [Doc. 46];

(9) Records on the installation, layout, preparation, and cost of the fences allegedly cut by the Defendants [Doc. 47];

(10) All call or alarm records at Y-12, to include time of call, time of response, and time of disposition, for the one-month period before and after the alleged intrusion [Doc. 47];

(11) Maps or descriptions of the contents of the buildings allegedly spray painted by the Defendants [Doc. 47]

(12) Evidence of actual interference with, obstruction of, contamination of, injury to, or destruction of national-defense premises or national-defense utilities from the alleged intrusion on July 28, 2012 [Doc. 73];

(13) Evidence that the Defendants acted with intent to injure the national defense [Doc. 73]; and

(14) Evidence that the Defendants' conduct disrupted or caused more than an inconvenience to military operations or interfered with defense functions, facilities, or policies [Doc. 73].

The Defendants contend that the Government has refused their requests for these materials and that their requests are specifically targeted to the issues in this case.

The Government responds [Docs. 53, 81, and 82] that it has either already provided

or will provide some of the materials requested and all appropriate discovery in this case. It contends that the remaining requests should be denied because they ask for information or materials that are not relevant to this case.

At the November 20 hearing, Attorney Quigley argued generally that many of the requests are relevant to the harm the Defendants were seeking to avoid by engaging in the alleged acts on July 28, 2012. Attorney Irwin acknowledged that the Government had been forthcoming with discovery and that some of the items requested were publicly available information. He argued that the cost of installation of the fences at Y-12 and the records of response times to alarms were information within the Government's custody and control and were relevant to the fairness of the \$70,000 alleged cost for repairs at Y-12. The Government argued that this information was not relevant and that it was only claiming the cost of repairs to the cut fencing and other structures. AUSA Kirby argued that many of the Defendants' requests were items that the Government would not use in its case-in-chief, were not in the Government's custody or control, and were not relevant, even if they were material to a potential defense.

According to the Government's response [Doc. 81], after the Superseding Indictment was filed, the Defendants made additional discovery requests regarding the new Count One. The Government maintains that, aside from receipts for repairs to the fence, there was no other discovery related to Count One. At the February 7 hearing, the parties stated that the Government had recently provided additional discovery to the Defendants, but defense counsel had not had time to review it. The Court directed the Defendants to file a short notice on or before February 19, 2013, stating whether or not the discovery motions were still in contention. No notice was filed. On March 19, 2013, the Defendants filed a joint Motion for Permission to Withdraw Discovery Motion [Doc. 87],



asking to withdraw their discovery motion [Doc. 73] relating to Count One. The motion states that the Government has provided the final receipt and total for cost of repairs to the 229 boundary fence and has informed counsel that “this is all they have left to send, and that the other cost[s] are included in the other discovery materials provided.” The motion does not state whether the requests in the earlier discovery motions [Docs. 46 and 47] remain in contention, so the Court must assume that they are.

Rule 16(a)(1)(E) of the Federal Rules of Criminal Procedure requires the government to disclose, upon the defendants’ request, documents, photographs, or other tangible objects within the government’s custody or control and (1) “material to preparing the defense;” (2) “the government intends to use the item in its case-in-chief at trial;” or (3) “the item was obtained from or belongs to the defendant.” Although the Court is not sure which, if any, of the Defendants’ requests from their initial discovery motions still require a ruling, the Court will briefly address those requests in groups as follows:

*(1) Items Already Ordered, Provided, or Withdrawn*

On August 10, 2012, this Court filed an Order on Discovery and Scheduling [Doc. 22] requiring the Government to provide in discovery the Defendants’ arrest and conviction record [¶A.2.], results or reports of tests and expert information three weeks before trial [A.3, L.], and Brady material [E.]. Thus, the Court has already ordered the general provision of requests (4), (5), and (6) above. Moreover, the Government has stated that it provided the Defendants’ criminal records and will provide the other information, if it has any, at the times instructed by the Court. The Government has also stated that it has provided all discovery in this case, to include maps of

the relevant buildings and the cost of repairs to the fence. The Defendants confirmed that the Government had provided the remaining discovery on the total cost of repairs to the 229 boundary fence in their Motion for Permission to Withdraw Discovery Motion [Doc. 87]. Thus, the Court finds that request (11) and part of request (9) have been fulfilled. Finally, the Defendants have moved to withdraw the requests in their third discovery motion, which are requests (12) through (14) above. The Defendants' Motion for Permission to Withdraw Discovery Motion [**Doc. 87**] is **GRANTED**, and requests (12) through (14) are withdrawn.

*(2) Items Not in the Government's Custody or Control*

The Government states that requests (1) and (8) are publicly available information not within the custody or control of the United States Attorney's Office or the investigating law enforcement agencies. It also states that it does not intend to use any of this information in its case-in-chief. The Court finds that these requests are properly denied for these reasons.

*(3) Items Not Material to the Defendants' Defenses*

With regard to request (2), the Government states that the National Park Service was not involved in the investigation of this case. The Government argues that the remaining requests—the latest environmental assessment of Y-12; information on the training provided to Y-12 personnel on the United States Constitution, human rights, and the legality of producing, processing, and storing nuclear weapons under United States and international law; records on the installation, layout, and preparation of the effected fences; and all records of alarms sounded at Y-12 in the month before and after July 28, 2012—are not discoverable under Rule 16. It argues that it will not

use this information in its case-in-chief and that the information is not relevant to any permissible defense in this case. The Court finds that the Defendants have failed to show that the requested information is discoverable in this case.

First, despite filing a motion [Doc. 46] with detailed requests for some thirty-nine items in the style of civil interrogatories, the Defendants argument as to how these requests fall within Rule 16 is simply that Rule 16 should be broadly construed in criminal cases. Despite the Defendants' assertion that they have an absolute right to discover these items, Rule 16(a)(1)(E) only requires disclosure "as a matter of right if the conditions specified in subdivision (E) are satisfied." 2 Charles Alan Wright & Peter J. Henning, Federal Practice and Procedure § 254 (4th ed. 2009). Subsection (E)(i) mandates disclosure of documents or data possessed by the government that are "*material* to preparing the defense[.]" (Emphasis added).

Our appellate court has provided the following guidance with regard to a showing of materiality under Rule 16(a)(1)(E)(i):

"A defendant does not satisfy [the] requirement that an object be 'material to the preparation of the defendant's defense' by means of merely conclusory arguments concerning materiality." United States v. Phillip, 948 F.2d 241, 250 (6th Cir. 1991) (internal citation omitted). Rather, defendant must make a *prima facie* showing of materiality. Id. Materiality under Rule 16 has not been authoritatively defined in this Circuit. However, the Supreme Court has determined that "defense" within the meaning of Rule 16 means the "defendant's response to the Government's case in chief." United States v. Armstrong, 517 U.S. 456, 462 . . . (1996). Therefore, the rule applies only to " 'shield' claims that 'refute the Government's arguments that the defendant committed the crime charged.'" United States v. Robinson, 503 F.3d 522, 532 (6th Cir. 2007) (quoting Armstrong, 517 U.S. at 462 . . .). . . . [T]here must be an indication that pre-trial disclosure would have enabled the defendant to "alter the quantum of proof in his favor[]" . . . . Id.

United States v. Lykins, 428 F. App'x 621, 624 (6th Cir. 2011) (holding that information that the

government might use in rebuttal was not material and, therefore, discoverable under Rule 16).

The Court finds that with regard to the information in requests (3), (7), all of (9) with the exception of the cost of repairs to the fences, and all of (10) with the exception of the call or alarm records relating to the instant intrusion on July 28, 2012, that the Defendants have failed to make a *prima facie* showing of materiality. At the November 20 hearing, Attorney Quigley argued that the requested information “goes in part to the harm [the Defendants were] seeking to avoid” and is relevant to the Defendants’ defenses, if the Court does not preclude them as requested by the Government. Momentarily setting aside the question of whether certain defenses are admissible (a matter which is analyzed in part III. below), the Defendants have not explained the relevance, much less the materiality, of information on environmental assessments at Y-12<sup>1</sup> or training provided to Y-12 personnel on the United States Constitution, human rights, and the legality of producing, processing, and storing nuclear weapons under United States and international law to a defense they seek to employ.

At the November 20 hearing, Attorney Irwin argued that the record of alarms sounded at Y-12 in the month preceding and following the alleged intrusion was relevant to provide a “fair standard of comparison” to the response time on July 28, 2012. He contended that the Government was claiming that the Defendants inflicted \$70,000 of damage and that it was hard to do \$70,000 worth of damage in five minutes. The Court finds that while the response time to the alarm or alarms on July 28, 2013 may

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<sup>1</sup>With regard to the Defendants’ argument that the harm they sought to prevent at Y-12 was imminent because Y-12 is contaminated with carcinogenic toxins, the Court finds in part III(1)(a), footnote 4, below that illnesses arising from long-term exposure to carcinogens are not “imminent harm” to which the justification defense applies.

be material, the Defendants have not shown how the record of response times to alarms other than those on July 28, 2012, is material to a defense. Moreover, the Defendants have made no showing of materiality with regard to the installation, layout, or “preparation” of the fences allegedly cut by the Defendants. Accordingly, the Court finds that requests (3), (7), (9) (except for the cost of repairs discussed above), and (10), with the exception of the record of any alarms relating to the alleged intrusion by the Defendants on July 28, 2012, are denied.

### *Conclusion*

In summary, the Defendants’ joint Motion for Permission to Withdraw Discovery Motion [**Doc. 87**] is **GRANTED**, and the Joint Motion for Discovery [**Doc. 73**] is **WITHDRAWN**. The Motion for Discovery [**Doc. 46**] is **DENIED**. The Motion for Specific Discovery [**Doc. 47**] is **GRANTED in part** in that the Government is **ORDERED** to disclose, if it has not already done so, any records, including time of call and time of response, of alarms sounded as a result of the Defendants’ alleged intrusion on July 28, 2012, and is **DENIED** in all other respects.

## **II. BILL OF PARTICULARS**

The Defendants move [Docs. 48 and 75] the Court to order the Government to file a bill of particulars, specifying the following:

- (1) As to Count One, identify the building or item included in “grounds” that the Defendants are alleged to have injured, destroyed, or contaminated and the nature of the injury thereto;
- (2) As to Count One, how the conduct charged injured, interfered with, or obstructed the national defense;
- (3) As to Count Two, describe the Y-12 structure allegedly destroyed

or injured, including what it contains and its use;

(4) As to Count Three, describe what Y-12 building was allegedly painted, defaced, and injured, including what it contains and its use; and

(5) With regard to the structures in Counts Two and Three, describe the role of the building in the production, manufacturing, or storage of the components for nuclear weapons and state what nuclear weapons are produced or stored at Y-12.

The Defendants contend that this particularization is necessary to minimize surprise and to permit them to prepare their defense to the charges.

The Government responds [Docs. 52 and 79] that the Indictment and the discovery provided to the Defendants adequately permit them to prepare a defense and to preclude a second prosecution for the same crimes. The Government contends that each count lists the statute violated, the statutory elements of the crime charged, the time period of the violations, and the location of the crime. With regard to the building or structures at issue, the Government states that the Superseding Indictment, the affidavit supporting the criminal complaint against the Defendants, and the discovery reveal that the “buildings and grounds” in the charges refer to the Highly Enriched Uranium Materials Facility (HEUMF) building, the concrete barriers near the HEUMF building, the PIDAS security fences, and the 229 perimeter boundary fence. With regard to the nature of the injuries to these structures, the Indictment alleges that the Defendants cut and disabled security fences, painted the concrete barriers and the walls of the HEUMF building, and splashed blood on the walls of the HEUMF building. With regard to the other requests, the Government maintains that the Defendants are actually seeking to learn the Government’s evidence and theories of the case, rather than the details of the charges against them.

At the February 7 hearing, counsel for Defendant Rice agreed that the Government

had provided the information requested in (1) above regarding what constituted “grounds” and the nature of the injuries. Attorney Lloyd emphasized the uniqueness of this case and argued that because the Government sought to apply the Sabotage Act, 18 U.S.C. § 2155(a), to conduct that did not occur on a military base and did not obstruct or impede any military activity, that the Government ought to specify how the Defendants allegedly hindered or attempted to hinder the national defense. The Government responded that “why?” or “how?” questions regarding what proof the Government intends to use to prove an element of the offense are not appropriate for a bill of particulars. It argued that if it were required to answer the Defendants’ inquiry in a bill of particulars, its theory of the case would be “frozen,” causing the Government to lose the flexibility in prosecuting the case. Additionally, the Government argued that the Defendants may not use a bill of particulars as a vehicle to discover how it will prove the Defendants’ intent.

Federal Rule of Criminal Procedure 7(f) states that “[t]he court may direct the government to file a bill of particulars” and that “[t]he government may amend a bill of particulars subject to such conditions as justice requires.” “A bill of particulars is meant to be used as a tool to minimize surprise and assist defendant in obtaining the information needed to prepare a defense and to preclude a second prosecution for the same crimes. It is not meant as a tool for the defense to obtain detailed disclosure of all evidence held by the government before trial.” United States v. Salisbury, 983 F.2d 1369, 1375 (6th Cir. 1993). The granting of a bill of particulars is within the court’s discretion. See id. (holding that the appellate court reviews the denial of a bill of particulars for an abuse of discretion). The level of detail in the indictment can be a basis for denying the motion for a bill of particulars. Id. Additionally, “a defendant is not entitled to a bill of particulars with respect to information which is available through other sources.” United States v. Paulino, 935

F.2d 739, 750 (6th Cir. 1991), superseded on other gnds by stat., United States v. Caseslorente, 220 F.3d 727 (6th Cir. 2000) (on sentencing issue).

*(1) Building or Structure Allegedly Damaged*

Request (1) and requests (3) and (4) in part, all ask the Government to particularize the building or structure allegedly damaged by the Defendants' conduct. At the February 7 hearing, the Defendants agreed that the Government has provided this information, as well as the nature of the damage. Requests (3) and (4) also seek the contents or the use of the buildings or structures allegedly damaged. Request (5) asks the Government to particularize the role of the buildings allegedly damaged in nuclear weapons production or storage. The Government has stated that the general mission of the Highly Enriched Uranium Materials Facility can be found on the Internet. It has stated in earlier filings and at the February 7 hearing that the Y-12 National Security Complex produces and stores components for nuclear weapons and provides nuclear fuel for the military. The Court finds that this information is sufficient to prevent surprise at trial and to permit the Defendants to prepare their defense in this case and to argue a double jeopardy violation in a future prosecution. Accordingly, the Court finds that no additional particularization of the use of the HEUMF building is necessary.

*(2) Effect of the Defendants' Conduct on the National Defense*

The Defendants ask the Court to require the Government to particularize how the conduct charged injured, interfered with, or obstructed the national defense. The Government argues that the Defendants are seeking to discover its theory of the case—how it will prove that the



Defendants obstructed or attempted to obstruct the national defense—rather than seeking clarification of what is being charged in Count One. The Defendants argue that this information is appropriately particularized in this case due to the unique nature of the charge in Count One.

While a bill of particulars is not appropriate to learn the government’s evidence or theory of the case, the Court finds that under the unique circumstances of the instant case, the Government should clarify the harm to the national defense that it is alleging occurred or was attempted. One of the goals of a bill of particulars is to prevent surprise at trial. Salisbury, 983 F.2d at 1375. The Government proposes and this Court has employed (see Report and Recommendation, Doc. 85, at p. 23) the following broad definition of national defense: “a generic concept of broad connotations, referring to the military and naval establishments and the related activities of national preparedness.” United States v. Gorin, 312 U.S. 19, 28 (1941). The Government has argued that the Defendants’ conduct implicated the “related activities of national preparedness.” The Court finds that the Government should particularize the harm or attempted harm to related activities of national preparedness in order to prevent surprise at trial. The Government does not have to state what evidence it will use to prove this harm.

*(3) Type of Nuclear Weapons Produced or Stored at Y-12*

Lastly, the Defendants ask the Court to order the Government to detail in a bill of particulars the types of nuclear weapons that are produced or stored at Y-12. The Court finds that this information is not necessary to put the Defendants on notice of the charges, to prevent surprise at trial, or to permit them to prepare their defense. Moreover, in another filing [Doc. 49], the Defendants have stated, based upon various reports available online, that Y-12 is the only source

for secondaries and other nuclear weapons components and that certain enumerated types of nuclear weapons are being refurbished at Y-12. Accordingly, the Court finds that the Defendants already have the information they are seeking in the level of detail necessary for them to prepare a defense.

### *Conclusion*

The Defendants' initial motion for a bill of particulars [**Doc. 48**] is **DENIED** because the Government has already provided some of the information sought and the remaining requests may not be appropriately gained by a bill of particulars. The Defendants' alternative request for a bill of particulars contained within their motion to dismiss [**Doc. 75**] is **GRANTED in part** in that the Government is **ORDERED** to provide a bill of particulars by **April 8, 2012**, stating the harm or attempted harm to the national defense. The alternative request is otherwise **DENIED as moot** because the Government has provided the information requested.

### **III. AVAILABILITY OF CERTAIN DEFENSES**

The Government has moved [Doc. 45] the Court to preclude the Defendants from raising the following defenses at trial: Necessity; international law; Nuremburg principles; First Amendment protections; the alleged immorality of nuclear weapons; good motive; religious, moral, or political beliefs regarding nuclear weapons; and the United States' policy regarding nuclear weapons. It argues that these defenses are irrelevant to the crimes charged and/or that the Defendants cannot establish the elements of affirmative defenses as a matter of law. The Defendants

respond [Docs. 50 and 74-1<sup>2</sup>] that they have a constitutional right to “tell the whole truth,” i.e. present all available defenses, to the crimes charged. They argue that Count One of the Superseding Indictment requires the Government to prove that they acted with the “intent to injure, interfere with, and obstruct the national defense of the United States.” They contend that this intent element makes all of the defenses that the Government is seeking to preclude relevant. Finally, they assert that they are able to show the minimum evidence necessary to permit them to present the affirmative defense of justification and that the preclusion of any defenses before trial is premature.

The right of a criminal defendant to present his or her defense to the jury is essential to the fairness of a criminal trial. Taylor v. Illinois, 484 U.S. 400, 409 (1988); Pennsylvania v. Ritchie, 480 U.S. 39, 56 (1987) (reasoning that Supreme Court precedent “establish[es], at a minimum, that criminal defendants have the right to the government’s assistance in compelling the attendance of favorable witnesses at trial and the right to put before a jury evidence that might influence the determination of guilt”); see also United States v. Garner, 529 F.2d 962, 970 (6th Cir. 1976) (holding that the refusal of a trial judge to permit a criminal defendant to present his defense adequately is reversible error). Accordingly,

[t]he Compulsory Process and Due Process Clauses . . . require courts to conduct a searching substantive inquiry whenever the government seeks to exclude criminal defense evidence. After all, “[f]ew rights are more fundamental than that of an accused to present witnesses in his own defense.” Chambers v. Mississippi, 410 U.S. [284, ] 302 [(1973)]. The exclusion of criminal defense evidence undermines the

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<sup>2</sup>The Defendants’ Motion to File Supplemental Brief [Doc. 74] is **GRANTED**. The supplemental brief provides the Defendants’ response to the motion to preclude defenses in light of the new Count One added in the Superseding Indictment. At the February 7 motion hearing, the Government stated that it did not object to the Defendants filing this brief. The Court finds that the Defendants’ Supplemental Brief Opposing the Government’s Motion to Preclude Certain Defenses Based on the New Charges of Sabotage [Doc. 74–1] is properly and timely filed.

central truthseeking aim of our criminal justice system, see United States v. Nixon, 418 U.S. 683, 709 . . . (1974), because it deliberately distorts the record at the risk of misleading the jury into convicting an innocent person. Surely the paramount value our criminal justice system places on acquitting the innocent, see, e.g., In re Winship, 397 U.S. 358, . . . (1970), demands close scrutiny of any law preventing the jury from hearing evidence favorable to the defendant. On the other hand, the Compulsory Process Clause does not invalidate every restriction on the presentation of evidence. The Clause does not, for example, require criminal courts to admit evidence that is irrelevant, Crane v. Kentucky, . . . 476 U.S. [683,] 689–690 . . . [(1986).]

Taylor, 484 U.S. at 423-24 (Brennan, J., dissenting) (discussing the preclusion of a defense witness as a discovery sanction). Additionally, the Court may preclude an affirmative defense, as a matter of law, if the defendant is not able to establish its elements. United States v. Johnson, 416 F.3d 464, 468 (6th Cir. 2005) (holding that “if the defendant’s proffered evidence is legally insufficient to support a duress defense, the trial judge should not allow its presentation to the jury”), cert. denied 546 U.S. 1191 (2006). Preclusion of irrelevant evidence or affirmative defenses may occur pretrial. See id. (observing that the court “does not ‘invade’ the province of the jury when determining, as a preliminary matter, whether a defendant has met the burden of introducing sufficient evidence on each of the elements of an asserted defense”). With these principles in mind, the Court examines each of the potential defenses to which the Government objects.

#### *(1) Necessity or Justification*

Defenses of justification “pertain[] to the category of action that is exactly the action that society thinks the actor should have taken, under the circumstances[.] . . . ‘[N]ecessity’ is a particular example of a defense that, when proved, will *justify* the defendant’s action” under the theory ““that the defendant’s free will was properly exercised to achieve a greater good[.]”” United

States v. Newcomb, 6 F.3d 1129, 1133 (6th Cir. 1993). Generally, the necessity defense is “designed to spare a person from punishment . . . if he reasonably believed that criminal action ‘was necessary to avoid a harm more serious than that sought to be prevented by the statute defining the offense.’” United States v. Bailey, 444 U.S. 394, 409-10 (1980). The availability of a “justification defense”<sup>3</sup> turns upon whether the evidence supports the following five factors:

(1) that defendant was under an unlawful and “present, imminent, and impending [threat] of such a nature as to induce a well-grounded apprehension of death or serious bodily injury,” . . . ;

(2) that defendant had not “recklessly or negligently placed himself in a situation in which it was probable that he would be [forced to choose the criminal conduct],” . . . ;

(3) that defendant had no “reasonable, legal alternative to violating the law, ‘a chance both to refuse to do the criminal act and also to avoid the threatened harm,’ ” . . . ; and

(4) “that a direct causal relationship may be reasonably anticipated between the [criminal] action taken and the avoidance of the [threatened] harm.”

Newcomb, 6 F.3d at 1134 (quoting United States v. Singleton, 902 F.2d 471, 472 (6th Cir.), cert. denied 498 U.S. 872 (1990)) (citations omitted & alterations in original). “The fifth requirement is that the defendant show that he did not maintain the illegal conduct any longer than absolutely necessary.” Id. at 1134-35 (citing Singleton, 902 F.2d at 473). The appellate court has emphasized that “the keystone of the analysis is that the defendant must have no alternative—either before or during the event—to avoid violating the law.” Singleton, 902 F.2d at 473; see also Newcomb, 6 F.3d at 1135.

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<sup>3</sup>The Court of Appeals for the Sixth Circuit used “the broader term of justification” to distinguish this defense from the more narrow, traditional common law defense of necessity from which it is derived. Newcomb, 6 F.3d at 1133.

*(a) Imminence of Harm*

The Government argues that the alleged harm that the Defendants sought to avert by their actions is nuclear proliferation and nuclear war. It maintains that the danger inherent in nuclear weapons is a general danger to all United States citizens and was not an immediate threat to the Defendants on July 28, 2012. The Defendants proffer that they entered the Y-12 National Security Complex in order to raise awareness of the illegality and danger of nuclear weapons and ultimately to prevent their use. They contend that they will present, via expert testimony, evidence that nuclear weapons and the threat of accidental detonation present an imminent threat of catastrophic harm to people and the environment; that mining uranium, 400 tons of which is stored at Y-12, causes death and injury to the miners; and that the production and storage of nuclear weapons at Y-12 causes illnesses, such as cancer, in Y-12 employees. Moreover, the Defendants argue that courts should not construe this element strictly in “civil resistance cases” because “[i]f forced to wait until a nuclear accident is truly imminent, neither protestors nor anyone else will have the opportunity to take effective action.” [Doc. 50, p.9]

While our appellate court recognizes that the justification defense can be applied when the threat of harm is to a third party rather than the defendant, the illegal action must still be “an emergency measure necessary to avoid an imminent injury—an injury sufficiently grave that, according to objective standards, the desirability of avoiding that injury outweighs the desirability of avoiding the injury sought to be prevented by the violated statute.” Newcomb, 6 F.3d at 1135. While the evidence proffered by the Defendants in their filings establishes that the harm from the *use* of nuclear weapons would be grave, the question for the Court is whether that harm was

imminent at the time of the alleged crime. Nothing in the record shows or even suggests that nuclear weapons were being used at Y-12 National Security Complex on July 28, 2012, that they were about to be used, or even that any fully functional and readily usable nuclear weapons were present on the site.<sup>4</sup> Instead, the parties have proffered in their filings that the Y-12 facility creates the components for and refurbishes nuclear weapons.

Although Defendants call for a looser interpretation of “imminent harm” in nuclear protest cases, this Court and others have consistently concluded that the potential for nuclear war is not the type of imminent harm to which a necessity defense may be applied. United States v. Gump, et. al, 3:10-CR-94, Memorandum and Order [Doc. 123], p. 21; United States v. Mellen, et. al, 3:02-CR-47, Order [Doc. 57], p. 3, & Transcript of June 14, 2002 Motion Hearing [Doc. 44], p. 22 (ruling that the “mere possession or manufacture of nuclear weapons without any realistic imminent threat of use or detonation does not establish imminent harm”); see United States v. Maxwell, 254 F.3d 21, 27 (1st Cir. 2001) (holding that the “mere presence of [a nuclear submarine], without some kind of realistic threat of detonation” was insufficient to cause imminent harm); United States v. May, 622 F.2d 1000, 1009 (9th Cir. 1980) (holding that defendants could “assert no harm to themselves from the allegedly illegal conduct of the government[’s Trident missile system] that is greater than, or different from, the potential harm that might affect every other person in the United States”); see also United States v. Schoon, 971 F.2d 193, 198-99 (9th Cir. 1991)

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<sup>4</sup>Moreover, there is no hint of evidence that anyone was mining uranium at Y-12. Even assuming that the long-term effects of exposure by Y-12 employees to uranium and other components of nuclear weapons at Y-12 is cancer and other fatal and serious illnesses, such potential harm is not of a type that is “so pressing that only immediate action averts the danger.” United States v. McSweeney, Nos. 08-1401 to 1405-TSH, 2008 WL 2952778, \*2 (D. Mass. July 24, 2008) (precluding defense of necessity at trial of defendants who were praying in courthouse lobby to affect change in United States’ policy in Iraq).

(holding that a necessity defense can never be raised in cases of indirect civil disobedience). Accordingly, the Court finds that the Defendants are not able to show, as a matter of law, that the harm they intended to prevent—future nuclear war— was imminent at the time of their conduct.

*(b) No reasonable, legal alternative to violating the law*

The Defendants argue that they had no reasonable legal alternative to civil disobedience because they have attempted other legal means to stop nuclear proliferation but none have been successful. In this regard, they proffer that they have “written letters, lobbied Congress, spoken out, written appeals, and taken every possible legal action to stop the impending nuclear holocaust[.]” [Doc. 50, p.11] They argue that “evidence that a defendant exhausted all available legal alternatives, and that such alternatives as a class had been futile over a long period, might be sufficient to allow a defendant to present his necessity defense to the jury”, United States v. Hill, 893 F. Supp. 1044, 1047-48 (N.D. Fla. 1994), and that the reasonableness of the other legal alternatives should be determined by the jury.

The Court finds that legal means of affecting political change—lobbying Congress, peaceful rallies, letters to elected officials or to the editor of publications, and the like—do not stop being reasonable alternatives simply because they have not caused the political change that the defendant seeks. “Where the targeted harm is the existence of a law or policy, our precedents counsel that this reasonableness requirement is met simply by the possibility of congressional action. . . . [T]he ‘possibility’ that Congress will change its mind is sufficient in the context of the democratic process to make lawful political action a reasonable alternative to indirect civil disobedience.” Schoon, 971 F.2d at 199. “People are not legally justified in committing crimes



simply because their message goes unheeded.” United States v. Montgomery, 772 F.2d 733, 736 (11th Cir. 1985). Accordingly, the Court finds that the Defendants cannot meet this prong of the justification defense.

*(c) Reasonable Belief that the Conduct Would Avoid the Harm*

The Defendants contend that they had a reasonable belief that their actions of entering onto the Y-12 property would avoid the harms associated with nuclear weapons. They argue that they did, in fact, expose and prevent “a very serious problem at Y-12,” which problem the Court takes to be security lapses. They contend that civil resistance and political protest have proven to be effective methods to cause direct change in the context of nuclear weapons, stating that after twenty years of anti-nuclear protests, marches, and civil resistance like that in this case, the Navy “shut down two radio transmitters in Wisconsin and Michigan” that sent communications to nuclear-armed Trident submarines. The Defendants argue that this proffered evidence is sufficient to let the jury evaluate the causal connection between their conduct and averting the harm. At the November 20 hearing, the Government responded that while the Defendants could reasonably anticipate that their actions would cause a disruption at Y-12, their actions had a negligible effect on overall nuclear disarmament.

In order to employ a justification defense, the defendant must show a “direct causal relationship” between the illegal action taken and the avoidance of the greater harm. Newcomb, 6 F.3d at 1134. In cases involving indirect civil disobedience undertaken to cause political change, this direct causal relationship is absent. Schoon, 971 F.2d at 198. Another actor—such as the President or Congress—will have to act in order for the desired change (nuclear disarmament) to

occur and the alleged harm (nuclear war) to be avoided. Id. Accordingly, courts faced with protestor defendants seeking to cause political change have consistently found the lack of a direct causal relationship between the crime committed by the defendant and the avoidance of the harm in question. Maxwell, 254 F.3d at 29 (holding that the defendant had no reasonable belief that his trespass on a naval base would stop the deployment of nuclear submarines off the coast of Puerto Rico); Montgomery, 772 F.2d at 736 (concluding that the defendants “could not hold a reasonable belief that a direct consequence of their actions [of destruction of government property] would be nuclear disarmament”); United States v. Dorell, 758 F.2d 427, 433-34 (9th Cir. 1985) (determining that the defendant “failed as a matter of law to establish that his entry into Vandenburg [Air Force base] and his spray-painting of government property could be reasonably anticipated to lead to the termination of the MX missile program and the aversion of nuclear war and world starvation”). These cases cause the Court to question whether the Defendants could reasonably expect their conduct (entry onto Y-12 property, cutting fences, spray painting slogans, hanging signs, splattering blood) to cause directly the cessation of production of nuclear weapons or their components at Y-12. This is especially true for Defendant Walli, who trespassed at the Y-12 facility in 2010, was charged federally, and was not permitted to raise a necessity defense in that case. Moreover, the Defendants’ proffered historical evidence of the success of nuclear protest, including civil resistance, over time with regard to radio transmitters undercuts their arguments on both the imminence of the harm and the lack of reasonable legal alternatives.

*(d) Conclusion*

Accordingly, the Court determines as a matter of law that the Defendants cannot raise a justification or necessity defense at trial because, based upon the evidence proffered to the Court, they cannot meet at least two of the five factors required for asserting this defense. Where “an affirmative defense consists of several elements and testimony supporting one element is insufficient to sustain it even if believed, the trial court and jury need not be burdened with testimony supporting other elements of the defense.” Bailey, 444 U.S. at 416; Johnson, 416 F.3d at 468. The Court finds that the Defendants were not acting to prevent *imminent* harm and that they had other legal alternatives available to them. Additionally, the Court questions whether a *direct* causal relationship existed between breaking into Y-12, cutting fences, spray painting a building and some barriers, and splashing blood on the grounds and averting nuclear war or causing nuclear disarmament. Thus, the Court finds that the Defendants should be precluded from asserting a necessity or justification defense at trial that is based upon the evidence proffered thus far.

*(2) International Law and Nuremberg Principles*

The Government argues that the Court should preclude the Defendants from presenting evidence at the trial that their actions were justified or compelled by international law. It argues that such evidence is irrelevant to their prosecution for sabotage and destruction and depredation of government property. In this regard, the Government contends that questions of national defense policy are political questions reserved for the legislative and executive branches, that the Defendants are not excused from violations of laws prohibiting the destruction of property because their acts were directed at alleged violations of international law, and that the operations at Y-12 did not violate international law.

This Court has already found that the manufacture and storage of components for nuclear weapons at Y-12 do not violate international law or constitute a war crime under federal law. See Report and Recommendation [Doc. 63], pp. 11-18. Accordingly, the Defendants cannot raise violation of international law or of 18 U.S.C. § 2441 as a defense at trial. See also United States v. Kabat, 797 F.2d 580, 584, 590 (8th Cir. 1986) (affirming trial court's refusal to permit Defendant Holladay to present evidence of an international law defense). Moreover, the Nuremberg principle that one has a duty to violate a domestic law in order to comport with obligations under international or humanitarian law only applies to domestic laws requiring the *commission* of war crimes. United Kabat, 797 F.2d at 590 (rejecting defendant nuclear protestors' defense that their acts of damaging missile sites were privileged by the Nuremberg principles and international law). Here, the statutes that the Defendants are alleged to have violated did not require the Defendants to take any actions.

Moreover, that a person is acting to further international law does not provide a defense to the violation of domestic laws. United States v. Allen, 760 F.2d 447, 453 (2d Cir. 1985). The Defendants "should not be excused from the criminal consequences of acts of civil disobedience simply because the acts were allegedly directed at international law violations." Id. This is particularly true in this case, in which no domestic law, particularly domestic laws prohibiting interference with the national defense and injury to government property, required the Defendants to violate the international law they claim is at stake. The appellate court for the Seventh Circuit has also rejected the contention that anti-nuclear protestors' actions of destroying government property were somehow privileged by international law:

Appellants cite no international law, and we are aware of none, even suggesting that an individual has the responsibility to correct a violation of international law by destroying government property. To the contrary, international law recognizes the sanctity of property,

protecting a nation's property from destruction by another nation's agents, see N. Green, International Law: Law of Peace 206, 209 (2d ed. 1982), and offers other means to stop international law violations: "[i]nternational law presents nations with institutions, processes, and norms that permit respect to be manifested in relatively depoliticized atmospheres."

Id. Thus, the Court finds the Defendants' claims that they were following international law provide no defense to the instant charges.<sup>5</sup>

*(3) Moral, Political, Religious, or Other Good Motive*

The Government argues that the Defendants should not be allowed to present "evidence that their personal moral, political, or religious convictions compelled them to enter onto the Y-12 facility" and engage in the alleged conduct. [Doc. 45, p.12] It maintains that the Defendants' "moral, political, or religious convictions do not provide a defense to the crimes charged and, as such, are irrelevant and inadmissible at trial." The Defendants respond that they must be allowed to testify about the reasons for their conduct at Y-12 on July 28, 2012, in order to refute that they had the specific intent to injure, interfere with, or obstruct the national defense as charged in Count One. They argue that the intent element in 18 U.S.C. § 2155(a) makes their motives and the reasons for their actions relevant.

Count One charges Defendants with a violation of the Sabotage Act, which states in

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<sup>5</sup>While the Defendants may not argue or present proof, to include expert testimony, to the jury that the production, processing, or storage of nuclear weapons violates international or federal law, they are not foreclosed from presenting evidence of their specific intent as discussed in section (3). Expert testimony about the state of international law on nuclear weapons is irrelevant and not admissible at trial. See United States v. Urfer, 287 F.3d 663, 665 (7th Cir. 2002) (holding that questions of law, such as whether the defendants' actions were privileged by international law, are for the judge and not the jury).

pertinent part:

Whoever, with intent to injure, interfere with, or obstruct the national defense of the United States, willfully injures, destroys, contaminates or infects, or attempts to so injure, destroy, contaminate or infect any national-defense material, national-defense premises, or national-defense utilities, shall be fined under this title or imprisoned not more than 20 years, or both[.]

18 U.S.C. § 2155(a). Section 2155(a) requires a *specific intent*,<sup>6</sup> that of injuring, interfering with, or obstructing the national defense. See United States v. Kabat, 797 F.2d 580, 587 (8th Cir. 1986). Although the term “national defense” is not defined in the statute, the Supreme Court has held that the term “national defense,” in the similarly worded Espionage Act of 1917, “is a generic concept of broad connotations, referring to the military and naval establishments and the related activities of national preparedness.” Gorin v. United States, 312 U.S. 19, 28 (1941). Two of our sister circuits have applied this definition to the Sabotage Act in cases involving nuclear protestors. United States v. Platte, 401 F.3d 1176, 1190 (10th Cir. 2005); Kabat, 797 F.2d at 586.

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<sup>6</sup>A specific intent is the intent to accomplish a particular purpose, harm, or result. A general intent is the intent to commit the act at issue. Our appellate court has explained the difference between the two as follows:

The difference between a specific intent crime and a general intent crime was spelled out in [United States v. Gonyea], where we explained that “a specific intent crime is one that requires a defendant to do more than knowingly act in violation of the law. The defendant must also act with the purpose of violating the law. The violation of a general intent statute, by contrast, requires only that a defendant ‘intend to do the act that the law proscribes.’” 140 F.3d [649], 653 [(1998)]. (Citations omitted.) In other words, “a general intent crime requires the knowing commission of an act that the law makes a crime. A specific intent crime requires additional ‘bad purpose.’” United States v. Kleinbart, 27 F.3d 586, 592 n.4 (D.C. Cir. 1994) (citations omitted).

United States v. Kimes, 246 F.3d 800, 806-07 (6th Cir. 2001).

The Government argues that the Defendants' moral, political, or religious reasons for engaging in the conduct at Y-12 may be their overarching motive for committing the offenses but that those motives are irrelevant to the Defendants' intent in committing the crimes. The Government relies on the reasoning of the Eighth Circuit in Kabat, 797 F. 2d at 586-90. In Kabat, a group of nuclear protestors appealed their convictions under the Sabotage Act for entering a nuclear missile site by cutting the fence and then damaging equipment and vandalizing property<sup>7</sup>. Id. at 582. The Kabat defendants challenged the trial court's jury instruction that "neither good motive alone nor moral, religious, or political belief was a defense to crime and that it would be a violation of their duty as jurors if they were to pass judgment on U.S. nuclear weapons policy." Id. at 583-84. They argued that the specific intent element in the Sabotage Act requires evidence of their subjective state of mind and that the court's instruction on motive prevented the jury from considering evidence of their intent and, essentially, directed a verdict on the intent issue. Id. at 584.

In assessing the sufficiency of the evidence of the specific intent required by § 2155(a), the court in Kabat highlighted the dangers of relying on an individual's subjective viewpoint of whether actions were helping or hurting the national defense: "To allow all citizens who thought they could further U.S. security to act on their theories at will could make it impossible for this country to maintain a coherent defense system." Id. at 586. "[T]he 'specific intent' required by section 2155 is only the intent to interfere with what may commonly be taken as the country's activities of national preparedness and not the intent to act to what one subjectively believes to be the detriment of the United States." Id. Accordingly, the court held that the ultimate motive of the

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<sup>7</sup>One of the defendants was convicted under the Sabotage Act for spray painting messages, chipping the concrete on the silo lid, pouring blood, and hanging signs at a second missile site. Id. at 583, 588, & 596.

defendant protestors to save people from nuclear annihilation did not invalidate their specific intent to disarm nuclear weapons:

“Criminal intent” properly used refers to the mental state required by the particular statute which makes the act a crime. See W. LaFave & A. Scott, Handbook on Criminal Law § 28, at 201 (1972). Once that intent has been proven, it is immaterial that a defendant may also have had some secondary, or even overriding, intent. Id. at 200. If the intent is overriding—that is, it reflects the ultimate end sought which compelled the defendant to act—it is more properly labeled a “motive.” See [United States v. Cullen, 454 F.2d [386,] 391[ (7th Cir. 1971)]]. This is true even with respect to a “specific intent” statute where the intent itself is stated in terms of an “end,” for example, breaking and entering with intent to commit theft. The “end” of stealing money still could be just a means to another more valued consequence, such as giving to the poor; that ultimate goal, however, would not replace or negate the intent of stealing and would still be a “motive,” while the intent to steal would still provide the “specific intent” required by the statute. See W. LaFave & A. Scott, *supra*, at 204-05.

This is precisely the situation with nuclear protestors. Though the defendants here intended disarmament only as a means and not as an end, their ultimate desire of saving innocent lives does not replace or negate the intent which the statute requires—that of interfering with U.S. defense functions, facilities, and policies. The defendants through their own words made clear that they saw such interference as necessary to their benevolent goals: when it is nuclear missiles, a part of the U.S. defense system, that are “illegal,” “immoral,” “criminal,” and “murderous,” one can hardly halt the inexorable slide toward killing and destruction without interfering with—and intending to interfere with—that established part of the U.S. defense system. Any argument to the contrary merely tries to read back into the statute a requirement of a subjective desire to interfere with the country’s best interests, an interpretation that we have already rejected.

Id. at 587-88. The court found the evidence of specific intent was sufficient and approved the jury instructions that distinguished motive from intent, which the trial court had defined as the means to achieve the motive. Id. at 588-89.



Similarly, in United States v. Cullen, the defendant asserted that he was erroneously precluded from arguing that he was compelled by his religious beliefs to burn draft records. 454 F.2d 386, 390 (7th Cir. 1971). Defendant Cullen maintained that this evidence was relevant to whether he possessed the requisite mental state of willfulness. The court found that the defendant's religious convictions were irrelevant to the issue of his mental state because a showing that he acted willfully did not require the government to prove his motive. Id. at 391-92.

In a case such as this, if the proof discloses that the prohibited act was voluntary, and that the defendant actually knew, or reasonably should have known, that it was a public wrong, the burden of proving the requisite intent has been met; proof of motive, good or bad, has no relevance to that issue. If defendant's theory of defense were valid, the character of his conduct would be judged not by the rule of law but by the end which his means were designed to serve.

Id. at 392. Accordingly, in a case in which nuclear protestors were convicted of wilfully injuring government property, the Seventh Circuit held that "disagreement with U.S. defense policy and moral disapproval of a law are not defenses to violating the law." United States v. Urfer, 287 F.3d 663, 665 (7th Cir. 2002).

The Tenth Circuit has also concluded that the motives of nuclear protestors are not to be confused with the specific intent required by the Sabotage Act. United States v. Platte, 401 F.3d 1176, 1181 (10th Cir. 2005). "The high-minded motives of Defendants do not negate their intent. . . . Civil disobedience can be an act of great religious and moral courage and society may ultimately benefit. But if the law being violated is constitutional, the worthiness of one's motives cannot excuse the violation in the eyes of the law." Id.

Finally, the undersigned observes that a group of individuals breaking into Y-12 could have different motives or overarching goals—one to prevent nuclear war, one to reduce

government funds spent on the military, and another because he was a terrorist seeking to give advantage to another country—and all have the same intent to injure, interfere with, or obstruct the national defense. Accordingly, the Court finds that the Defendants’ overarching motives, whether moral, religious, or political or some combination thereof, are distinct from their specific intent in engaging in the conduct with which they are charged. The Court concludes that the *parties* are precluded from offering evidence of the Defendants’ *motives*, whether those motives are moral, religious, political, benevolent, or malevolent, because those motives are not relevant to the Defendants’ specific intent.

At the February 7 hearing, defense counsel expressed concern that preclusion of evidence of motive would mean that evidence of specific intent was limited to the inferences that can be drawn from the Defendants’ conduct. This is not so. First, the Government denied that it was taking the position that proof of intent should be so limited. [Doc. 84, p.49] Second, and more importantly, such a restriction would interfere with the Defendants’ right to defend against the sabotage charge. Unlike in prior cases involving nuclear protestors in this district, the Government has charged the instant Defendants under the Sabotage Act, which requires a specific intent. With regard to Count One, the Government must prove beyond a reasonable doubt that the Defendants intended to injure, interfere with, or obstruct the national defense. Accordingly, the Defendants must be allowed to present evidence that they did not have this intent. In neither of the cases from other circuits, in which nuclear protestors were convicted under the Sabotage Act, did the trial court restrict the evidence of intent to the defendants’ conduct. Platte, 401 F. 3d at 1179 (observing that the trial “court allowed testimony regarding the Defendants’ study and understanding of nuclear weapons and various sources of law, but only as it pertained to their state of mind at the time they

entered the missile site’); Kabat, 797 F.2d at 585 (determining that “the government’s case does not rest solely on inferences from the acts committed” and recognizing that the “defendants, through their opening and closing statements and testimony, their comments at the time of arrest, and the messages they left at the missile sites, have continuously declared that their intent was to ‘disarm’ the missiles”). Thus, the Court finds that the Defendants in this case may present evidence, including testimony or other evidence in addition to the inferences that can be drawn from their conduct, of their specific intent in entering Y-12 and engaging in certain conduct. The Defendants may also present evidence that they had a specific intent other than to injure, interfere with, or obstruct the national defense. While the Government suggests that such evidence could potentially be cumulative or confusing to the jury, the Court finds that the trial judge, hearing the evidence at trial and upon an objection, is in the best position to determine whether evidence is cumulative or confusing.

#### *(4) First Amendment Defense*

Finally, the Government contends that the Defendants’ First Amendment rights to freedom of speech and the free exercise of religion are not a defense to the crimes charged. The Defendants argue that the Court should not preclude them from presenting a First Amendment defense outside of the context of the evidence presented at trial. The Court finds that the exercise of First Amendment rights to free speech or freedom of religion by breaking into and damaging government property is not a defense to the instant charges because the area inside the boundary of Y-12 was not a public forum.

Even protected speech is not equally permissible in all places and at all times. Nothing in the Constitution requires the Government freely

to grant access to all who wish to exercise their right to free speech on every type of Government property without regard to the nature of the property or to the disruption that might be caused by the speaker's activities.

Cornelius v. NAACP Legal Defense and Educational Fund, 473 U.S. 788, (1985); see also United States v. Albertini, 472 U.S. 675, 676 (1985) (holding that a “military base generally is not a public forum”). The Court finds that the area inside the fences and structural barriers of the Y-12 National Security Complex was not a public forum on July 28, 2012. Thus, the Defendants had no First Amendment right to cut fences and walk to the HEUMF building to exercise their freedom of speech or religion.

### *Conclusion*

The Government's Motion to Preclude Defendants from Introducing Evidence in Support of Certain Justification Defenses [**Doc. 45**] is **GRANTED**. The Defendants may not present a defense of necessity or justification as discussed herein, evidence that the operations at Y-12 violated international or domestic law, evidence that their actions were compelled by the Nuremberg principles, evidence of their motives, or evidence that their actions at Y-12 on July 28, 2012, were protected by the First Amendment. The Defendants are not precluded from presenting evidence of their specific intent with regard to Count One, subject to the requirements of relevance and the other Federal Rules of Evidence.

#### IV. CONCLUSION

The Court makes the following rulings on the pending pretrial motions:

(1) The Government's Motion to Preclude Defendants from Introducing Evidence in Support of Certain Justification Defenses [Doc. 45] is **GRANTED** as set forth above;

(2) The Defendants' Motion for Discovery [Doc. 46] is **DENIED**;

(3) The Motion for Specific Discovery [Doc. 47] is **GRANTED in part** in that the Government is **ORDERED** to disclose, if it has not already done so, any records, including time of call and time of response, of alarms sounded as a result of the Defendants' alleged intrusion on July 28, 2012, and is **DENIED** in all other respects;

(4) The Defendants' Motion for a Bill of Particulars [Doc. 48] is **DENIED**;

(5) The Defendants' Motion to File Supplemental Brief [Doc. 74] is **GRANTED**;

(6) The Defendants' alternative motion for a bill of particulars within their Motion of the Defendants to Dismiss for Overbreadth and Vagueness, or, in the Alternative, for a Bill of Particulars<sup>8</sup> is **GRANTED in part** in that the Government is **ORDERED** to provide a bill of particulars by **April 8, 2012**, stating the harm or attempted harm to the national defense. The alternative request is otherwise **DENIED as moot**;

(7) The Defendants' joint Motion for Permission to Withdraw Discovery Motion [Doc. 87] is **GRANTED**, and the Joint Motion for Discovery [Doc. 73] is **WITHDRAWN**.

**IT IS SO ORDERED.**

ENTER:

s/ C. Clifford Shirley, Jr.  
United States Magistrate Judge

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<sup>8</sup>The dispositive portion of this motion is presently before the District Judge and, thus, the motion remains pending at this time.

UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Request [REDACTED]  
[REDACTED]**Date:** 04/24/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012  
[REDACTED]

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Request [REDACTED]**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013**Enclosure(s):** Enclosed are the following items:

1. (U) [REDACTED]
2. (U) [REDACTED]

**Details:**This communication is to provide a brief case background and to  
request [REDACTED]

[REDACTED] On 07/28/2012, Megan Rice, [REDACTED]  
[REDACTED] cut through three high security fences and splashed blood on the  
walls of the Highly Enriched Uranium Materials Facility. [REDACTED]  
was a camera operator on the system at the time of the break-in. [REDACTED]

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b7Eb3  
b7Eb3  
b6  
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b7C

UNCLASSIFIED//~~FOUO~~

Title: (U) Request

Re: 266I-KX-2433548, 04/24/2013

received by writer on		from DOE Special Agent	

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UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U//~~FOUO~~) Name Check Summary File - [REDACTED]  
[REDACTED]**Date:** 04/26/2013**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** [REDACTED]**Drafted By:** [REDACTED]

**Case ID #:** 62F-HQ-C2300559 (U) NAME CHECK SUMMARY FILE 2012  
62F-KX-C71763 (U) NATIONAL NAME CHECK PROGRAM  
266I-KX-2433548 (U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U//~~FOUO~~) To report results of Knoxville file review  
regarding [REDACTED]

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b7E

[REDACTED] with social security account  
number [REDACTED]

**Reference:** 62F-HQ-C2300559 Serial 38412**Details:**

A review of Knoxville's pending case file 266I-KX-2433548 and  
communication with the case agent revealed no derogatory information  
concerning [REDACTED]

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[REDACTED] with social security account  
number [REDACTED]

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Title: (U//~~FOUO~~) Name Check Summary File -   
Re: 62F-HQ-C2300559, 04/26/2013

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**FEDERAL BUREAU OF INVESTIGATION****Import Form**

Form Type: OTHER

Date: 05/01/2013

Title: (U) Y-12 trial update

Approved By: SSA [REDACTED]

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Drafted By: [REDACTED]

Case ID #: 266I-KX-2433548

(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb3  
b6  
b7C  
b7E[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN

Synopsis: (U) Y-12 trial update from AUSA [REDACTED] re dropping Count 2

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**From:**

[redacted] (USATNE) [redacted]

**Sent:**

Wednesday, May 01, 2013 12:14 PM

**To:**

[redacted]

**Subject:**

Y-12 Trial Update

Dear All-

[redacted]

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[redacted]

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 05/09/2013**Title:** (U) Knoxville News Sentinel article, April 24, 2013 "Former US attorney general backs Y-1...**Approved By:****Drafted By:****Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) Knoxville News Sentinel article, April 24, 2013 "Former US attorney general backs Y-12 protestor

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UNCLASSIFIED

April 24, 2013

# Former US attorney general backs Y-12 protesters



JAMES CAPPONE/NEWS SENTINEL

Transform Now Plowshares activist Sister Megan Rice talks to former U.S. Attorney General Ramsey Clark before a hearing Tuesday at the Howard H. Baker Jr. U.S. Courthouse.

By Frank Munger  
mungerf@knoxnews.com  
865-342-6329

Former U.S. Attorney General Ramsey Clark testified Tuesday that ongoing production of nuclear weapons at the Y-12 National Security Complex in Oak Ridge could be considered unlawful, if not illegal, because it violates some tenets of the Nuclear Nonproliferation Treaty aimed at eliminating the weapons. The treaty was signed in 1968 during Clark's tenure at the Justice Department.

**knoxnews.com**

**Blog:** More on Frank Munger's "Atomic City Underground"

The 85-year-old Clark testified on behalf of three Plowshares protesters who are facing multiple felony counts — including a sabotage charge — for breaking into Y-12 last July, cutting through security fences and defacing the exterior of the plant's storage center for bomb-grade uranium.

The trial for Sister

Megan Rice, Michael Walli and Greg Boertje-Obed, known as the Transform Now Plowshares, is set to begin May 7. Jury selection will take place May 6. There will be a larger-than-usual pool of potential jurors because defense lawyers argued that Y-12's economic influence in the region will make it more difficult to find unbiased jurors.

Tuesday's pretrial hearing in U.S. District Court was a last-chance opportunity for defense attorneys

See **PROTESTERS, SA**

## PROTESTERS from 1A

to present evidence in hopes of getting approval to use a "justification" defense in the case. They want to argue that the protesters' actions were for the greater good and necessary to prevent the imminent harm of nuclear weapons.

Clark said such a claim was reasonable. "These weapons are a threat to life on this planet," he said.

Assistant U.S. Attorney Jeff Theodore questioned Clark about whether it's illegal simply to possess weapons, not use them, and whether the laws passed by Congress superseded any treaties. Theodore raised objections about scenarios used by defense attorneys — including the destructive capabilities of the U.S.

nuclear arsenal — without offering any evidence.

Under questioning by defense lawyer William Quigley, Clark said the protesters' actions could be justified given the dangers posed by nuclear weapons and the increasing potential for their use.

U.S. District Judge Amul R. Thapar quizzed Clark about the legal issues behind his statements on the reasonableness of the protesters' thoughts and actions. The judge asked Clark if he could differentiate between protesters who break the law because they believe they have a higher calling and people who don't believe they should have to pay taxes or those who think smoking marijuana is not a crime. Clark acknowledged it was possible for an action to be morally admirable but still violate U.S. laws.

Robert Booker, former

executive director of the Beck Cultural Exchange Center and civil-rights activist who was involved in sit-ins during the 1960s that helped desegregate lunch counters and theaters in Knoxville, had been identified as a witness at Tuesday's hearing. His testimony was expected to show how acts of civil disobedience can lead to positive change. However, Booker left before the hearing began. In a telephone interview, Booker said he left because defense attorneys wanted him to go over his testimony for a third time before the hearing.

"I don't need to get my story straight," he said. "I lived it."

Thapar indicated he would release an opinion within a week on issues pertaining to the upcoming trial, which has attracted national attention.

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4/29/13

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 05/10/2013**Title:** (U) Knoxville News Sentinel article, 5/9/13, "Peace protestors guilty in Y-12 breach"**Approved By:****Drafted By:**b6  
b7C  
b7E**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

DT - ENVIRONMENTAL EXTREMISM

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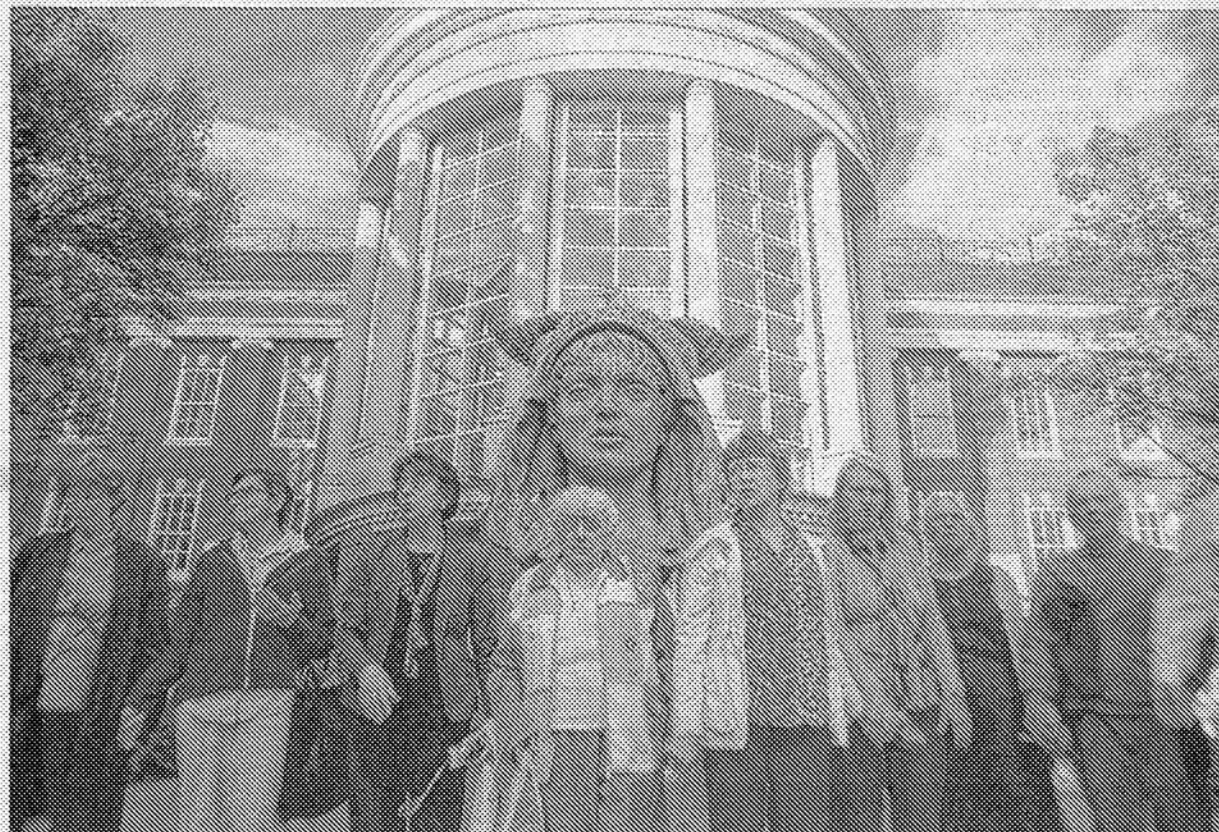
**Synopsis:** (U) Knoxville News Sentinel article, 5/9/13, "Peace protestors guilty in Y-12 breach"

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# Peace protesters guilty in Y-12 breach



SAUL LOEB/KNOWLEDGE SENTINEL

Supporters rally at the Howard H. Baker Jr. Federal Courthouse on Wednesday after Transform Now Plowshares members Sister Megan Rice, Michael Walli and Greg Boertje-Obed were found guilty of breaking into and damaging property at the Y-12 nuclear security complex in July.

## Plowshares trio taken into custody after verdict

By Frank Munger  
mungerf@knoxnews.com  
865-342-6329

**knoxnews.com**

**Video, photo gallery:** Scenes from downtown Knoxville  
**Blog:** More on Frank Munger's "Atomic City Underground"

Sister Megan Rice, Michael Walli and Greg Boertje-Obed surprised everyone, including themselves, when they managed to penetrate the high-security core of the Y-12 nuclear weapons plant in Oak Ridge last summer and stage an unprecedented protest at the foot of the nation's storehouse for bomb-grade uranium.

But no one seemed shocked Wednesday after a jury found them guilty on both felony charges brought by the federal government, including the rarely used count of

injuring or obstructing the national defense. A third felony count was dropped before the trial began.

The nine-man, three-woman federal jury deliberated for about 2½ hours before returning the verdicts at about 6 p.m. U.S. Judge Annal Thapar announced the verdict before a crowded courtroom, drawing a couple of sad groans and a few tears from supporters in the

gallery, but the defendants didn't show much emotion.

Rice, an 83-year-old nun who gained international attention for her role in the break-in, stood with a peaceful smile on her face. She gestured with her hands, seemingly to reassure those concerned about her well-being.

As the jury was led from the courtroom, the peace activists in the crowd began to sing, growing louder until the judge began to address some of the remaining legal issues.

In an ironic twist, the peace activists, who preach nonviolence

See Y-12, 9A

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May 9, 2013

206I-KX-2433548

Knoxville News Sentinel

5/9/13

May 9, 2013

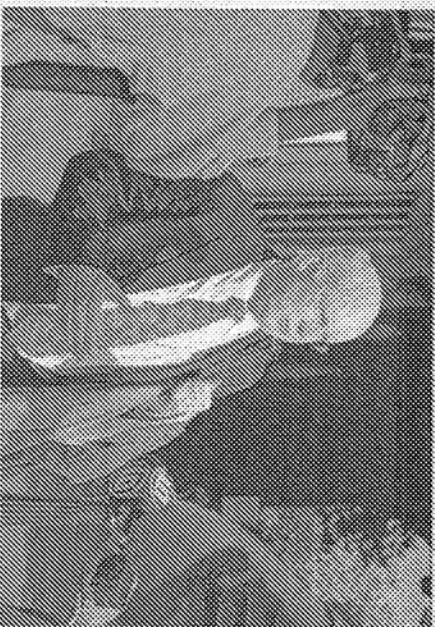
266I-KX-2433548

# Y-12 from 1A

while objecting to production of nuclear weapons, were convicted under a statute that's reportedly labeled a violent crime, and that may take away their freedom while awaiting sentencing.

The paper set a hearing for this morning to clarify that designation and determine if they're eligible for release. In the meantime, he ordered the three be placed in jail, and they were taken to the Blount County Detention Center on Wednesday evening.

Attorneys said sentencing likely won't take place for three or four months. Jeff Theodore and



Bill Quigley, defense attorney, talks with members of the media on Wednesday after Transform Now Plovershares members Sister Megan Rice, Michael Walli and Greg Boertje-Obed were found guilty of breaking into and damaging property at the Y-12 nuclear security complex in July.

Defense attorney Bill Quigley talks with members of the media on Wednesday after Transform Now Plovershares members Sister Megan Rice, Michael Walli and Greg Boertje-Obed were found guilty of breaking into and damaging property at the Y-12 nuclear security complex in July.

Melissa Kirby, the assistant U.S. attorneys who prosecuted the high-profile case,

responded to questions with a joint statement. "We appreciate the

jury's verdict, and hope it sends a strong message that those who attempt to compromise the security of the Y-12 National Security Complex will be vigorously prosecuted," they said.

The three defendants, collectively known as the Transform Now Plovershares, broke into Y-12 in the early-morning hours of July 28, 2012.

Rice, Walli and Boertje-Obed openly and repeatedly admitted the acts in the days and months that followed in the incident. They cut a hole in a perimeter fence, slipped inside the government boundary and proceeded to scale the heavily wooded Pine Ridge on the north side of the nuclear weapons plant, which

sits in Bear Creek Valley.

Ultimately, they cut through three other high-security fences and — around 4 a.m. — reached the fortresslike Highly Enriched Uranium Materials Facility.

Once there, still undetected by the plant's security force, they carried out a series of symbolic acts to protest the work at Y-12 and the government's plans for a new multibillion-dollar production facility. They spray-painted Biblical messages on the walls and hurled baby bottles filled with human blood against the facility, leaving 10-foot-high drips of blood.

It apparently was a foregone conclusion at the two-day trial that

they would be convicted of depredation of government property, but defense attorneys argued long and hard in hopes of getting an acquittal on the charge of injuring, interfering with or obstructing the national defense. That charge required the government to prove the trio intended to disrupt the U.S. defense with their actions, and the protesters' attorneys said that wasn't their intent.

In his closing statements, Theodore cited Y-12's important role in producing nuclear weapons and said these weapons are part of the nation's defense.

"When you interfere with Y-12, you are interfering with the national defense," he stated.



UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U) Name Check Summary File - [REDACTED] **Date:** 05/13/2013b6  
b7C**To:** [REDACTED]**From:** KNOXVILLE

KX-AO

**Contact:** [REDACTED]b6  
b7C  
b7E**Approved By:** [REDACTED]**Drafted By:** [REDACTED]

**Case ID #:** 62F-HQ-C2300559 (U) NAME CHECK SUMMARY FILE 2012  
62F-KX-C71763 (U) NATIONAL NAME CHECK PROGRAM  
266I-KX-2433548 (U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012

[REDACTED]  
DT - ENVIRONMENTAL EXTREMISMb6  
b7C  
b7E**Synopsis:** (U) To report results of Knoxville file review regarding[REDACTED] with  
social security account number [REDACTED]**Reference:** 62F-HQ-C2300559 Serial 41167**Details:**

A review of Knoxville's file 266I-KX-2433548 and communication with the  
case agent revealed no derogatory information concerning [REDACTED]

[REDACTED] with social security  
account number [REDACTED]b6  
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Title: (U) Name Check Summary File -   
Re: 62F-HQ-C2300559, 05/13/2013

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UNCLASSIFIED//~~FOUO~~**FEDERAL BUREAU OF INVESTIGATION****Electronic Communication****Title:** (U//~~FOUO~~) Y-12 trial results.**Date:** 05/15/2013**From:** KNOXVILLE

KX-10

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012[REDACTED]  
DT - ENVIRONMENTAL EXTREMISM[REDACTED]  
Y-12 NATIONAL SECURITY COMPLEX; BREACH  
OF SECURITY, OAK RIDGE, TN**Synopsis:** (U//~~FOUO~~) Y-12 trial results May 8, 2013.**Preliminary Investigation Initiated:** 08/01/2012, set to expire  
02/01/2013; extension granted 01/29/2013, set to expire 08/01/2013**Details:**

On 5/7/2013, opening arguments commenced in the Y-12 trial presided over by US District Court Judge Amul Thapar. On 5/8/2013, after just over two hours of deliberations, a jury convicted Megan Rice, [REDACTED] on two felony counts, Title 18 §2155 and §1361. On 5/10/2013, Judge Thapar ordered all three to remain in custody until sentencing, scheduled for 9/23/2013.

The case was prosecuted in cooperation with DOE Special Agent [REDACTED] and AUSAs [REDACTED]

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UNCLASSIFIED//~~FOUO~~

Title: (U//~~FOUO~~) Y-12 trial results.  
Re: 266I-KX-2433548, 05/15/2013

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**FEDERAL BUREAU OF INVESTIGATION****Import Form****Form Type:** OTHER**Date:** 06/06/2013**Title:** (U) 1a of photos of evidence taken by DOE 7/28/12 rcvd by FBI on 8/2/12, taken 8/2/12**Approved By:****Drafted By:****Case ID #:** 266I-KX-2433548(U) Y-12 NATIONAL SECURITY COMPLEX  
BREAK-IN;  
OAK RIDGE, TENNESSEE  
JULY 28, 2012b6  
b7C  
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DT - ENVIRONMENTAL EXTREMISM

**Synopsis:** (U) 1a of photos of evidence taken by DOE 7/28/12 rcvd by FBI on 8/2/12, taken 8/2/12**Enclosure(s):** Enclosed are the following items:

1. (U) 1a of photos of evidence taken by DOE 7/28/12 rcvd by FBI on 8/2/12, taken 8/2/12

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Physical 1A/1C Cover Sheet for Serial Export

**Created From:** 266I-KX-2433548

Serial 247

**Package:** 1A49

**Stored** None

**Location:**

**Summary:** (U) 1a of photos of evidence taken by DOE  
7/28/12 rcvd by FBI on 8/2/12, taken  
8/2/12

**Acquired By:**

b6  
b7c

**Acquired On:** 2012-08-02

**Acquired** (U) DOE  
**From:**

**Attachment:** (U) 1a of photos of evidence taken by DOE  
7/28/12 rcvd by FBI on 8/2/12, taken  
8/2/12